

# Issue Brief for Congress

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## **United Nations Peacekeeping: Issues for Congress**

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## United Nations Peacekeeping: Issues for Congress

### SUMMARY

A major issue facing the United Nations, the United States, and Congress concerning United Nations peacekeeping is the extent to which the United Nations has the capacity to restore or keep the peace in the changing world environment. Associated with this issue is the expressed need for a reliable source of funding and other resources for peacekeeping and improved efficiencies of operation.

For the United States, major congressional considerations on U.N. peacekeeping stem from executive branch commitments made in the U.N. Security Council. The concern with these commitments, made through votes in the Council, is the extent to which they bind the United States, both militarily and financially, to fund and to participate in some way in an operation. This includes placing U.S. military personnel under the control of foreign commanders.

Since 1948, the United Nations (U.N.) has launched 55 peacekeeping operations, of which 15 are currently active. U.N. estimated peacekeeping expenditures went down from \$3.4 billion in calendar year 1995 to \$1.2 billion in 1997. Ongoing U.N. peacekeeping expenditures were estimated at \$2.2 billion for the year starting on July 1, 2000. U.S. payments in calendar year 2000 were \$518.6 million and \$1.3 billion in CY2001.

Peacekeeping has come to constitute more than just the placement of military forces into a cease-fire situation with the consent of

all the parties. A so-called “second generation” of peacekeeping is evolving. Military peacekeepers may be disarming or seizing weapons, aggressively protecting humanitarian assistance, and clearing land mines. Peacekeeping operations also now involve more non-military tasks such as maintaining law and order (police), election monitoring, and human rights monitoring.

Proposals for strengthening U.N. peacekeeping and other aspects of U.N. peace and security capacities have been adopted in the United Nations, by the Clinton Administration, and by Congress. They are being implemented. Most authorities have agreed that if the United Nations is to be responsive to post-Cold War world challenges, both U.N. members and the appropriate U.N. organs will have to continue to improve U.N. structures and procedures in the peace and security area.

Congress, in late 1999, authorized payment of \$819 million, already appropriated, for U.S. arrears payments, subject to certain U.N. reform conditions, to the United Nations for regular budget and peacekeeping accounts.

On February 4, 2002, President Bush requested \$725.9 million for the FY2003 assessed account and \$108.8 million to fund U.S. assistance to international peacekeeping efforts of special concern to the United States. In November 2001, Bush signed legislation appropriating \$844 million for U.S. contributions to U.N. peacekeeping assessed accounts.

## MOST RECENT DEVELOPMENTS

*Senate Appropriations Committee reports on the State Department and Foreign Operations FY2003 appropriations bills await floor action. They provide, respectively, \$666.8 million for payment of U.S. contributions to U.N. peacekeeping assessments and \$125,250,000 in Peacekeeping Operations (PKO) funds. Still pending conference consideration is H.R. 1646, authorizing State Department funds for payment of U.S. current U.N. contributions, including for U.N. peacekeeping operations (CIPA).*

## BACKGROUND AND ANALYSIS

The role of the United Nations in facilitating dispute settlement and establishing peacekeeping operations to monitor cease-fires and participate in other duties as assigned by the U.N. Security Council increased markedly in the late 1980s. Between April 1988 and April 1994, a total of 20 peacekeeping operations were set up, involving 16 different situations. Since May 1994, however, the pace of Council creation of new U.N. controlled peacekeeping operations dropped noticeably. This occurred as a result of the U.S. decision, in Presidential Decision Directive 25 (PDD 25), signed May 1994, to follow strict criteria for determining its support for an operation. (See CRS Report 94-260, appendix.) This U.S. decision was accompanied by a Security Council statement adopting similar criteria. Between 1994 and 1998, 16 operations were created, but nine of these were follow-on operations to those set up before 1994 in Angola, Haiti, and the former Yugoslavia. These follow-on operations had different mandates but were associated with the same locations. In 1999, four operations were set up, one of which was a follow-on operation.

If the situations at the start of 1988 and more recent years are compared, the following picture emerges:

- ! When 1988 started, only five U.N. peacekeeping operations existed, with four in the Middle East region. At the end of 2001, the U.N. supported 15 operations worldwide.
- ! When 1988 started, the annual estimated U.N. peacekeeping cost was \$268.2 million; the calendar year (CY) 1994 estimate was \$3.5 billion; \$3.4 billion in CY1995; \$1.5 billion for CY1996; \$1.2 billion for CY1997; \$907 million in CY1998; and \$1.1 billion in CY1999.
- ! U.S. contributions for assessed peacekeeping accounts in 1988 totalled \$36.7 million. Actual CY1994 U.S. payments to U.N. peacekeeping accounts were \$991.4 million; \$359 million in CY1996; \$518.6 million in CY2000; and \$1.3 billion (includes arrears payments) in CY2001.
- ! When 1988 started, the U.S. military participated, as observers, in one U.N. operation, with the U.N. Truce Supervision Organization in Palestine (36 officers). As of December 31, 1995, a total of 2,851 U.S. military personnel served under U.N. control in 7 operations. As of December 31, 2001, 750 U.S. personnel served in 8 operations.

## Current Funding Situation

The Bush Administration, in its February 4, 2002 budget to Congress, requested \$725.9 million for FY2003, in the State Department's Contributions to International Peacekeeping Activities (CIPA) account to pay U.S. contributions to the U.N.'s assessed peacekeeping accounts. The CIPA account request contained \$26.7 million for the two war crimes tribunals (Yugoslavia and Rwanda) that are not peacekeeping operations. The President also requested that 15% of the amount in the CIPA account, approximately \$108.8 million, be available through September 30, 2004, that is, appropriated "as two-year funds." This is due to "demonstrated unpredictability of the requirements in this account from year to year and the nature of multi-year operations" with "mandates overlapping the United States' fiscal year." President Bush also requested \$108.2 million in voluntary contributions for the FY2003 Peacekeeping Operations (PKO) account under the Foreign Operations Act. This account would finance the U.S. contribution to the Multilateral Force and Observers in the Sinai (MFO), a non-U.N. peacekeeping operation, and U.S. support of regional and international peacekeeping efforts in Africa and Europe.

Fiscal Year (FY)1997 - FY2001 U.N. peacekeeping requests were at an assessment level of 25%, per Section 404 (b)(2), P.L. 103-236, rather than at the level assessed by the United Nations. The scale of assessments for U.N. peacekeeping accounts is based on a modification of the scale for U.N. regular budget contributions, with the five permanent members of the U.N. Security Council assessed at a higher level than for the regular budget. Since 1992, U.S. policy was to seek a U.N. General Assembly decision to reduce the U.S. peacekeeping assessment to the regular budget assessment of 25%, meaning other countries' assessments would have to increase. The Clinton Administration recognized the 30.4% assessment rate and since October 1, 1995, by congressional requirement, U.S. peacekeeping contributions are limited to 25%. This limit, or cap, on U.S. payments adds to the U.S. arrearage for peacekeeping contributions.

**Table 1. U.N. Peacekeeping Assessment Levels for the United States**

Year	U.N. Assessment	Recognized by US
1992	30.387% (30.4%)	30.4%
1993	31.739% (31.7%)	30.4%
1994	31.735% (31.7%)	30.4%
1995	31.151% (31.2%)	30.4%; 25% since Oct. 1
1996	30.9652% (30.9%)	25%
1997	30.862% (30.9%)	25%
1998	30.5324% (30.5%)	25%
1999	30.3648% (30.4%)	25%
2000	30.2816% (30.39%)	25%
2001	28.1340% (28.13%)	25%
2002	27.3477% (27.3%)	25%

In late December 2000, the U.N. General Assembly passed a series of resolutions reducing the U.S. regular budget assessment level to 22%, effective January 1, 2001, and, in effect, reducing the U.S. assessment for peacekeeping contributions progressively to 25% by 2004 or 2005. In response to this Assembly action, the Senate, on February 7, 2001, passed S. 248, which amended the 1999 enacted legislation authorizing payment of U.S. arrears on its contributions to the United Nations, once certain conditions had been met. One of the conditions required Assembly reduction of the U.S. peacekeeping assessment level to 25%. S. 248 changed that condition figure to 28.15%. The House passed S. 248, and the President signed it on October 5, 2001 (P.L. 107-46).

On May 16, 2001, the House passed H.R. 1646 (107<sup>th</sup> Congress), the Foreign Relations Authorization Act, Fiscal Years 2002 and 2003, authorizing the requested \$844,139,000 for the CIPA account for FY2002. This legislation deleted the 25% assessment level for peacekeeping operations as a condition of the Helms-Biden United Nations Reform Act of 1999 and stipulated that the 25% cap for peacekeeping payments in P.L. 103-236 would be raised to 28.15% for fiscal years 2002 and 2003. The House added another condition for release of the third tranche of arrears payments: a requirement for a General Accounting Office report giving a detailed accounting of U.S. contributions to U.N. peacekeeping operations during the period 1990-2001, including a review of any reimbursement by the United Nations for such contributions. On September 4, 2001, the Senate Foreign Relations Committee reported S. 1401, authorizing the requested amount for CIPA and altering the 25% cap for peacekeeping payments for calendar years 2001 through 2003. On May 1, 2002, the Senate passed H.R. 1646, after substituting the text of S. 1803 as an amendment. The 107<sup>th</sup> Congress continues without passing authorizing legislation, currently pending.

On March 21, 2002, President Bush, in his Emergency FY2002 Supplemental Appropriations request (H.Doc. 107-195), included \$43 million for the CIPA account, “to meet projected increased costs for U.N. peacekeeping operations. The United States has a clear national interest in resolving the multi-state conflict and encouraging the evolution of stable democracies in countries in which U.N. peacekeeping missions are operational.” P.L. 107-206 (H.R. 4775) included \$23,034,000 for “increased assessments” for the U.N. operation in the Congo.

Since 1997, pursuant to a provision in Title IV, State Department Appropriations Act, 1997, in P.L. 104-208, Omnibus Consolidated Appropriations Act, 1997, passed in 1996, Congress has required the Secretary of State to notify it 15 days before U.S. support of a U.N. Security Council resolution establishing a new or expanding a current peacekeeping operation. The notification is to include “the estimated cost and length of the mission, the vital national interest that will be served, and the planned exit strategy.” A reprogramming request, indicating the source of funding for the operation, is also required. Tradition has resulted in the practice of the appropriate committee or subcommittee chairman “placing a hold” on the proposed reallocation in the reprogramming request, if it is not acceptable to him or her. During 2000, FY2000 funds for U.S. payments to U.N. peacekeeping assessments were held up for some time using this mechanism.

**Table 2** shows FY2001 allocations, the FY2002 request and appropriation estimates, and the FY2003 request. (**Table 4** shows FY1988-FY2002 data.)

**Table 2. U.N. Peacekeeping-Assessed Contributions  
FY2001 Allocations and FY2002 and FY2003 Requests**  
(in millions of \$)

<b>Operation</b>	<b>FY2001 Allocations</b>	<b>FY2002 Request</b>	<b>FY2002 Estimates</b>	<b>FY2003 Request</b>
UNDOF	8.029	10.435	10.435	8.365
UNIFIL	59.938	24.963	24.963	33.520
UNIKOM	4.813	5.336	5.336	4.479
MONUA (Angola)	12.127	–	–	–
MINURSO (W.Sahara)	11.506	13.472	13.472	11.792
UNMIBH (IPTF)/ UNMOP	48.256	29.572	29.572	–
UNMIK (Kosovo)	144.523	122.850	122.850	96.534
UNFICYP (Cyprus)	5.619	6.709	6.709	5.219
UNOMIG (Georgia)	6.325	8.203	8.203	6.516
UNAMSIL (Sierra Leone)	96.655	318.000	318.000	145.803
UNTAET (E. Timor)	151.454	130.415	130.415	58.177
MONUC (Congo)	74.129	83.550	83.550	273.226
UNMEE (Ethiopia/Eritrea)	71.300	57.269	57.269	55.594
OTHER	138.747 *	–	23.034 **	–
Subtotals	818.660	702.000	810.774	699.225
War crimes tribunals	22.845	33.365	33.365	26.756
<b>TOTALS</b>	<b>844.139</b>	<b>844.139</b>	<b>844.139</b>	<b>725.981</b>

\* Includes \$12.127 million for Payment of FY2000 MONUC assessment; \$48.283 million held for Later payment to UNAMSIL; and \$78.337 million Reserved for Rate Cap Lift.

\*\* Enacted for MONUC assessments in the FY2002 Supplemental, P.L. 107-206.

## Basic Information

United Nations peacekeeping might be defined as the placement of military personnel or forces in a country or countries to perform basically non-military functions in an impartial manner. These functions might include supervision of a cessation of hostilities agreement or truce, observation or presence, interposition between opposing forces as a buffer force, maintenance and patrol of a border, or removal of arms in the area. The U.N. Security Council normally establishes peacekeeping operations in keeping with certain basic principles, which include: agreement and continuing support by the Security Council; agreement by the parties to the conflict and consent of the host government(s); unrestricted access and freedom of movement by the operation within the countries of operation and within the parameters of its mandate; provision of personnel on a voluntary basis by U.N. members; noninterference by the operation and its participants in the internal affairs of the host government; and avoidance of the use of armed force to carry out the mandate.

Since 1948, the United Nations has established 55 peacekeeping operations, 15 of which are currently active. Between 1991 and 1998, the rate of creation of operations followed this pattern (five in 1991, four in 1992, six in 1993, two in 1994, four in 1995, three in 1996, four in 1997, and three in 1998) which shows an increase and subsequent slowdown in creation as the Council sought to ensure that peacekeeping was appropriate for the crisis. Four peacekeeping operations, including two complex, multidimensional operations, were set up in 1999. (For further background information, see Appendix and CRS Report 90-96, *United Nations Peacekeeping: Historical Overview and Current Issues*.)

Section 7 of the U.N. Participation Act (UNPA) of 1945, as amended (P.L. 79- 264), authorized the President to detail up to 1,000 members of the U.S. armed forces to the United Nations in a noncombatant capacity. Over the history of the United Nations, the United States has provided various goods and services, including logistics, and has detailed its military to U.N. peacekeeping tasks, but in small numbers. Before 1990, the major U.S. provision of forces were the individual U.S. military officers participating as observers in the UNTSO. The President has also used the authority in section 628 of the Foreign Assistance Act (FAA) of 1961, to provide U.S. armed forces personnel to U.N. peacekeeping operations. Under this section, such personnel may be detailed or sent to provide “technical, scientific or professional advice or service” to any international organization.

As of June 30, 2002, an estimated 680 U.S. personnel served under U.N. control in eight operations. Other than the civilian police in UNMIBH/IPTF, UNMISSET, and UNMIK, these were U.S. military personnel. See **Table 3**. The United States currently contracts with an outside firm to provide U.S. civilian police, either active duty on a leave of absence, former, or retired. They are hired for a year at a time and paid by the contractor. These contracts are financed from Foreign Operations Act accounts. A total of 45,319 personnel from 86 countries served in 15 U.N. peacekeeping operations.

**Table 3. U.S. Personnel under U.N. Control**  
(As of June 30, 2002)

<b>Operation</b>	<b>Total</b>
UNTSO (Middle East)	2
UNIKOM (Iraq-Kuwait)	12
MINURSO (Western Sahara)	7
UNMIK (Kosovo)	537 (535 police + 2 observers)
UNMIBH (IPTF) (Bosnia)	46 (police)
UNOMIG (Georgia)	2
UNMISSET (East Timor)	67 (65 police + 2 observers)
UNMEE (Ethiopia & Eritrea)	7 (6 obs. + 1 troop)
<b>TOTAL</b>	<b>680</b>

A MASH (Mobile Army Surgical Hospital) unit that was originally deployed from Germany to Zagreb as medical support to UNPROFOR/UNCRO was withdrawn in December 1995. This was the first entire U.S. unit provided to serve under U.N. command (the MASH unit became operational in November 1992). On July 12, 1993, 305 U.S. soldiers arrived in the former Yugoslav Republic of Macedonia to serve with a preventive deployment component of UNPREDEP. They were there to observe and report on activities in the country and on its borders with Albania and with the Federal Republic of Yugoslavia (Serbia and Montenegro), with the goal of deterring the spillover of conflict. The U.S. unit conducted missions as directed by the U.N. commander. UNPREDEP ended in February 1999, when the U.N. Security Council, owing to China's veto, failed to extend the preventive operation. Most observers attributed China's use of the veto to its objection to Macedonia's recognition of Taiwan. During February 1999, 362 U.S. troops participated in this operation (UNPREDEP).

## Other Peacekeeping Issues

**A Peacekeeping Response to International Humanitarian Distress.** Since 1991, internal instabilities and disasters in the Persian Gulf region and in Africa, and conditions in the former Yugoslavia have prompted demands for the use of U.N. peacekeeping to expedite peaceful settlement in internal conflict situations or to ensure the delivery of humanitarian assistance to starving and homeless populations within their countries. Some observers have suggested that the principle of nonintervention, incorporated in Article 2, paragraph 7 of the U.N. Charter, had been modified by Security Council Resolution 688 (1991), in which the Council "insist(ed) that Iraq allow immediate access by international humanitarian organizations to all those in need of assistance in all parts of Iraq." Others cited Council Resolution 687 (1991), the cease-fire resolution, which imposed on Iraq a number of requirements that might be viewed as intervention into the territorial sovereignty and independence of that country.

While the Security Council had, in the past, been reluctant to approve humanitarian assistance as the major or primary function of a peacekeeping operation, it has now moved away from that position. The Council established protection for humanitarian operations in Somalia as part of the major mandate for its operation there (UNOSOM) and added humanitarian protection to an expanded mandate for the operation (UNPROFOR) in Bosnia and Herzegovina. On December 3, 1992, the Security Council took unprecedented action, under Chapter VII of the U.N. Charter, in authorizing the Secretary-General and Member States cooperating "to use all necessary means to establish as soon as possible a secure environment for humanitarian relief operations in Somalia." The result was the Unified Task Force (UNITAF), a U.N.-authorized operation under a U.S.-led unified command. This was not a U.N. peacekeeping operation, but cooperated with the U.N. operation in Somalia (UNOSOM). UNITAF ended on May 4, 1993.

An alternative approach used by the U.N. Secretary-General is the provision of U.N. security guards to protect the camps run by the United Nations and its various agencies in northern Iraq. This deployment of a U.N. Guard Contingent within Iraq was governed by an agreement between the U.N. Relief Coordinator in Iraq and Iraq's Foreign Minister. The U.N. Guard Contingent is not a U.N. peacekeeping operation. U.N. security personnel guard the property and buildings of the United Nations throughout the system.

**The Role of U.N. Peacekeeping in Monitoring Elections.** With increasing frequency, some authorities have called for the United Nations to supervise and monitor elections in various countries. In the past, the United Nations had not responded affirmatively to such requests. In fact, in June 1989 Secretary-General Javier Perez de Cuellar, when considering Nicaragua's request for U.N. participation in its electoral process, characterized U.N. acceptance of election supervision in an independent country as "unprecedented."

However, recent examples exist of such U.N. election supervision, with a U.N. peacekeeping component to ensure security, authorized and established by the U.N. Security Council. In the case of Namibia (UNTAG, 1989-1990), Western Sahara (MINURSO, 1991-), and Cambodia (UNTAC, 1992-1994), the election is an act of self-determination, as part of an overall conflict settlement arrangement. This referendum or election is similar to the traditional role of the U.N. in the decolonization process.

In two other instances, the U.N. took on elections monitoring in an independent U.N. member state. In both cases, the action was authorized and created by the U.N. General Assembly, not by the U.N. Security Council. The U.N. Observer Mission in Nicaragua (ONUVEN) involved U.N. civilian observers monitoring the election process in Nicaragua in 1989-1990 and did not include military or security forces. It was, however, part of the efforts to achieve a peaceful settlement in Central America. The case of election monitoring in Haiti in 1990-1991 did not include a role clearly identified as U.N. peacekeeping, but the United Nations Observer Group for the Verification of the Elections in Haiti (ONUVEH) included a security component that consisted of 64 security observers, 36 of whom were drawn from U.N. peacekeeping operations.

## **U.S. Financing for U.N. Peacekeeping**

There are three major ways by which U.S. contributions to U.N. peacekeeping operations have been funded. First, Congress currently finances U.S. contributions to these operations through the Department of State authorization and appropriation bills (under Contributions to International Peacekeeping Activities (CIPA) in the International Organizations and Conferences account). These are the peacekeeping operations for which the U.N. General Assembly creates a separate assessed account against which every U.N. member state is obligated to pay a specific percent of the expenses of the operation. Arrearages to peacekeeping operations exist only in connection with these assessed accounts.

Second, Congress formerly funded one U.N. operation — the U.N. Peacekeeping Force in Cyprus (UNFICYP) — from the foreign operations authorization and appropriation bills (under Peacekeeping Operations (PKO) in the Military Assistance account). The U.S. contribution was funded this way because the Cyprus force was financed from voluntary contributions from U.N. member nations. On May 27, 1993, the Security Council changed the basis of funding for the force on Cyprus, from solely voluntary to voluntary plus assessed. Future funding for U.S. contributions to UNFICYP has moved, in the Administration's request, from the Foreign Operations, Military Assistance, PKO account to the State Department, CIPA account. Finally, Congress funds the U.S. contribution to some U.N. observer peacekeeping operations as part of its regular budget payment to the United Nations. There is no separate U.N.-assessed account for these groups. This is

currently how the U.N. Truce Supervision Organization (UNTSO) and the U.N. Military Observer Group in India and Pakistan (UNMOGIP) are funded.

Since U.N. peacekeeping requirements may arise out of sequence with the U.S. budget planning cycle, the President and Congress have had to devise extraordinary methods for acquiring initial funding for U.S. contributions to the operations. Over the past several years, these included reprogramming from other pieces of the international affairs budget, such as Economic Support Fund money obligated in past years for specific countries but not disbursed. Another approach used was the transfer of funds to the international affairs budget from the Department of Defense for funding U.N. peacekeeping operations.

In addition, in 1994 and 1995, President Clinton proposed that U.S. assessed contributions for peacekeeping operations, for which Chapter VII of the Charter is specifically cited in the authorizing Security Council resolution, be financed under the Defense Department authorization/appropriations bills. He proposed that the U.S. assessed contribution for any other U.N. peacekeeping operations for which a large U.S. combat contingent is present also be financed from Defense Department money. Congress did not support this proposal.

## **Proposals for Strengthening U.N. Peacekeeping**

As peacekeeping became an option of choice in seeking a resolution of conflict situations in the post-Cold War world, proposals were made for strengthening the U.N. response to all aspects of this peace and security phenomenon. In June 1992, for example, then U.N. Secretary-General Boutros Boutros-Ghali issued a report, "An Agenda for Peace; Preventive Diplomacy, Peacemaking and Peacekeeping," which offered several recommendations relating to military force, peace enforcement, and peacekeeping. The report can be found on the U.N. web site at [<http://www.un.org/Docs/SG/agpeace.html>].

In March 1994, the Secretary-General, in a report on "Improving the Capacity of the United Nations for Peace-keeping," proposed a modification of the U.N. budget process for ongoing missions with some stability of operation and an increase in the Peacekeeping Reserve Fund from \$150 million to \$800 million in size. (U.N. document A/48/403\* or S/26450\*, not on the U.N. web.)

On August 23, 2000, a special Panel on United Nations Peace Operations, convened in March by U.N. Secretary-General Kofi Annan, issued a report presenting its recommendations aimed at improving the U.N.'s peace and security capabilities. Annan had asked the Panel to "assess the shortcomings of the existing system and to make frank, specific and realistic recommendations for change." (See text of the nearly 70-page report at [[http://www.un.org/peace/reports/peace\\_operations/](http://www.un.org/peace/reports/peace_operations/)].) Annan asked the Deputy Secretary-General to follow up on implementing the report's recommendations and to draft an "action plan" for consideration during the fall 2000 session of the U.N. General Assembly.

## The United States and Peacekeeping Proposals

The Clinton Administration initially supported collective security through the U.N. as a centerpiece among its foreign policy objectives. Later, President Clinton, in a September 1993 speech to the U.N. General Assembly, called on the Security Council to review closely each proposal for an operation before determining whether to establish it, saying that “the United Nations simply cannot become engaged in every one of the world’s conflicts.” He supported “creation of a genuine U.N. peacekeeping headquarters with a planning staff, with access to timely intelligence, with a logistics unit that can be deployed on a moment’s notice, and a modern operations center with global communications.” Clinton urged that U.N. operations be adequately and fairly funded, saying he was “committed to work with the United Nations” in reducing the U.S. assessment for peacekeeping. In May 1994, Clinton signed Presidential Decision Directive 25 on Reforming Peace Operations. The policy recommended 11 steps to strengthen U.N. management of peacekeeping operations and offered U.S. support for strengthening the planning, logistics, information, and command and control capabilities of the United Nations. The policy also supported reducing the U.S. peacekeeping assessment from 31.7% to 25%.

In a May 16, 2000, statement to a U.N. General Assembly committee, U.S. Ambassador Richard Holbrooke presented reform proposals aimed at strengthening U.N. capacities for U.N. peacekeeping and at changing the basis for financing U.N. peacekeeping. (See USUN Press Release #62 (00) at U.S. Mission to the United Nations web site: [[http://www.un.int/usa/00\\_062.htm](http://www.un.int/usa/00_062.htm)].) An August 24, 2000, statement by the State Department spokesman “commended” the work of the U.N. Panel on Peace Operations, noting that “the United States has been one of the earliest and most insistent voices calling for improvement in planning, the pace of deployment, and overall effectiveness in peacekeeping.”

## Congressional Perspectives: 1991-2001

Congress demonstrated its support for U.N. peacekeeping in a number of ways during 1991 and 1992. U.S. contributions for the U.N. Iraq-Kuwait Observation Mission were appropriated (P.L. 102-55). Funds for U.S. contributions for U.N. peacekeeping operations and also for the portion of U.S. arrearages to be paid from FY1992 money were authorized and appropriated in 1991 (P.L. 102-138; P.L. 102-140) and additional funds were made available in 1992 for the rapidly increasing number of peacekeeping operations (P.L. 102-266; P.L. 102-311; P.L. 102-368; and P.L. 102-395). This funding was important as demands for new U.N. actions worldwide increased.

During 1992, some in Congress focused on finding new sources of funding for U.S. contributions to U.N. peacekeeping obligations while others explored new directions for the United Nations in the area of peace and security. Senator Simon’s bill, for example, suggested that the United States finance its peacekeeping contributions from the defense budget function, as a larger and more reliable source. Proponents of this proposal pointed to the extent to which U.N. peacekeeping advances U.S. national security interests. Section 1342 of the Defense Authorization Act, P.L. 102-484, authorized the Secretary of Defense to obligate up to \$300 million from defense appropriations to, among other things, fund U.S.

peacekeeping contributions if the funding is not available from the State Department's CIPA account. Congress, in P.L. 102-484, asked the President for a report on the proposals made in "An Agenda for Peace". President Bush sent that report to Congress on January 19, 1993.

In 1993, in contrast, Congress did not provide all the funding requested by the President for financing U.S. contributions to U.N. peacekeeping. Congress appropriated \$401.6 million of the \$619.7 million requested in the CIPA account in the State Department Appropriations Act, FY1994 (H.R. 2519, P.L. 103-121, October 27, 1993). The Foreign Operations Act included \$75,623,000 of the \$77,166,000 requested for Peacekeeping Operations under the Military Assistance account (H.R. 2295, P.L. 103-87, September 3, 1993). Finally, Congress did not appropriate the \$300 million requested in the Department of Defense budget for DOD peacekeeping support.

Further, Congress' concerns in this area were expressed in a series of requirements included in the conference report on State Department appropriations. They included:

- ! Recommend that the Administration review thoroughly the current process of committing to peacekeeping operations.
- ! Expect the Administration to notify the U.N. that the U.S. will not accept an assessment greater than 25% for any new or expanded peacekeeping commitments after the date of enactment of this Act.
- ! Expect the State Department in its FY1995 budget submission to include an annual 3-year projection of U.S. peacekeeping costs and submit a detailed plan identifying U.S. actions needed to correct policy and structural deficiencies in U.S. involvement with U.N. peacekeeping activities.
- ! Fully expect the Secretary of State to notify both appropriations committees 15 days in advance, where practicable, of a vote by the U.N. Security Council to establish any new or expanded peacekeeping operation.
- ! Expect that the notification shall include the total estimated cost, the U.S. share, the mission and objectives, duration and estimated termination date, and the source of funding for the U.S. share.

Similar concerns and requirements were placed in statutory language in the Defense Appropriations Act, FY1994 (Section 8153, H.R. 3116, P.L. 103-139, November 11, 1993) and the National Defense Authorization Act, FY1994 (Title XI, H.R. 2401, P.L. 103-160, November 30, 1993).

**Table 4. U.S. Contributions to U.N. Peacekeeping as Requested and Enacted, FY1988-FY2002\***

(in millions of \$)

Fiscal Year	Requested	Supplemental	Enacted	Cyprus
1988	—	—	29.400	7.312
1989	29.000	—	141.000	7.312
1990	—	—	81.079	8.837
1991	247.400	—	133.521	8.836
1992	201.292	350.000	464.202	8.374
1993	460.315	293.000	460.315	9.000

Fiscal Year	Requested	Supplemental	Enacted	Cyprus
1994	619.736	670.000	401.607 670.000	—
1995	533.304	Rejected 672.000	533.304	—
1996	445.000	—	359.000	—
1997**	425.000	—	352.400	—
1998***	286.000	—	256.632	—
1999****	231.000	—	231.000	—
2000	235.000	Rejected 107.000	498.100	—
2001	738.666	—	844.139	—
2002*****	844.139	23.034	844.139	—

\* Except for the U.N. Force in Cyprus (UNFICYP), U.S. assessed contributions to U.N. peacekeeping are funded from CIPA account, State Department. U.S. money for UNFICYP was originally financed by voluntary contributions, funded through the Foreign Operations Act.

\*\* “Requested” includes \$142.4 million for arrears payment; “Enacted” includes \$50 million for arrears.

\*\*\* Both “Requested” and “Enacted” include \$46 million for arrears payment.

\*\*\*\* \$11.55 million of “Enacted” was transferred to the CIO account, leaving \$219.450 million.

\*\*\*\*\*\$43 million requested, March 21, 2002, in Emergency FY2002 Supplemental Appropriation. P.L. 107-206 provided \$23,034,000.

In 1994, the State Department appropriations bill (H.R. 4603; P.L. 103-317, August 26, 1994) included the requested \$533.3 million in the FY1995 CIPA account and \$670 million for the FY1994 CIPA supplemental appropriations (see Background on page 1). The foreign operations appropriations legislation (H.R. 4426; P.L. 103-306, August 23, 1994) also contained the requested \$75 million for peacekeeping and peace support and a provision allowing a transfer of \$850,000 to IMET for training of other countries’ troops for U.N. peacekeeping duty. The FY1995 National Defense Authorization bill (H.R. 4301) and the FY1995 DOD Appropriations Bill (H.R. 4650) were enacted without the \$300 million requested to finance U.S.-assessed contributions to three U.N. operations.

Congress, in early 1996, responded to the President’s February 1995 request by appropriating \$359 million of the \$445 million requested for FY1996 CIPA funding (P.L. 104-134, H.R. 3019, April 26, 1996) and \$70 million of the \$100 million requested for the PKO account (P.L. 104-107, H.R. 1868, February 12, 1996). Congress rejected the President’s request for \$672 million in FY1995 emergency supplemental funding in the CIPA account. Congress also rejected the Administration’s proposal that part (\$65 million) of the U.S. assessed contributions to two U.N. peacekeeping operations in which U.S. military personnel participated, Haiti (UNMIH) and Macedonia (UNPREDEP), be funded from Defense Department appropriations.

Congress, in 1996, provided \$352.4 million for U.S. assessments to U.N. peacekeeping accounts in H.R. 3610, the Omnibus Consolidated Appropriations Act, FY1997 (P.L. 104-208). This included \$50 million for U.S. peacekeeping arrears accumulated in 1995. Release of the arrears funding depends on an Administration certification that two of the following three U.N. non-peacekeeping-related actions occur: (1) savings of \$100 million in biennial expenses of five U.N. divisions or activities; (2) reduction in the number of U.N.

professional and general service staff at December 31, 1997 by at least 10% of the number employed on January 1, 1996; (3) adoption of a regular budget outline for 1998-1999 lower than the current U.N. regular budget level of \$2.608 billion. In addition, conferees expected that up to \$20 million in the account would be available for contingencies related to African crises, especially Burundi. Use of these funds in this way was subject to regular Committee review procedures.

Furthermore, Congress stipulated that none of the funds in the CIPA account shall be spent for any new or expanded U.N. peacekeeping mission unless the appropriate committees are notified, at least 15 days before a U.N. Security Council vote. The notification should provide the estimated cost, length of mission, and planned exit strategy. A reprogramming of funds is to be submitted, including the source of funds for the mission and a certification that American manufacturers and suppliers are given opportunities equal to those given to foreign sources to provide equipment, services, and materials for U.N. peacekeeping activities.

Congress appropriated \$65 million for the PKO account, but stipulated that none of the funds shall be obligated or expended, except as provided through regular notification procedures of the Appropriations committees.

In 1997, Congress appropriated \$256 million of the \$286 million requested for the FY1998 CIPA (including \$46 million for prior year payments/arrears) and \$77.5 million of the \$90 million requested for the FY1998 PKO account. Release of the \$46 million for arrears payments was contingent on passage of an authorization package linking arrears payments to specific U.N. reforms. Release of part of the PKO funds, for the MFO, was contingent on the Secretary of State filing a report on the status of efforts to replace the Director-General of the MFO (letter sent March 18, 1998).

In 1998, Congress appropriated the requested \$231 million for U.S. assessed contributions to U.N. peacekeeping operations (CIPA) and \$76.5 million of the \$83 million requested for international peacekeeping activities (PKO). Congress, however, did not include funds (\$921 million) sought in a FY1998 supplemental to pay U.N. and international organization arrears in FY1999 (\$475 million) and FY2000 (\$446 million).

In 1999, Congress appropriated \$500 million for payment of U.S. assessed contributions to U.N. peacekeeping accounts in the State Department Appropriations Act and \$153 million for voluntary contributions to international peacekeeping activities in the Foreign Operations Appropriations Act, both of which were incorporated by reference into H.R. 3194, Omnibus Consolidated Appropriations Act, 2000, P.L. 106-113.

Congress also sent the President in H.R. 3194 (106<sup>th</sup> Congress), the State Department Authorization Act for FY2000-FY2001 (H.R. 3427), which authorized \$500 million for the CIPA account for FY2000 and "such sums as may be necessary for FY2001" and contained a number of peacekeeping-related provisions. One provision required an annual report to the United Nations on all U.S. costs ("assessed, voluntary, and incremental") incurred in support of all U.N. Security Council passed peace activities and required the President to request the United Nations to compile and publish a report on the costs incurred by all U.N. members in support of U.N. peacekeeping activities. Another provision amended the U.N. Participation Act requiring the President to obtain timely U.N. reimbursement for U.S. goods

and services valued over \$3 million per fiscal year, per operation, provided to the United Nations. Another section codified in the U.N. Participation Act language previously enacted on consultations and reports on United Nations Peacekeeping Operations.

Lastly, this legislation provided for U.S. arrears payments of \$819 million to the United Nations for regular budget and peacekeeping accounts for FY1998, FY1999, and FY2000. In addition, section 913 provided for the forgiveness of \$107 million in amounts owed by the United Nations to the United States in reimbursements for peacekeeping troops. The primary benchmarks relating to peacekeeping included a 25% ceiling on peacekeeping assessments and no funding for or development of a U.N. standing army.

In 2000, Congress appropriated \$846 million for the FY2001 CIPA account, in response to the President's request of \$738.6 million for FY2001 and a FY2000 supplemental of \$107 million. Congress did not approve the supplemental for FY2000. The June 2000 House Appropriations Committee, in recommending a smaller appropriation, expressed its "gravest concern" over what it called "the Administration's tendency to...extend moribund missions and to establish and expand missions irrespective of Congressional input or the availability of funding to pay for them." The \$134 million requested for voluntary contributions for the FY2001 Peacekeeping Operations (PKO) account was reduced in the Foreign Operations appropriations bill, H.R. 4811 (106<sup>th</sup> Congress) to \$127 million (P.L. 106-429).

On November 28, 2001, the President signed H.R. 2500, appropriating funds for the State Department, including the amount requested for the FY 2002 CIPA account (P.L. 107-77). The law includes a provision requiring that 15%, or \$126,620,850, of the \$844,139,000 appropriated for CIPA remain available until September 30, 2003. On January 10, 2002, the President signed H.R. 2506, providing \$135 million of the requested \$150 million in voluntary contributions for the FY2002 Peacekeeping Operations (PKO) account under the Foreign Operations Act.

## LEGISLATION

### **H.R. 1646 (Hyde)**

Foreign Relations Authorization Act, Fiscal Years 2002 - 2003. Would provide \$844 million for payment of U.S. assessed contributions in CIPA. Amended provisions relating to 25% assessment level condition and cap on payment of U.S. assessed contributions to U.N. peacekeeping operations. Reported May 4, 2001, H.Rept. 107-57; passed House May 16, 2001; passed Senate, amended with text of S. 1803, May 1, 2002.

### **S. 248 (Helms)**

Amends the Foreign Relations Authorization Act, Fiscal Years 2000-2001 to revise a condition prohibiting the obligation of appropriated funds for payment of U.S. arrearages for assessed contributions to the United Nations until the share of the budget for each assessed U.N. peacekeeping operation does not exceed 28.15% for any single U.N. member. Passed Senate, by a vote of 99-0, February 7, 2001; passed House, September 24, 2001; signed by the President, October 5, 2001 (P.L. 107-46).

## Appendix 1. U.N. Peacekeeping Operations: A Chronological List \*

Name of Operation	Acronym and Service Dates	Location
*U.N. Truce Supervision Organization in Palestine	UNTSO 1948-	Middle East
*U.N. Military Observer Group in India and Pakistan	UNMOGIP 1949-	Jammu, Kashmir and Pakistan
U.N. Emergency Force I	UNEF I 1956-1967	Gaza; Egyptian side in Sinai
U.N. Observer Group in Lebanon	UNOGIL June-Dec. 1958	–
U.N. Operation in the Congo	ONUC 1960-1964	–
U.N. Security Force in West New Guinea	UNSF Oct. 1962-Apr. 1963	West Irian
U.N. Yemen Observer Mission	UNYOM July 1963-Sept. 1964	–
*U.N. Peacekeeping Force in Cyprus	UNFICYP 1964-	–
Mission of Represent. of the Sec'y- Gen'l in the Dominican Republic	DOMREP May 1965-Oct. 1966	–
U.N. India/Pakistan Observer Mission	UNIPOM Sept. 1965-Mar. 1966	India-Pakistan border
U.N. Emergency Force II	UNEF II 1973-1979	Suez Canal sector; Sinai Peninsula
*U.N. Disengagement Observer Force	UNDOF 1974-	Israel-Syria: Golan Heights
*U.N. Interim Force in Lebanon	UNIFIL 1978-	Southern Lebanon
U.N. Good Offices Mission in Afghanistan and Pakistan	UNGOMAP Apr. 1988-Mar. 1990	–
U.N. Iran-Iraq Military Observer Mission	UNIIMOG Aug. 1988-Feb. 1991	–
U.N. Angola Verification Mission	UNAVEM Jan. 1989-May 1991	–
U.N. Transition Assistance Group	UNTAG Apr. 1989-Mar. 1990	Namibia and Angola
U.N. Observer Group in Central America	ONUCA Nov. 1989-Jan. 1992	Costa Rica, El Salvador, Guatemala, Honduras, Nicaragua
*U.N. Iraq-Kuwait Observation Mission	UNIKOM Apr. 1991-	–
U.N. Observer Mission in El Salvador	ONUSAL May 1991-Apr. 1995	–
U.N. Angola Verification Mission II	UNAVEM II May 1991-Feb. 1995	–
*U.N. Mission for the Referendum in Western Sahara	MINURSO Apr. 1991-	–
U.N. Advance Mission in Cambodia	UNAMIC Oct. 1991-Mar. 1992	–
U.N. Protection Force	UNPROFOR Feb. 1992; March 1995-Jan. 1996	Former Yugoslavia: Croatia, Bosnia, "Macedonia"; B&H
U.N. Transitional Authority in Cambodia	UNTAC Feb. 1992-Oct. 1994	–
U.N. Operation in Somalia I	UNOSOM Apr. 1992-Apr. 1993	–
U.N. Operation in Mozambique	ONUMOZ Dec. 1992-Jan. 1995	Mozambique
U.N. Operation in Somalia II	UNOSOM II May 1993-March 1995	–
U.N. Observer Mission Uganda-Rwanda	UNOMUR June 1993-Sept. 1994	Uganda

<b>Name of Operation</b>	<b>Acronym and Service Dates</b>	<b>Location</b>
*U.N. Observer Mission in Georgia	UNOMIG Aug. 1993-	–
U.N. Observer Mission in Liberia	UNOMIL Sept. 1993- Sept. 1997	–
U.N. Mission in Haiti	UNMIH Sept. 1993-June 1996	–
U.N. Assistance Mission for Rwanda	UNAMIR Oct. 1993- March 1996	–
U.N. Aouzou Strip Observer Group	UNASOG May 4-June 13, 1994	Chad and Libya
U.N. Mission of Observers in Tajikistan	UNMOT Dec. 1994-May 15, 2000	–
U.N. Angola Verification Mission III	UNAVEM III Feb. 1995-June 1997	–
U.N. Confidence Restoration Operation in Croatia	UNCRO March 1995- Jan. 1996	–
U.N. Preventive Deployment Force	UNPREDEP March 1995-Feb. 1999	“Macedonia”
*U.N. Mission in Bosnia and Herzegovina (Includes Intl. Police Task Force (IPTF))	UNMIBH Dec. 1995-	Bosnia & Herzegovina
U.N. Transitional Administration for E. Slavonia, Baranja & W. Sirmium	UNTAES Jan. 1996- Jan. 1998	Croatia
*U.N. Mission of Observers in the Prevlaka	UNMOP Jan. 1996-	Croatia
U.N. Support Mission in Haiti	UNSMIH June 1996- July 1997	–
U.N. Verification Mission in Guatemala	MINUGUA Jan. 20-May 1997	–
U.N. Observer Mission in Angola	MONUA July 1997-Feb. 1999	–
U.N. Transition Mission in Haiti	UNTMIH Aug.-Nov. 1997	–
U.N. Civilian Police Mission in Haiti	MIPONUH Dec. 1997- March 2000	–
U.N. Civilian Police Support Group - Croatia	UNPSG Jan.-Oct. 15, 1998	–
U.N. Mission in the Central African Republic	MINURCA March 27, 1998-Feb. 15, 2000	–
U.N. Observer Mission in Sierra Leone	UNOMSIL July 1998- Oct. 1999	–
*U.N. Interim Administration Mission in Kosovo	UNMIK June 10, 1999-	–
*U.N. Observer Mission in the Democratic Republic of the Congo	MONUC Aug. 6, 1999-	–
*U.N. Mission in Sierra Leone	UNAMSIL Oct. 22, 1999-	–
U.N. Transitional Administration in East Timor	UNTAET Oct. 25, 1999- May 20, 2002	–
* U.N. Mission in Ethiopia and Eritrea	UNMEE Sept. 15, 2000-	–
* U.N. Mission of Support in East Timor	UNMISSET May 20, 2002-	–

\* Operation is still in existence

## Appendix 2. U.N. Peacekeeping: Status of U.S. Assessed Contributions

For Calendar Year 2001 (As of December 31, 2001) (in U.S. dollars)

Name of Operation	Arrears as of 12/31/00	2001 Assessments	Payments in 2001	Outstanding as of 12/31
UNDOF(Middle East)	9,404,028	15,131,750	9,242,420 CR	15,293,358
UNIFIL(Lebanon)	56,517,621	67,889,179	75,709,107 CR	48,697,693
UNAVEM III/MONUA (Angola)	70,393,259	0	22,569,393 CR	47,823,866
UNOSOM II (Somalia)	114,344,910	0	94,004,394	20,340,516
UNIKOM (Iraq, Kuwait)	6,442,479	7,093,011	5,117,350 CR	8,418,140
MINURSO (W. Sahara)	70,682,968	16,212,523	42,404,015 CR	44,491,476
UNPROFOR (Yugoslavia)	498,091,897	0	408,771,914	89,319,983
UNFICYP(Cyprus)	14,170,679	6,371,121	8,732,661 CR	11,809,139
UNOMIG(Georgia)	8,355,627	8,716,610	10,505,381 CR	6,566,856
UNOMIL(Liberia)	3,332,823	0	268,033	3,064,790
UNMOT(Tajikistan)	2,064,426	0	731,636 OCR	1,332,790
UNMIBH (Bosnia & Herz.)	42,806,519	61,106,690	56,126,100 CR	47,787,109
UNAMIR/UNOMUR (Rwanda)	12,671,117	0	4,498,028	8,173,089
UNTMIH (Haiti)	4,724,219	0	119,587 OCR	4,604,632
MINURCA (Central Af. Rep)	35,900,549	0	362,501 OCR	35,538,048
UNAMSIL (Sierra Leone)	28,742,681	162,688,486	97,397,842 CR	94,033,325
UNMIK (Kosovo)	34,336,233	178,337,976	164,287,846 CR	48,386,363
UNTAET (E. Timor)	29,090,335	186,960,581	169,034,475 CR	47,036,441
MONUC (DR Congo)	30,324,328	83,315,185	87,288,309 CR	26,351,204
UNMEE (Ethiopia/Eritrea)	0	81,606,714	71,300,754	10,305,980
<b>TOTALS</b>	<b>1,143,932,138</b>	<b>875,429,826</b>	<b>1,328,471,746 CR</b>	<b>690,910,238</b>
<b>Regular Budget</b>	<b>164,629,456</b>	<b>266,943,927</b>	<b>328,206,625</b>	<b>165,423,794</b>

**Sources:** United Nations. Status of Contributions, as of Dec. 31, 2000, and Dec. 31, 2001.

**Notes:** The Outstanding column includes a total of \$71,535,440 owed to 8 ended operations that are not listed separately under Name of Operation: UNTAC (Cambodia): \$11,465,637; ONUMOZ (Mozambique): \$6,680,111; UNTAES (Croatia): \$21,375,238; UNCPSG (Croatia): \$2,284,790; UNPREDEP ("Macedonia"): \$8,713,652; MIPONUH (Haiti): \$14,780,745; UNAMET (E. Timor): \$6,090,877; and MINUGUA (Guatemala): \$144,390.

Payments and outstanding contributions to 15 operations reflect U.N. credits (CR-credits included) and (OCR-only credits) from unencumbered balances to the United States of \$52,919,568.

2001 assessments figure is for bills received during CY2001.