



# Earthquakes: Risk, Detection, Warning, and Research

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## Summary

The 1994 Northridge (CA) earthquake caused as much as \$26 billion (in 2005 dollars) in damage and was one of the costliest natural disasters to strike the United States. The Federal Emergency Management Agency has estimated that earthquakes cost the United States over \$5 billion per year. A hypothetical scenario for a magnitude 7.8 earthquake in southern California estimated a possibility of 1,800 fatalities and over \$200 billion in economic losses. The May 12, 2008, magnitude 7.9 earthquake in Sichuan, China, resulted in nearly 70,000 fatalities. The January 12, 2010, magnitude 7.0 earthquake that struck Haiti only 15 miles from Port-au-Prince, the capital city, is also expected to result in a high number of fatalities and injuries. As is often the case with large earthquakes, the possibility of damaging aftershocks could continue in Haiti for months or even years.

Compared to the loss of life in some other countries, relatively few Americans have died as a result of earthquakes over the past 100 years. The United States, however, faces the possibility of large economic losses from earthquake-damaged buildings and infrastructure. California alone accounts for most of the estimated annualized earthquake losses for the nation, and with Oregon and Washington the three states account for nearly \$4.1 billion (77%) of the U.S. total estimated annualized loss. A single large earthquake, however, can cause far more damage than the average annual estimate.

An ongoing issue for Congress is whether the federally supported programs aimed at reducing U.S. vulnerability to earthquakes are an adequate response to the earthquake hazard. Under the National Earthquake Hazards Reduction Program (NEHRP), four federal agencies have responsibility for long-term earthquake risk reduction: the U.S. Geological Survey (USGS), the National Science Foundation (NSF), the Federal Emergency Management Agency (FEMA), and the National Institute of Standards and Technology (NIST). They variously assess U.S. earthquake hazards, send notifications of seismic events, develop measures to reduce earthquake hazards, and conduct research to help reduce overall U.S. vulnerability to earthquakes.

Congress reauthorized NEHRP in 2004 (P.L. 108-360) through FY2009. Appropriations for NEHRP from FY2005 to FY2009 did not meet authorized levels; the total funding enacted was \$615.5 million, approximately 68% of the total amount of \$902.4 million authorized by P.L. 108-360. The American Recovery and Reinvestment Act (ARRA; P.L. 111-5) provided some additional funding for earthquake activities under NEHRP through FY2010. In the 111<sup>th</sup> Congress, H.R. 3820 would reauthorize NEHRP through FY2014, authorizing total appropriations of \$806 million over five years for the program, with 88% of the funding designated for the USGS and NSF, and the remainder for FEMA and NIST. The total authorized amounts are about \$100 million less than what was authorized by P.L. 108-360 over five years. If future appropriations match the funding levels authorized under H.R. 3820, however, these funds would exceed the total cumulative amounts actually appropriated between FY2005 and FY2009.

What effect funding at the levels enacted through FY2009 under NEHRP has had on the U.S. capability to detect earthquakes and minimize losses after an earthquake occurs is not clear. It is also difficult to predict precisely how NEHRP reauthorized under H.R. 3820 would achieve a major goal of the bill: to reduce the loss of life and damage to communities and infrastructure through increasing the adoption of hazard mitigation measures. A perennial issue for Congress is whether activities under NEHRP can reduce the potential for catastrophic loss in the next giant earthquake to strike the United States.

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## **Introduction**

Close to 75 million people in 39 states face some risk from earthquakes. Earthquake hazards are greatest in the western United States, particularly California, but also Alaska, Washington, Oregon, and Hawaii. Earthquake hazards are also prominent in the Rocky Mountain region and the New Madrid Seismic Zone (a portion of the central United States), as well as portions of the eastern seaboard, particularly South Carolina. Given the potentially huge costs associated with a large, damaging earthquake in the United States, an ongoing issue for Congress is whether the federally supported earthquake programs are appropriate for the earthquake risk.

Under the National Earthquake Hazards Reduction Program (NEHRP), the federal government supports efforts to assess and monitor earthquake hazards and risk in the United States. Four federal agencies, responsible for long-term earthquake risk reduction, coordinate their activities under NEHRP: the U.S. Geological Survey (USGS), the National Science Foundation (NSF), the Federal Emergency Management Agency (FEMA), and the National Institute of Standards and Technology (NIST). Congress reauthorized NEHRP in 2004 (P.L. 108-360), and authorized appropriations through FY2009 for a total of \$902.4 million over five years. In the 111<sup>th</sup> Congress, H.R. 3820 (Title I) would reauthorize NEHRP through FY2014.

This report discusses:

- NEHRP—the multi-agency federal program to reduce the nation’s risk from earthquakes;
- earthquake hazards and risk in the United States;
- federal programs that support earthquake monitoring;
- the U.S. capability to detect earthquakes and issue notifications and warnings; and
- federally supported research to improve the fundamental scientific understanding of earthquakes with a goal of reducing U.S. vulnerability.

## **National Earthquake Hazards Reduction Program (NEHRP)**

In 1977 Congress passed the Earthquake Hazards Reduction Act (P.L. 95-124) establishing NEHRP as a long-term earthquake risk reduction program for the United States. The program initially focused on research, led by USGS and NSF, toward understanding and ultimately predicting earthquakes. Earthquake prediction has proved intractable thus far, and the NEHRP program shifted its focus to minimizing losses from earthquakes after they occur. FEMA was created in 1979 and President Carter designated it as the lead agency for NEHRP. In 1980, Congress reauthorized the Earthquake Hazards Reduction Act (P.L. 96-472), defining FEMA as the lead agency and authorizing additional funding for earthquake hazard preparedness and mitigation to FEMA and the National Bureau of Standards (now NIST).

## A Shift in Program Emphasis to Hazard Reduction

In 1990, Congress reauthorized NEHRP (P.L. 101-614) and made substantive changes, to decrease the emphasis on earthquake prediction, clarify the role of FEMA, clarify and expand the program objectives, and require federal agencies to adopt seismic safety standards for new and existing federal buildings. In 2004, Congress reauthorized NEHRP through FY2009 (P.L. 108-360) and shifted primary responsibility for planning and coordinating NEHRP from FEMA to NIST. It also established a new interagency coordinating committee and a new advisory committee, both focused on earthquake hazards reduction.

The current program activities are focused on four broad areas:

- developing effective measures to reduce earthquake hazards;
- promoting the adoption of earthquake hazards reduction measures by federal, state, and local governments, national building standards and model building code organizations, engineers, architects, building owners, and others who play a role in planning and constructing buildings, bridges, structures, and critical infrastructure or lifelines;
- improving the basic understanding of earthquakes and their effects on people and infrastructure, through interdisciplinary research involving engineering, natural sciences, and social, economic, and decision sciences; and
- developing and maintaining the Advanced National Seismic System (ANSS), the George E. Brown Jr. Network for Earthquake Engineering Simulation (NEES), and the Global Seismic Network (GSN).<sup>1</sup>

The House Science Committee report in the 108<sup>th</sup> Congress on H.R. 2608 (P.L. 108-360) noted that NEHRP has produced a wealth of useful information since 1977, but it also stated that the program's potential has been limited by the inability of the NEHRP agencies to coordinate their efforts.<sup>2</sup> The committee asserted that restructuring the program with NIST as the lead agency, directing funding towards appropriate priorities, and implementing it as a true interagency program would lead to improvement.

The 2004 reauthorization directed the Director of NIST to chair the Interagency Coordinating Committee. Other members of the committee include the directors of FEMA, USGS, NSF, the Office of Science and Technology Policy, and the Office of Management and Budget. The Interagency Coordinating Committee is charged with overseeing the planning, management, and coordination of the program. Primary responsibilities for the NEHRP agencies break down as follows (see also **Figure 1**):

- NIST supports the development of performance-based seismic engineering tools and works with other groups to promote the commercial application of the tools through building codes, standards, and construction practices.

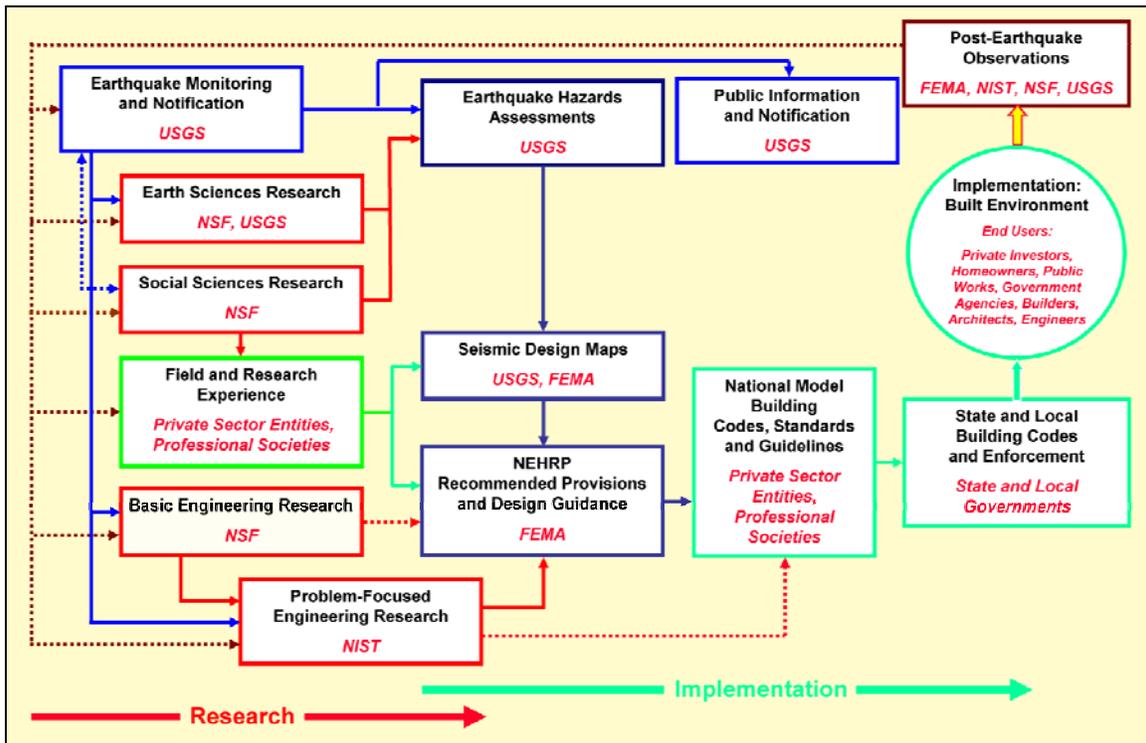
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<sup>1</sup> ANSS is a nationwide network of seismographic stations operated by the USGS. GSN is a global network of stations coordinated by the Incorporated Institutions for Seismology. NEES is an NSF-funded project that consists of 15 experimental facilities and an IT infrastructure with a goal of mitigating earthquake damage by the use of improved materials, designs, construction techniques, and monitoring tools.

<sup>2</sup> U.S. House, Committee on Science, *National Earthquake Hazards Reduction Program Reauthorization Act of 2003*, H.Rept. 108-246 (Aug. 14, 2003), p. 13.

- FEMA assists other agencies and private-sector groups to prepare and disseminate building codes and practices for structures and “lifelines”,<sup>3</sup> and aids development of performance-based codes for buildings and other structures.
- USGS conducts research and other activities to characterize and assess earthquake risks, and (1) operates a forum, using the National Earthquake Information Center (NEIC), for the international exchange of earthquake information, (2) works with other NEHRP agencies to coordinate activities with earthquake reduction efforts in other countries, and (3) maintains seismic hazard maps in support of building codes for structures and lifelines, and other maps needed for performance-based design approaches.
- NSF supports research to improve safety and performance of buildings, structures, and lifelines using the large-scale experimental and computational facilities of NEES and other institutions engaged in research and implementation of NEHRP.

**Figure 1. NEHRP Agency Responsibilities and End Users of NEHRP Outcomes**



Source: NEHRP program office at [http://www.nehrp.gov/pdf/ppt\\_sdr.pdf](http://www.nehrp.gov/pdf/ppt_sdr.pdf) (modified by CRS).

**Table 1** shows the authorized and enacted appropriations for NEHRP from FY2005 through FY2009. The total enacted amount for FY2005-FY2009 was \$615.5 million, or 68% of the \$902.4 million total amount authorized in P.L. 108-360 over the five-year span. President Obama requested a total of \$131.7 million for NEHRP in FY2010, even though authorization for the program under P.L. 108-360 expired at the end of FY2009.

<sup>3</sup> Lifelines are essential utility and transportation systems.

**Table I. Authorized and Enacted Funding for NEHRP**  
(\$ millions)

		USGS	NSF	FEMA	NIST	Total
FY2005	Authorized	77.0	58.0	21.0	10.0	<b>166.0</b>
	Enacted	58.4	53.1	14.7	0.9	<b>127.1</b>
FY2006	Authorized	84.4	59.5	21.6	11.0	<b>176.5</b>
	Enacted	54.5	53.8	9.5	0.9	<b>118.7</b>
FY2007	Authorized	85.9	61.2	22.3	12.1	<b>181.5</b>
	Enacted	55.4	54.2	7.2	1.7	<b>118.5</b>
FY2008	Authorized	87.4	62.9	23.0	13.3	<b>186.6</b>
	Enacted	58.1	55.6	6.1	1.7	<b>121.5</b>
FY2009	Authorized	88.9	64.7	23.6	14.6	<b>191.8</b>
	Enacted	61.2	55.3	9.1	4.1	<b>129.7</b>
FY2010	Requested	61.5	57.0	9.1	4.1	<b>131.7</b>

**Source:** NEHRP program office, at [http://www.nehrp.gov/pdf/ppt\\_sdr.pdf](http://www.nehrp.gov/pdf/ppt_sdr.pdf).

**Notes:** According to the NIST program office, the FEMA FY2010 requested budget is the estimated portion of the Administration’s Department of Homeland Security budget request that would be allocated for FEMA NEHRP activities.

## NEHRP Reauthorization in the 111<sup>th</sup> Congress

Title I of H.R. 3820, the Natural Hazards Risk Reduction Act of 2009, would reauthorize NEHRP through FY2014, retain NIST as the lead NEHRP agency, and authorize total appropriations of slightly more than \$806 million over five years. Title II of H.R. 3820 would reauthorize the National Windstorm Impact Reduction Act (first enacted in 2004 as Title II of P.L. 108-360 and modeled after NEHRP), and Title III would create an interagency coordinating committee, chaired by the Director of NIST, that would oversee the planning and coordination of both the earthquake and wind hazards programs. The single interagency coordinating committee would replace the two separate interagency committees overseeing the current earthquake and wind hazards programs. The bill was ordered to be reported by the House Science and Technology Committee on October 21, 2009, but has not seen further action.

The interagency coordinating committee also would be given authority to “make proposals for planning and coordination of any other federal research for natural hazards mitigation that the Committee considers appropriate.” The potentially broader mandate for the interagency coordinating committee—to embrace all natural hazards in its deliberations—could reflect an emphasis on natural hazard *mitigation* presented in the bill’s “Findings” section. The bill finds that research is needed to better understand institutional, social, behavioral, and economic factors that influence how risk mitigation is implemented, and that a major goal of federally supported natural hazards-related research should be to increase the adoption of hazard mitigation measures. This theme is an aspect of an “all-hazards” approach to reducing risk, and could reflect observations presented during a June 11, 2009, hearing of the House Science and Technology Committee at which a witness noted that “there appear to be significant similarities in societal responses to different hazards.”<sup>4</sup> In that testimony, the witness observed that there are limited

<sup>4</sup> U.S. Congress, House Committee on Science and Technology, Subcommittee on Technology and Innovation, (continued...)

opportunities to study earthquake emergency response and recovery because damaging earthquakes are relatively infrequent; however, there are important lessons to be learned from studying other, more frequent, hazards, such as tornadoes.<sup>5</sup>

### Authorization of Appropriations in H.R. 3820

H.R. 3820 would authorize total appropriations for NEHRP of approximately \$806 million for a five-year period ending in FY2014, with 88% of the funding authorized for the USGS and NSF, and the remainder for FEMA and NIST. (See **Table 2**.) The total authorized amounts are about \$100 million less than what was authorized by P.L. 108-360 over five years. However, funding under H.R. 3820 would exceed the amounts actually appropriated between FY2005 and FY2009 for NEHRP if Congress enacted appropriations over five years that matched the authorized amounts. Compared to the previously enacted authorized funding, total authorized amounts would be less under H.R. 3820 for NIST, FEMA, and the USGS, but greater for NSF.

**Table 2. NEHRP Authorization for Appropriations Under H.R. 3820**  
(\$ millions)

	FY2010	FY2011	FY2012	FY2013	FY2014	Total FY2010-FY2014 auth.	Total FY2005-FY2009 auth.	Total FY2005-FY2009 enact.
USGS	70.0	72.1	74.3	76.5	78.8	<b>371.6</b>	<b>423.6</b>	<b>287.6</b>
NSF	64.1	66.1	68.0	70.1	72.2	<b>340.5</b>	<b>306.3</b>	<b>272.0</b>
FEMA	10.2	10.6	10.9	11.2	11.5	<b>54.4</b>	<b>111.5</b>	<b>46.6</b>
NIST	7.0	7.7	7.9	8.2	8.4	<b>39.2</b>	<b>61.0</b>	<b>9.3</b>
<b>Total</b>	<b>151.4</b>	<b>156.4</b>	<b>161.1</b>	<b>165.9</b>	<b>170.9</b>	<b>805.7</b>	<b>902.4</b>	<b>615.5</b>

**Source:** U.S. House of Representatives, H.R. 3820; and NEHRP program office, at [http://www.nehrp.gov/pdf/ppt\\_sdr.pdf](http://www.nehrp.gov/pdf/ppt_sdr.pdf).

**Note:** Totals may not sum due to rounding.

The USGS would receive the largest share of authorized appropriations under H.R. 3820, as under the previous reauthorization of NEHRP, although the total amount would be approximately \$52 million less than the amount authorized for FY2005 through FY2009. Also, the previous reauthorization singled out the Advanced National Seismic System (ANSS) to receive a subset of authorized appropriations within the total USGS-authorized amount. Specifically, ANSS was authorized to receive \$30 million in FY2005, and \$36 million each year through FY2009, for a total of \$174 million over five years. In contrast, H.R. 3820 does not specify a subset of authorized appropriations for ANSS, although it does state that appropriations for the USGS are to include ANSS. Funding for ANSS would therefore be left to the discretion of the agency under H.R. 3820. It is unclear whether (and probably unlikely that) the USGS would be able to attain the goal of installing 7,000 seismic stations originally envisioned for ANSS even if appropriations matched authorization levels over the next five years. (See “Advanced National Seismic System (ANSS)” below for further discussion.)

(...continued)

*Reauthorization of the National Earthquake Hazards Reduction Program: R&D for Resilient Communities*, testimony of Dr. Michael Lindell, 111<sup>th</sup> Cong., 1<sup>st</sup> sess., June 11, 2009.

<sup>5</sup> *Ibid.* Tornadoes could be considered similar to earthquakes as “rapid onset disasters” that provide little or no warning, but which elicit similar societal responses, according to Dr. Lindell.

## **Other Changes Proposed in H.R. 3820**

Section 105 of H.R. 3820 would shift a post-earthquake investigations program from the USGS to be led instead by NIST, in consultation with the other NEHRP agencies, and the program would be organized to study the implications of earthquakes in the areas of responsibility of each NEHRP agency.

The interagency coordinating committee that would be created under Title III of H.R. 3820 would be largely similar to the current interagency committee except that it would also include the National Oceanic and Atmospheric Administration (NOAA), and the committee would have the discretion to include the head of any other federal agency it considers appropriate. In addition to acting as a single coordinating committee for both the earthquake and wind hazards program, the committee is charged with developing a strategic plan for both programs,<sup>6</sup> providing progress reports to Congress, and developing coordinated budgets for each program. Also, the Director of NIST is required to establish advisory committees for both programs, similar to the current advisory committees established under P.L. 108-360.

Title III of H.R. 3820 also requires the Subcommittee on Disaster Reduction of the Committee on Environment and Natural Resources of the National Science and Technology Council to submit a report, within two years of enactment, identifying federal research, development, and technology transfer activities for natural disasters, common areas of research among the natural hazards, and opportunities to “create synergies between the research activities for the hazards.”

## **Earthquake Hazards and Risk**

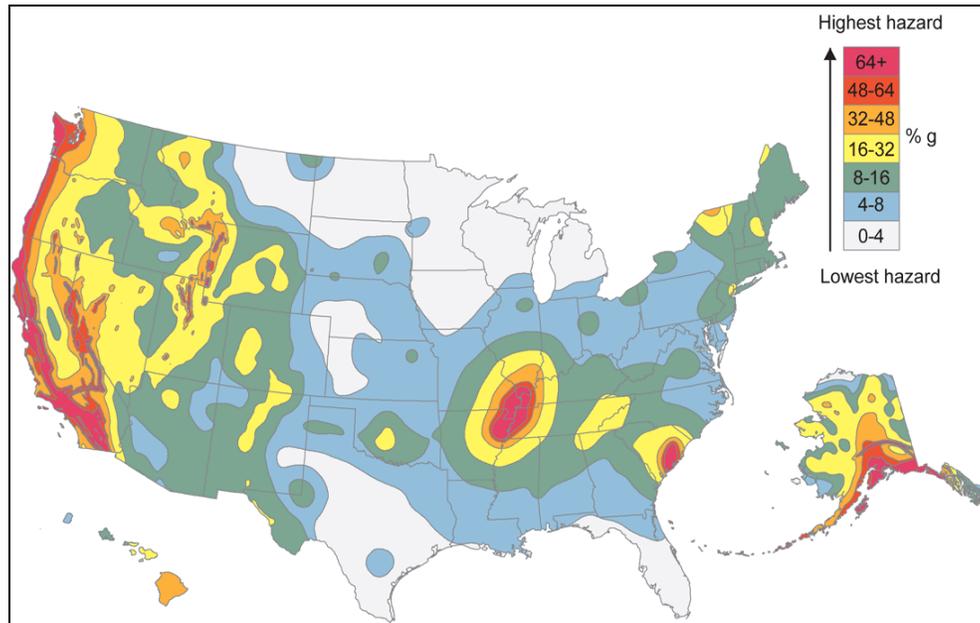
**Figure 2** indicates that detailed information exists on where earthquakes are likely to occur in the United States and how severe the earthquake magnitude and resulting ground shaking are likely to be. The map in **Figure 2** depicts the potential shaking hazard from future earthquakes. It is based on the frequency at which earthquakes occur in different areas and how far the strong shaking extends from the source of the earthquake. In **Figure 2**, the hazard levels indicate the potential ground motion—expressed as a percentage of the acceleration due to gravity (g). In a sense, the map shows the likelihood of where earthquakes could occur, and where the strongest shaking could take place.

All 50 states and the District of Columbia are vulnerable to earthquake hazards, although risks vary greatly across the country. Seismic hazards are greatest in the western United States, particularly California, Washington, Oregon, and Alaska and Hawaii. Alaska is the most earthquake-prone state, experiencing a magnitude 7 earthquake almost every year and a magnitude 8 earthquake every 14 years on average. (See box below for a description of earthquake magnitude.) Because of its low population and infrastructure density, Alaska has a relatively low risk for large economic losses from an earthquake. In contrast, California has more citizens and infrastructure at risk than any other state because of the state’s frequent seismic activity combined with its high population.

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<sup>6</sup> The current interagency coordinating committee for NEHRP submitted a strategic plan to Congress in October 2008. It is available at [http://www.nehrp.gov/pdf/strategic\\_plan\\_2008.pdf](http://www.nehrp.gov/pdf/strategic_plan_2008.pdf).

**Figure 2. Earthquake Hazard in the United States**



**Source:** USGS Fact Sheet 2008-3018 (April 2008), at [http://earthquake.usgs.gov/research/hazmaps/products\\_data/images/nshm\\_us02.gif](http://earthquake.usgs.gov/research/hazmaps/products_data/images/nshm_us02.gif). Modified by CRS.

**Note:** The bar in the upper right shows the potential ground motion—expressed as a percentage of the acceleration due to gravity (g)—with up to a 1 in 10 chance of being exceeded over a 50-year period.

### Earthquake Magnitude and Intensity

Earthquake magnitude is a number that characterizes the relative size of an earthquake. It is often reported using the *Richter* scale (magnitudes in this report are generally consistent with the Richter scale). Richter magnitude is calculated from the strongest seismic wave recorded from the earthquake, and is based on a logarithmic (base 10) scale: for each whole number increase in the Richter scale, the ground motion increases by ten times. The amount of energy released per whole number increase, however, goes up by a factor of 32. The *moment magnitude* scale is another expression of earthquake size, or energy released during an earthquake, that roughly corresponds to the Richter magnitude and is used by most seismologists because it more accurately describes the size of very large earthquakes. *Intensity* is a measure of how much shaking occurred at a site based on observations and amount of damage. Intensity is usually reported on the Modified Mercalli Intensity Scale as a Roman numeral ranging from I (not felt) to XII (total destruction).

**Figure 2** also shows relatively high earthquake hazard in the Rocky Mountain region, portions of the eastern seaboard—particularly South Carolina—and a part of the central United States known as the New Madrid Seismic Zone (see “The New Madrid Seismic Zone,” below). Other portions of the eastern and northeastern United States are also vulnerable to moderate seismic hazard. According to the USGS, 75 million people in 39 states are subject to “significant risk.”<sup>7</sup>

<sup>7</sup> U.S. Geological Survey, Dept. of the Interior, *Earthquake Hazards—A National Threat*, Fact Sheet 2006-3016, March 2006, <http://pubs.usgs.gov/fs/2006/3016/2006-3016.pdf>. During the period 1975-1995, only four states did not experience detectable earthquakes: Florida, Iowa, North Dakota, and Wisconsin. See USGS Earthquake Hazards Program, *Earthquake Facts*, at <http://earthquake.usgs.gov/learn/facts.php>.

### National Seismic Hazards Maps and Earthquake Forecast for California

On April 21, 2008, the USGS released National Seismic Hazards Maps that updated the version published in 2002. Compared to the 2002 version, the new maps indicate lower ground motions (by 10% to 25%) for the central and eastern United States, based on modifications to the ground-motion models used for earthquakes. The new maps indicate that estimates of ground motion for the western United States are as much as 30% lower for certain types of ground motion, called long-period seismic waves, which affect taller, multi-story buildings. Ground motion that affects shorter buildings of a few stories, called short-period seismic waves, is roughly similar to the 2002 maps. The new maps show higher estimates for ground motion for western Oregon and Washington compared to the 2002 maps, due to new ground motion models for the offshore Cascadia subduction zone. In formulating the 2008 maps, the USGS gave more weight to the probability of a catastrophic magnitude 9 earthquake occurring along the Cascadia subduction zone. The Cascadia subduction zone fault ruptures, on average, every 500 years, and has the potential to generate destructive earthquakes and tsunamis along the coasts of Washington, Oregon, and northern California.

According to a report released on April 14, 2008, California has a 99% chance of experiencing a magnitude 6.7 or larger earthquake in the next 30 years. The likelihood of an even larger earthquake, magnitude 7.5 or greater, is 46% and will likely occur in the southern part of the state. The fault with the highest probability of generating at least one earthquake of magnitude 6.7 or greater over the next 30 years is the San Andreas in southern California (59% probability); for northern California it is the Hayward-Rodgers Creek fault (31%). The earthquake forecasts are not predictions (i.e., they do not give a specific date or time), but represent probabilities over a given time period. In addition, the probabilities have variability associated with them. The earthquake forecasts are known as the "Uniform California Earthquake Rupture Forecast (UCERF)" and are produced by a working group composed of the USGS, the California Geological Survey, and the Southern California Earthquake Center.

**Sources:** USGS Fact Sheet 2008-3018, "2008 United States National Seismic Hazard Maps" (April 2008), at [http://pubs.usgs.gov/fs/2008/3018/pdf/FS08-3018\\_508.pdf](http://pubs.usgs.gov/fs/2008/3018/pdf/FS08-3018_508.pdf); USGS Fact Sheet 2008-3027, "Forecasting California's Earthquakes—What Can We Expect in the Next 30 Years?" (2008), at <http://pubs.usgs.gov/fs/2008/3027/fs2008-3027.pdf>.

Shaking hazards maps, such as the one in **Figure 2**, are often combined with other data, such as the strength of existing buildings, to estimate possible damage in an area due to an earthquake. The combination of seismic risk, population, and vulnerable infrastructure can help improve the understanding of which urban areas across the United States face risks from earthquake hazards that may not be immediately obvious from the probability maps of shaking hazards alone. The USGS has identified 26 urban areas that face a significant seismic risk from the combination of population and severity of shaking. **Table 3** lists those areas at greatest risk.

The USGS estimates that several million earthquakes occur worldwide each year, but the majority are of small magnitude or occur in remote areas, and are not detectable. More earthquakes are detected each year as more seismometers<sup>8</sup> are installed in the world, but the number of large earthquakes (magnitude greater than 6.0)<sup>9</sup> has remained relatively constant. Between 2000 and 2008 there were between 2,261 and 3,876 earthquakes per year in the United States, according to the National Earthquake Information Center (NEIC). (See **Figure 3**.)

<sup>8</sup> *Seismometers* are instruments that measure and record the size and force of seismic waves, essentially sound waves radiated from the earthquake as it ruptures. Seismometers generally consist of a mass attached to a fixed base. During an earthquake, the base moves and the mass does not, and the relative motion is commonly transformed into an electrical voltage that is recorded. A *seismograph* usually refers to the *seismometer* and the recording device, but the two terms are often used interchangeably.

<sup>9</sup> See USGS "Earthquakes Facts and Statistics" at [http://neic.usgs.gov/neis/eqlists/eqstats.html#table\\_2](http://neic.usgs.gov/neis/eqlists/eqstats.html#table_2).

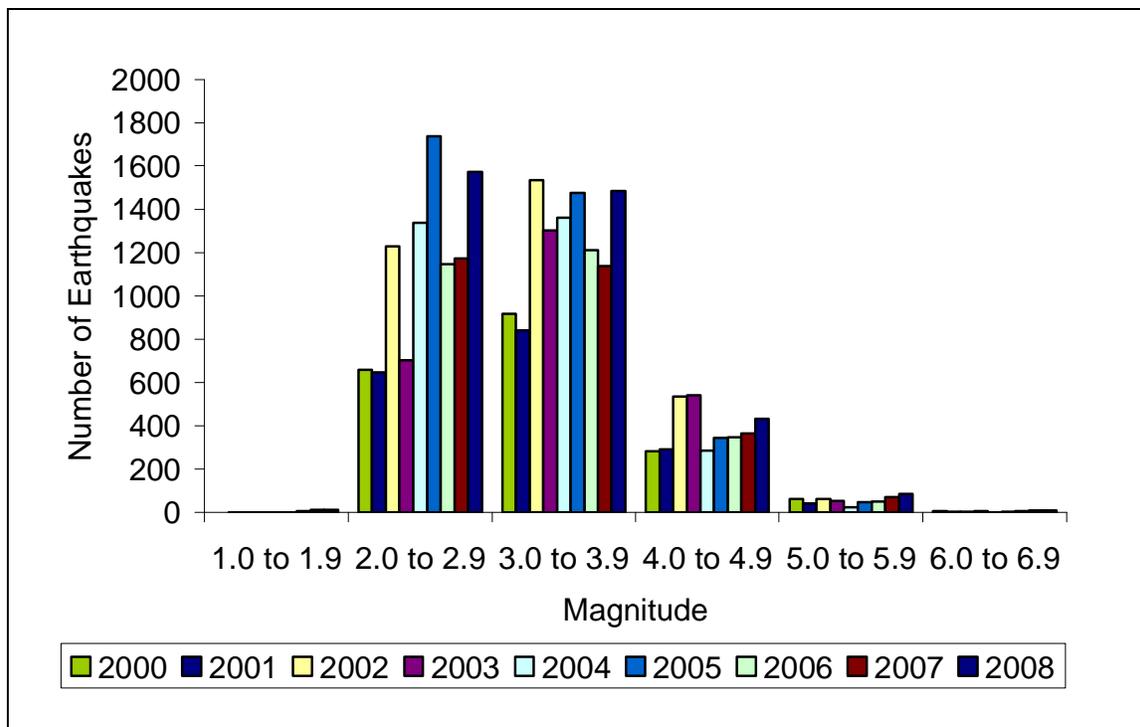
**Table 3. Urban Areas Facing Significant Seismic Risk**  
(alphabetically by state for cities with at least 300,000 people)

State	City	State	City
Alaska	Anchorage	Nevada	Las Vegas
California	Fresno	Nevada	Reno
California	Los Angeles	New Mexico	Albuquerque
California	Sacramento	New York	New York
California	Salinas	Oregon	Eugene-Springfield
California	San Diego	Oregon	Portland
California	San Francisco-Oakland	Puerto Rico	San Juan
California	Santa Barbara	South Carolina	Charleston
California	Stockton-Lodi	Tennessee	Chattanooga-Knoxville
Idaho	Boise	Tennessee	Memphis
Indiana	Evansville	Utah	Provo-Orem
Massachusetts	Boston	Utah	Salt Lake City
Missouri	St. Louis	Washington	Seattle

**Sources:** USGS Fact Sheet 2006-3016 (March 2006); USGS Circular 1188, Table 3.

**Note:** These areas are identified using a population-based risk factor based on 1999 population data. (William Leith, ANSS Coordinator, USGS, Reston, VA, telephone conversation, Nov. 15, 2006).

**Figure 3. Histogram of the Number of U.S. Earthquakes from 2000 to 2008 by Magnitude (1.0 to 6.9)**



**Source:** USGS, “Earthquake Facts and Statistics,” at <http://neic.usgs.gov/neis/eqlists/eqstats.html>; data as of December 7, 2009.

**Note:** Earthquakes greater than magnitude 7.0 and less than 1.0 are not shown. According to the USGS, 6 earthquakes of magnitude 7.0 or greater occurred in the United States between 2001 and 2007.

As **Figure 3** shows, about 98% of earthquakes detected each year by the NEIC are smaller than magnitude 5.0; only 59 earthquakes exceeded magnitude 6.0 for the nine-year period (less than 0.3% of the total earthquakes detected) for an average of less than seven earthquakes per year of at least 6.0 magnitude. Large earthquakes, although infrequent, cause the most damage and are responsible for most earthquake-related deaths. The great San Francisco earthquake of 1906 claimed an estimated 3,000 lives, as a result of both the earthquake and subsequent fires. Over the past 100 years, relatively few Americans have died as a result of earthquakes, compared to citizens in some other countries.<sup>10</sup> Since 1970, three major earthquakes in the United States were responsible for 188 of the 212 total earthquake-related fatalities (see **Table 4**).

**Table 4. Earthquakes Responsible for Most U.S. Fatalities Since 1970**

Date	Location	Magnitude	Deaths
February 9, 1971	San Fernando Valley, CA	6.6	65
October 18, 1989	Loma Prieta, CA	6.9	63
January 17, 1994	Northridge, CA	6.7	60

**Source:** USGS, [http://earthquake.usgs.gov/regional/states/us\\_deaths.php](http://earthquake.usgs.gov/regional/states/us_deaths.php).

**Note:** Other sources report different numbers of fatalities associated with the Northridge earthquake.

Since 2000, only two deaths directly caused by earthquakes have occurred in the United States, both associated with falling debris in Paso Robles (CA) during the December 22, 2003, San Simeon earthquake of magnitude 6.5. In contrast, earthquakes have been directly or indirectly responsible for more than 430,000 fatalities in other countries since 2000. More than half of those estimated deaths resulted from the December 2004 Indonesian earthquake of magnitude 9.1 and the resulting tsunami. On May 12, 2008, a magnitude 7.9 earthquake struck Eastern Sichuan, China, causing the known deaths of nearly 70,000 people (see box).

The 1994 Northridge earthquake was the nation’s most damaging earthquake in the past 100 years, preceded five years earlier by the second most costly earthquake—Loma Prieta. **Table 5** shows the 10 costliest U.S. earthquakes in terms of insured and uninsured losses. Comparing losses between different earthquakes, and between earthquakes and other disasters such as hurricanes, can be difficult because of the different ways losses are calculated. Calculations may include a combination of insured losses, uninsured losses, and estimates of lost economic activity. For example, insured losses from Hurricane Katrina in 2005—mainly property—may be \$41 billion, according to one estimate.<sup>11</sup> Total property damage would rise if uninsured property were included; and including interrupted economic activity in the calculation could bring the total loss for Hurricane Katrina to \$100 billion, according to one estimate.<sup>12</sup>

<sup>10</sup> Estimates of earthquake-related fatalities vary and an exact tally of deaths and injuries is rare. For more information on the difficulties of counting earthquake-related deaths and injuries, see [http://earthquake.usgs.gov/regional/world/casualty\\_totals.php](http://earthquake.usgs.gov/regional/world/casualty_totals.php).

<sup>11</sup> Insurance Information Institute, <http://www.iii.org/media/facts/statsbyissue/hurricanes/>. Loss estimates are in 2005 dollars.

<sup>12</sup> Risk Management Solutions (RMS), Newark, CA, press release (Sept. 2, 2005), at [http://www.rms.com/NewsPress/PR\\_090205\\_HUKatrina.asp](http://www.rms.com/NewsPress/PR_090205_HUKatrina.asp).

### The May 12, 2008, Sichuan Earthquake in China and Implications for the United States

On May 12, 2008, at 2:28 PM local time (2:28 AM eastern daylight time), a catastrophic earthquake of magnitude 7.9 struck Eastern Sichuan, China. The epicenter was located approximately 960 miles southwest of Beijing, and the earthquake was triggered approximately 12 miles below the earth's surface. Nearly 70,000 fatalities have been reported. The earthquake was felt in parts of eastern, southern, and central China, and as far away as Bangladesh, Taiwan, Thailand, and Vietnam. Several large aftershocks occurred after the main seismic event.

The May 12 earthquake resulted from movement along a northeast-trending reverse or *thrust* fault, reflecting stresses from the convergence of rocks of the Tibetan Plateau, to the west, against the crust underlying the Sichuan Basin and southeastern China. The region has experienced large earthquakes in the past; on August 25, 1933, a magnitude 7.5 earthquake struck the northwestern margin of the Sichuan Basin, resulting in approximately 9,300 fatalities.

Some concerns have been raised about the possibility of an earthquake of similar magnitude occurring in a seismically active region of the United States, such as southern California, where fault movement similar to the Eastern Sichuan earthquake may occur. On May 22, 2008, the USGS released a hypothetical scenario for a magnitude 7.8 southern California earthquake, called the ShakeOut Scenario. In the scenario, scientists hypothetically simulated the ground shaking and fault rupture associated with a magnitude 7.8 earthquake, and estimated the resulting damage to buildings and infrastructure. The scenario estimated approximately 1,800 fatalities and \$213 billion in economic losses as a result of the earthquake. The report points to aggressive retrofitting programs that have increased the seismic resistance of buildings, highways, and other critical infrastructure in southern California as one reason why the number of possible fatalities is relatively low.

Some scientists have raised the possibility that earthquakes, such as the May 12, 2008, Sichuan event, may sometimes exhibit cascading behavior, where bursts of seismic energy are released along different places in a single fault, or jump between connected faults. Earthquakes that occur along the Sierra Madre fault in southern California, for example, could trigger a series of cascading seismic events along other faults, such as the San Andreas. Seismic hazard estimates may not fully account for the damage that could be caused by cascading earthquakes along a connected fault system. Scientists are hoping to examine the Sichuan earthquake in more detail to better understand the nature of cascading seismic events and how they affect the U.S. seismic hazard estimates.

**Sources:** Ken Hudnut, geophysicist, USGS, Pasadena, CA, phone conversation, June 11, 2008; USGS Earthquake Hazards Program, at <http://earthquake.usgs.gov/eqcenter/eqinthenews/2008/us2008ryan/#summary>; USGS Newsroom, *Earthquake Fatalities High in 2008*, at <http://www.usgs.gov/newsroom/article.asp?ID=2101>; and USGS, *The ShakeOut Scenario*, Open-File Report 2008-1150 (2008), at <http://pubs.usgs.gov/of/2008/1150/>.

**Table 5. The 10 Most Damaging Earthquakes in the United States**

Year	Location	Magnitude	\$2005 (billions)
1994	Northridge, CA <sup>a</sup>	6.7	\$26
1989	Loma Prieta, CA	6.9	\$11
1964	Anchorage, AK	9.2	\$3.1
1971	San Fernando, CA	6.5	\$2.7
2001	Nisqually, WA	6.8	\$2.5
1987	Whittier Narrows, CA	5.9	\$0.62
1933	Long Beach, CA	6.3	\$0.60
1953	Kern County, CA	7.5	\$0.44
1992	Landers, CA	7.6	\$0.13
1992	Cape Mendocino, CA	7.1	\$0.092

**Source:** Insurance Information Institute, at <http://www.iii.org/media/facts/statsbyissue/earthquakes/>.

**Note:** Includes insured and uninsured losses.

- a. Estimates for total losses resulting from the Northridge earthquake vary; the Congressional Budget Office estimated \$43 billion in total losses (\$50 million in 2005 dollars). See *Federal Reinsurance for Disasters*, Congressional Budget Office (September 2002), p. 19.

### January 12, 2010, Magnitude 7.0 Earthquake Strikes Haiti

On Tuesday, January 12, 2010, a magnitude 7.0 earthquake struck Haiti at 4:53 p.m. The epicenter was located approximately 15 miles southwest of Port-au-Prince, and the earthquake occurred at a depth of about 8 miles, according to initial USGS reports. The relatively shallow earthquake, and its close proximity to the capital city, exposed millions of Haitians to severe to violent ground shaking. According to preliminary analyses, the earthquake occurred along the Enriquillo-Plantain Garden fault system, a major east-west trending strike-slip fault system that lies between the Caribbean tectonic plate and the North American tectonic plate; the Caribbean plate actively moves against the North American plate and shear stresses are created at the boundary. At a strike-slip fault, the rocks move past each other horizontally along the fault line (in contrast to a thrust fault, where rocks on one side of the fault move on top of the rocks on the other side). Earthquakes comparable to or stronger than the January 12 event have struck Haiti at least four times over the past three centuries. Earthquakes in 1751 and 1770—which probably occurred along the same Enriquillo-Plantain Garden fault system—destroyed Port-au-Prince. Other examples of strike-slip faults are the San Andreas fault in California and the Red River fault in China.

The January 12 earthquake caused widespread damage in the Port-au-Prince area, including many deaths and injuries. Also, a series of aftershocks followed the main earthquake. There were 14 aftershocks greater than magnitude 5 and 36 greater than magnitude 4 within the first day following the magnitude 7.0 event. Aftershocks have the potential to cause further damage, especially to structures weakened by the initial large earthquake. On January 20, 2010—over a week after the magnitude 7.0 earthquake—a magnitude 6.1 aftershock struck Haiti approximately 35 miles west of Port-au-Prince. The next day, January 21, 2010, the USGS issued a statement about the potential for future earthquakes in Haiti, forecasting that aftershocks will likely continue for months if not years in the affected area. The statement indicated that the frequency of aftershocks will diminish with time, but damaging aftershocks are still possible over the next few months. The USGS further indicated that the segment of the Enriquillo fault east of the January 12 epicenter, and toward Port-au-Prince, did not show any signs of slip. A lack of slip movement along that portion of the fault implies that the fault zone still stores strain sufficient to potentially be released as a large, damaging earthquake.

**Sources:** USGS Earthquake Hazards Program, Significant Earthquakes: Magnitude 7.0—Haiti Region, at <http://earthquake.usgs.gov/earthquakes/eqinthenews/2010/us2010rja6/>; Michael Blanpied, Associate Coordinator for the USGS Earthquake Hazards Program, podcast, Jan. 13, 2009, at <http://www.usgs.gov/corecast/details.asp?ep=117>; email from David Applegate, Senior Science Advisor for Earthquake and Geologic Hazards, Jan. 13, 2009; USGS statement, "Earthquake Hazard and Safety in Haiti and the Caribbean Region," Jan. 21, 2010, at <http://www.usgs.gov/newsroom/article.asp?ID=2385>.

## Potential Losses from Earthquakes

The United States faces potentially large total losses due to earthquake-caused damage to buildings and infrastructure and lost economic activity. As urban development continues in earthquake-prone regions in the United States, concerns are increasing about the exposure of the built environment, including utilities and transportation systems, to potential earthquake damage.<sup>13</sup> One estimate of loss from a severe earthquake in the Los Angeles area is over \$500 billion. An even higher estimate—approximately \$900 billion—includes damage to the heavily populated central New Jersey-Philadelphia corridor if a 6.5 magnitude earthquake occurred along a fault lying between New York City and Philadelphia.<sup>14</sup>

<sup>13</sup> FEMA Publication 366, *HAZUS MH Estimated Annualized Earthquake Losses for the United States* (April 2008), at <http://www.fema.gov/library/viewRecord.do?id=3265>. Hereafter referred to as FEMA 366.

<sup>14</sup> A. M. Best Company Inc., *2006 Annual Earthquake Study: \$100 Billion of Insured Loss in 40 Seconds* (Oldwick, NJ: A.M. Best Company, 2006), p. 12. The A. M. Best report includes estimates from catastrophe-modeling companies of predicted damage from hypothetical earthquakes in Los Angeles, the Midwest, the Northeast, and Japan. The report cites an estimate by one such company, Risk Management Solutions (RMS), that a hypothetical 7.4 magnitude event along the Newport-Inglewood Fault near Los Angeles would cause \$549 billion in total property damage. A hypothetical 6.5 magnitude earthquake along a fault between Philadelphia and New York City would produce \$901 billion in total loss, according to an RMS estimate.

Some studies and techniques combine seismic risk with the value of the building inventory<sup>15</sup> and income losses (e.g., business interruption, wage, and rental income losses) in cities, counties, or regions across the country to provide estimations of economic losses from earthquakes. An April 2008 report from FEMA calculated that the *annualized* loss from earthquakes nationwide is \$5.3 billion, with California, Oregon, and Washington accounting for nearly \$4.1 billion (77%) of the U.S. total estimated annualized loss.<sup>16</sup> **Table 6** shows metropolitan areas with estimated annualized U.S. earthquake losses over \$10 million.

**Table 6. U.S. Metropolitan Areas with Estimated Annualized Earthquake Losses of More Than \$10 Million**  
(in millions)

Rank	Metro area	AEL	Rank	Metro area	AEL
1	Los Angeles-Long Beach-Santa Ana, CA	\$1,312	23	Reno-Sparks, NV	\$29
2	San Francisco-Oakland-Fremont, CA	\$781	24	Charleston-North Charleston, SC	\$22
3	Riverside-San Bernadino-Ontario, CA	\$397	25	Columbia, SC	\$22
4	San Jose-Sunnyvale-Santa Clara, CA	\$277	26	Stockton, CA	\$21
5	Seattle-Tacoma, WA	\$244	27	Atlanta-Sandy Springs-Marietta, GA	\$19
6	San Diego-Carlsbad-San Marcos, CA	\$155	28	Bremerton-Silverdale, WA	\$18
7	Portland-Vancouver-Carlsbad, OR	\$137	29	Ogden-Clearfield, UT	\$18
8	Oxnard-Thousand Oaks-Ventura, CA	\$111	30	Salem, OR	\$17
9	Santa Rosa-Petaluma, CA	\$69	31	Eugene-Springfield, OR	\$17
10	St. Louis, MO-IL	\$59	32	Napa, CA	\$16
11	Salt Lake City, UT	\$52	33	San Luis Obispo-Paso Robles, CA	\$16
12	Sacramento-Arden-Arcade-Roseville, CA	\$52	34	Nashville-Davidson-Murfreesboro, TN	\$15
13	Vallejo-Fairfield, CA	\$40	35	Albuquerque, NM	\$15
14	Memphis, TN	\$38	36	Olympia, WA	\$14
15	Santa Cruz-Watsonville, CA	\$36	37	Modesto, CA	\$13
16	Anchorage, AK	\$35	38	Fresno, CA	\$13
17	Santa Barbara-Santa Maria-Goleta, CA	\$34	39	Evansville, IN-KY	\$12
18	Las Vegas-Paradise, NV	\$33	40	Birmingham-Hoover, AL	\$11
19	Honolulu, HI	\$32	41	El Centro, CA	\$11
20	Bakersfield, CA	\$30	42	Little Rock-North Little Rock, AR	\$11
21	New York-Northern New Jersey-Long Island, NY	\$30	43	Provo-Orem, UT	\$10
22	Salinas, CA	\$29			

**Source** FEMA Publication 366, *HAZUS MH Estimated Annualized Earthquake Losses for the United States* (April 2008). Annualized earthquake losses (AEL) calculated in 2005 dollars.

<sup>15</sup> Building inventory refers to four main inventory groups: (1) general building stock, (2) essential and high potential loss facilities, (3) transportation systems, and (4) utility systems (FEMA 366).

<sup>16</sup> FEMA 366, p. 37.

Annualized earthquake loss (AEL) addresses two components of seismic risk: the probability of ground motion and the consequences of ground motion. It enables comparison between different regions with different seismic hazards and different building construction types and quality. For example, earthquake hazard is higher in the Los Angeles area than in Memphis, but the general building stock in Los Angeles is more resistant to the effects of earthquakes. The AEL annualizes the expected losses by averaging them by year.

### **A Decrease in Estimated Loss?**

In its most recent publication estimating earthquake losses, FEMA noted that the \$5.3 billion in annualized earthquake loss nationwide was 21% higher than the \$4.4 billion calculated in FEMA's previous report, published in February 2001.<sup>17</sup> However, the 2001 report calculated losses using 1994 dollars, and when adjusted to reflect 2005 dollars the earlier estimate increased to \$5.6 billion, indicating a small decrease in nationwide annualized earthquake loss potential since the 2001 report was published. According to FEMA, this loss occurred even though the national building inventory increased by 50% over this same period.

What factors led to a decreased estimate in potential loss despite growth in building inventory? According to FEMA, two primary factors were responsible: (1) a slight decrease in estimated earthquake hazard in the western United States (namely California) except for some parts of Washington and Utah, and (2) a change in the distribution of building inventory in California, with an increase in wood frame buildings of 17% and a reduction in the amount of masonry (-6%), steel (-5.8%), and concrete (-3%) buildings in the state.<sup>18</sup> Wood frame buildings are less vulnerable to earthquake damage, generally, compared to other construction types. Because California accounts for 66% of the overall nationwide annualized earthquake loss, a 17% increase in wood frame buildings had a proportionally large effect. In fact, FEMA attributed 78% of the loss reduction between 2001 and 2008 to the change in building inventory distribution, and 22% to the decrease in earthquake hazard for California.<sup>19</sup>

A single large earthquake can cause far more damage than the average annual estimate. Annualized estimates, however, help provide comparisons of infrequent, high impact events like damaging earthquakes, with more frequently occurring hazards like floods, hurricanes, or other types of severe weather. The annualized earthquake loss values shown in **Table 6** represent future estimates, and are calculated by multiplying losses from potential future ground motions by their respective frequencies of occurrence, and then summing these values.<sup>20</sup>

**Table 6** also shows that annualized earthquake losses in the New York-Northern New Jersey-Long Island metropolitan area are \$30 million (ranked 21 out of 43 metropolitan areas with losses greater than \$1 million per year), even though no destructive earthquakes have struck that area for generations.<sup>21</sup> This area has a relatively low seismic hazard, but also has an extensive

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<sup>17</sup> *Ibid.*, p. 32.

<sup>18</sup> FEMA 366, p. 32 and p. 36.

<sup>19</sup> *Ibid.*, p. 36.

<sup>20</sup> *Ibid.*, p. 10.

<sup>21</sup> The largest earthquakes in New York, New Jersey, and Massachusetts were, respectively: 1944, Massena, NY, magnitude 5.8, felt from Canada south to Maryland; 1783, New Jersey, magnitude 5.3, felt from New Hampshire to Pennsylvania; and 1755, Cape Ann and Boston, MA, intensity of VIII on the Modified Mercalli Scale, felt from Nova Scotia to Chesapeake Bay (USGS Earthquake Hazards Program).

infrastructure and is densely populated. That combination of seismic risk, extensive infrastructure, and dense population produces a significant risk to people and structures, according to some estimates.<sup>22</sup> In the absence of any significant or damaging earthquakes for that region in recent memory, however, the actual risk may be difficult to grasp intuitively.

## **The New Madrid Seismic Zone**

The New Madrid Seismic Zone in the central United States is vulnerable to large but infrequent earthquakes. A series of large (magnitude greater than 7.0) earthquakes struck the Mississippi Valley over the winter of 1811-1812, centered close to the town of New Madrid, MO. Some of the tremors were felt as far away as Charleston, SC, and Washington, DC. The mechanism for the earthquakes in the New Madrid zone is poorly understood,<sup>23</sup> and no earthquakes of comparable magnitude have occurred in the area since these events.

Estimating earthquake damage is not an exact science and depends on many factors. As described above, these are primarily the probability of ground motion occurring in a particular area (see **Figure 2**), and the consequences of that ground motion, which are largely a function of building construction type and quality, and of the level of ground motion and shaking during the actual event. Such factors contribute to the difficulty of making a reasonable damage estimate for a low-frequency, high-impact event in the New Madrid region based on the probability of an earthquake of similar magnitude occurring. This uncertainty has implications for policy decisions to ameliorate risk, such as setting building codes, and for designing and building structures to withstand a level of shaking commensurate with the risk. Developers of building codes tend to err on the side of caution; presumably the same seismic hazard should lead to similar building codes in urban areas (e.g., compare the seismic hazard for the New Madrid area with parts of California shown in **Figure 2**).

Some researchers have questioned whether erring on the side of caution in the New Madrid Seismic Zone is justified.<sup>24</sup> These researchers question whether the benefits of building structures to conform with the earthquake probability estimates merit the costs, in light of the uncertainty in making those probability estimates.<sup>25</sup> These analyses may call into question whether the probability of ground motion estimates for the New Madrid Seismic Zone (the bulls-eye-shaped area shown in **Figure 2** that includes parts of Arkansas, Illinois, Tennessee, and Missouri), and other regions of the country that experience infrequent earthquakes, are too high.<sup>26</sup> An uncertainty analysis of the seismic hazard in the New Madrid Seismic Zone is beyond the scope of this report.

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<sup>22</sup> USGS Circular 1188, Table 3.

<sup>23</sup> In contrast to California, where earthquakes occur on the active margin of the North American tectonic plate, the New Madrid seismic zone is not on a plate boundary but may be related to old faults in the interior of the plate, marking a zone of tectonic weakness.

<sup>24</sup> Andrew Newman et al., "Slow Deformation and Lower Seismic Hazard in the New Madrid Seismic Zone," *Science*, v. 284 (April 23, 1999), pp. 619-621.

<sup>25</sup> Seth Stein, Joseph Tomasello, and Andrew Newman, "Should Memphis Build for California's Earthquakes?" *Eos*, v. 84, no. 19, (May 13, 2003), pp. 177, 184-185.

<sup>26</sup> Seth Stein, "Code Red: Earthquake Imminent?" *Earth*, vol. 54, no. 1 (January 2009), pp. 52-59.

## Monitoring

Congress authorized the USGS to monitor seismic activity in the United States in the 1990 reauthorization of the National Earthquake Hazards Reduction Act (P.L. 101-614). The USGS operates a nationwide network of seismographic stations called the Advanced National Seismic System (ANSS), which includes the National Strong-Motion Project (NSMP). Globally, the USGS and the Incorporated Research Institutions for Seismology (IRIS) operate 140 seismic stations of the Global Seismic Network (GSN) in more than 80 countries. The GSN provides worldwide coverage of earthquakes, including reporting and research.<sup>27</sup>

### Advanced National Seismic System (ANSS)

According to the USGS, “the mission of ANSS is to provide accurate and timely data and information products for seismic events, including their effects on buildings and structures, employing modern monitoring methods and technologies.”<sup>28</sup> If fully implemented, ANSS would encompass more than 7,000 earthquake sensor systems covering parts of the nation vulnerable to earthquake hazards. As envisioned, the system would consist of dense urban networks, regional networks, and backbone stations.

### ANSS Funding

Congress first authorized the ANSS program in P.L. 106-503 at a level of \$38 million for FY2002 and \$44 million for FY2003. The 2004 reauthorization of NEHRP (P.L. 108-360) authorized \$30 million for ANSS in FY2005 and then \$36 million per year through FY2009. The USGS spent \$1.6 million in FY2000 and \$3.6 million in FY2001 on ANSS-directed funding, but expenditures have never reached authorized levels since Congress first authorized appropriations for ANSS. From FY2005 through FY2009, the USGS spent a total of approximately \$42.5 million within its Earthquake Hazards Program on ANSS, or approximately 24% of the total authorized levels over the five-year period since NEHRP was last reauthorized.<sup>29</sup>

The FY2010 budget request stated that the USGS plans to install a cumulative total of 822 ANSS monitoring stations by the end of 2009. That would represent approximately 12% of the 7,000 seismic stations originally envisioned for the program. According to its budget justification, the USGS plans to devote its ANSS-directed resources to operating and maintaining the installed system.<sup>30</sup> Of the approximately \$8.8 million for ANSS-directed funding in FY2009, about \$1.5 million was devoted to the development, modernization, and expansion of the system; the remainder of FY2009 funding was used to operate the existing system.<sup>31</sup> However, the American Recovery and Reinvestment Act (ARRA, P.L. 111-5) provided approximately \$19 million in ANSS-directed funding to be spent over FY2009-FY2011.<sup>32</sup> All of the ARRA funding would be

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<sup>27</sup> The GSN also monitors nuclear explosions.

<sup>28</sup> USGS Earthquake Hazards Program, at <http://earthquake.usgs.gov/research/monitoring/anss/>.

<sup>29</sup> USGS FY2010 Budget Justification, at [http://www.usgs.gov/budget/2010/greenbook/FY2010\\_USGS\\_Greenbook.pdf](http://www.usgs.gov/budget/2010/greenbook/FY2010_USGS_Greenbook.pdf), p. I-10.

<sup>30</sup> *Ibid.*, p. I-11.

<sup>31</sup> Email from William Leith, Advanced National Seismic System Coordinator, USGS, December 22, 2009.

<sup>32</sup> USGS FY2010 Budget Justification, pp. T-32 and T-33.

provided for modernization and expansion of the current system, and when supplemented by base program funds over the three-year period, would double the number of ANSS stations.<sup>33</sup> A doubling of the number of current stations would total approximately 23% of the 7,000 stations originally planned for ANSS.

### **Dense Urban Networks**

In the original conception for ANSS, approximately 6,000 of the planned stations would be installed in 26 high-risk urban areas to monitor strong ground shaking and how buildings and other structures respond. Currently, five high-risk urban areas have instruments deployed in sufficient density to generate the data to produce near real-time maps, called ShakeMaps, which can be used in emergency response during and after an earthquake.<sup>34</sup> (See “ShakeMap,” below.)

### **Regional Networks**

Approximately 1,000 new instruments would replace aging and obsolete stations in the networks that now monitor the nation’s most seismically active regions. The current regional networks contain a mix of modern, digital, broadband, and high-resolution instruments that can provide real-time data; they are supplemented by older instruments that may require manual downloading of data. Universities in the region typically operate the regional networks and will likely continue to do so as ANSS is implemented.

### **Backbone Stations**

Approximately 100 instruments comprise the existing “backbone” of ANSS, with a roughly uniform distribution across the United States, including Alaska and Hawaii. These instruments provide a broad and uniform minimum threshold of coverage across the country. The backbone network consists of USGS-deployed instruments and other instruments that serve both ANSS and the EarthScope project (described below, under “National Science Foundation”).

### **National Strong-Motion Project (NSMP)**

Under ANSS, the USGS operates the NSMP to record seismic data from damaging earthquakes in the United States on the ground and in buildings and other structures in densely urbanized areas. The program currently has 900 strong-motion<sup>35</sup> instruments in 701 permanent stations across the United States and in the Caribbean. The NSMP has three components: data acquisition, data management, and research. The near real-time measurements collected by the NSMP are used by other government agencies for emergency response and real-time warnings. If fully implemented, the ANSS program would deploy about 3,000 strong-motion instruments. Many of the current NSMP instruments are older designs and are being upgraded with modern seismometers.

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<sup>33</sup> USGS FY2010 Budget Justification, pp. T-32 and T-33.

<sup>34</sup> The number of stations necessary to generate a data-based ShakeMap depends on the urban area and geology, but roughly correspond to about half the number of planned stations per urban area, at a spacing of about 20 kilometers between stations. Personal communication, William Leith, Advanced National Seismic System Coordinator, USGS, January 11, 2010.

<sup>35</sup> Strong motion seismometers, or accelerometers, are special sensors that measure the acceleration of the ground during large (>6.0 magnitude) earthquakes.

## Global Seismic Network (GSN)

The GSN is a system of broadband digital seismographs arrayed around the globe and designed to collect high-quality data that are readily accessible to users worldwide, typically via computer. Currently, 140 stations have been installed in 80 countries and the system is nearly complete, although in some regions the spacing and location of stations has not fully met the original goal of uniform spacing of approximately 2,000 kilometers. The system is currently providing data to the United States and other countries and institutions for earthquake reporting and research, as well as for monitoring nuclear explosions to assess compliance with the Comprehensive Test Ban Treaty. Funding for the GSN totaled approximately \$9 million in FY2009.<sup>36</sup>

The Incorporated Research Institutions for Seismology (IRIS)<sup>37</sup> coordinates the GSN and manages and makes available the large amounts of data that are generated from the network. The actual network of seismographs is organized into two main components, each managed separately. The USGS operates two-thirds of the stations from its Albuquerque Seismological Laboratory, and the University of California-San Diego manages the other third via its Project IDA (International Deployment of Accelerometers). Other universities and affiliated agencies and institutions operate a small number of additional stations. IRIS, with funding from the NSF, supports all of the stations not funded through the USGS appropriations.

## Detection, Notification, and Warning

Unlike other natural hazards, such as hurricanes, where predicting the location and timing of landfall is becoming increasingly accurate, the scientific understanding of earthquakes does not yet allow for precise earthquake prediction. Instead, notification and warning typically involves communicating the location and magnitude of an earthquake as soon as possible after the event to emergency response providers and others who need the information.

Some probabilistic earthquake forecasts are now available that give, for example, a 24-hour probability of earthquake aftershocks for a particular region, such as California. These forecasts are not predictions, and are currently intended to increase public awareness of the seismic hazard, improve emergency response, and increase scientific understanding of the short-term hazard.<sup>38</sup> In the California example, a time-dependent map is created and updated every hour by a system that considers all earthquakes, large and small, detected by the California Integrated Seismic Network,<sup>39</sup> and calculates a probability that each earthquake will be followed by an aftershock<sup>40</sup> that can cause strong shaking. The probabilities are calculated from known behavior of aftershocks and the possible shaking pattern based on historical data.

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<sup>36</sup> \$9 million reflects the combined appropriations for USGS and NSF for FY2009. ARRA (P.L. 111-5) enabled approximately \$10 million to be made available via the USGS and NSF for the GSN through FY2010. Email from William Leith, Advanced National Seismic System Coordinator, USGS, Dec. 21, 2009.

<sup>37</sup> IRIS is a university research consortium, primarily funded by NSF, that collects and distributes seismographic data.

<sup>38</sup> USGS Open-File Report 2004-1390, and California 24-hour Aftershock Forecast Map, at <http://pasadena.wr.usgs.gov/step/>.

<sup>39</sup> The California Integrated Seismic Network is the California region of ANSS; see <http://www.cisn.org/>.

<sup>40</sup> Earthquakes typically occur in clusters, in which the earthquake with the largest magnitude is called the main shock, events before the main shock are called foreshocks, and those after are called aftershocks. See also <http://pasadena.wr.usgs.gov/step/aftershocks.html>.

When a destructive earthquake occurs in the United States or in other countries, the first reports of its location, or epicenter,<sup>41</sup> and magnitude originate either from the National Earthquake Information Center (NEIC), or from one of the regional seismic networks that are part of ANSS. Other organizations, such as universities, consortia, and individual seismologists may also contribute information about the earthquake after the event. Products such as ShakeMap are assembled as rapidly as possible to assist in emergency response and damage estimation following a destructive earthquake.

## **National Earthquake Information Center (NEIC)**

The NEIC, part of the USGS, is located in Golden, CO. Originally established as part of the National Ocean Survey (U.S. Department of Commerce) in 1966, the NEIC was made part of the USGS in 1973. With data gathered from the networks described above and from other sources, the NEIC determines the location and size of all destructive earthquakes that occur worldwide and disseminates the information to the appropriate national or international agencies, government public information channels, news media, scientists and scientific groups, and the general public.

With the advent of the USGS Earthquake Notification Service (ENS), notifications of earthquakes detected by the ANSS/NEIC are provided free to interested parties. Users of the service can specify the regions of interest, establish notification thresholds of earthquake magnitude, designate whether they wish to receive notification of aftershocks, and even set different magnitude thresholds for daytime or nighttime to trigger a notification.

The NEIC has long-standing agreements with key emergency response groups, federal, state, and local authorities, and other key organizations in earthquake-prone regions who receive automated alerts—typically location and magnitude of an earthquake—within a few minutes of an event in the United States. The NEIC sends these preliminary alerts by email and pager immediately after an earthquake’s magnitude and epicenter are automatically determined by computer.<sup>42</sup> This initial determination is then checked by around-the-clock staff who confirm and update the magnitude and location data.<sup>43</sup> After the confirmation, a second set of notifications and confirmations are triggered to key recipients by email, pager, fax, and telephone.

For earthquakes outside the United States, the NEIC notifies the State Department Operations Center, and often sends alerts directly to staff at American embassies and consulates in the affected countries, to the International Red Cross, the U.N. Department of Humanitarian Affairs, and other recipients who have made arrangements to receive alerts.

## **ShakeMap**

Traditionally, the information commonly available following a destructive earthquake has been epicenter and magnitude, as in the data provided by the NEIC described above. Those two

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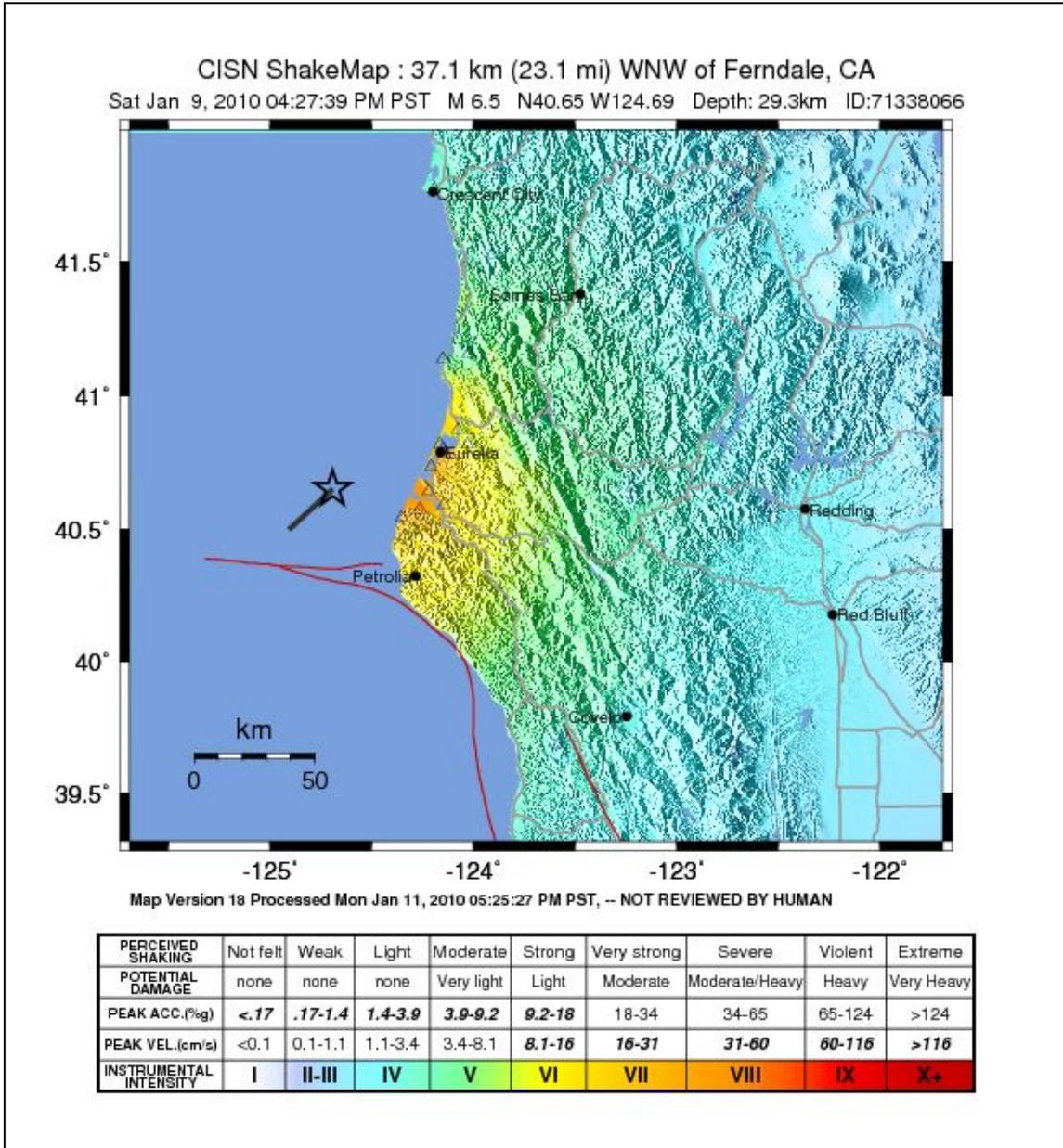
<sup>41</sup> The *epicenter* of an earthquake is the point on the earth’s surface directly above the hypocenter. The *hypocenter* is the location beneath the earth’s surface where the fault rupture begins.

<sup>42</sup> Stuart Simkin, NEIC, Golden, CO, telephone conversation, Nov. 4, 2006.

<sup>43</sup> In early 2006, the NEIC implemented an around-the-clock operation center and seismic event processing center in response to the Indonesian earthquake and resulting tsunami of December 2004. Funding to implement 24/7 operations was provided by P.L. 109-13.

parameters by themselves, however, do not always indicate the intensity of shaking and extent of damage following a major earthquake. Recently, the USGS developed a product called ShakeMap that provides a nearly real-time map of ground motion and shaking intensity following an earthquake in areas of the United States where the ShakeMap system is in place. **Figure 4** shows an example of a ShakeMap.

**Figure 4. Example of a ShakeMap**



**Source:** USGS, <http://earthquake.usgs.gov/eqcenter/shakemap/nc/shake/71338066/>.

**Note:** Earthquake occurred 23.1 miles west-northwest of Ferndale, CA, at 4:27 p.m. on January 9, 2010, with a magnitude of 6.5. The star indicates the epicenter of the earthquake. Viewed on January 12, 2010. According to preliminary news reports, some damage estimates are nearly \$30 million for the town of Eureka itself from the earthquake. (See [http://www.times-standard.com/news/ci\\_14171082](http://www.times-standard.com/news/ci_14171082).)

The maps produced portray the extent of damaging shaking and can be used by emergency response and for estimating loss following a major earthquake. Currently, ShakeMaps are available for northern California, southern California, the Pacific Northwest, Nevada, Utah, Hawaii, and Alaska.<sup>44</sup>

With improvements to the regional seismographic networks in the areas where ShakeMap is available, new real-time telemetry from the region, and advances in digital communication and computation, ShakeMaps are now triggered automatically and made available within minutes of the event via the Web. In addition, better maps are now available because of recent improvements in understanding the relationship between the ground motions recorded during the earthquake and the intensity of resulting damage. If databases containing inventories of buildings and lifelines are available, they can be combined with shaking intensity data to produce maps of estimated damage. The ShakeMaps have limitations, especially during the first few minutes following an earthquake before more data arrive from distributed sources. Because they are generated automatically, the initial maps are preliminary, and may not have been reviewed by experts when first made available. They are considered a work in progress, but are deemed to be very promising, especially as more modern seismic instruments are added to the regional networks under ANSS and computational and telecommunication abilities improve.

## **Prompt Assessment of Global Earthquakes for Response (PAGER)**

Another USGS product that is designed to provide nearly real-time earthquake information to emergency responders, government agencies, and the media is the Prompt Assessment of Global Earthquakes for Response, or PAGER, system.<sup>45</sup> This automated system rapidly assesses the number of people, cities, and regions exposed to severe shaking by an earthquake, and generally makes results available within 30 minutes. Following the determination of earthquake location and magnitude, the PAGER system calculates the degree of ground shaking using the methodology developed for ShakeMap, estimates the number of people exposed to various levels of shaking, and produces a description of the vulnerability of the exposed population and infrastructure. The vulnerability includes potential for earthquake-triggered landslides, which could be devastating, as was the case for the huge May 12, 2008, earthquake in Sichuan, China. The automated and rapid reports produced by the PAGER system provide an advantage compared to the traditional accounts from eye-witnesses on the ground or media reports, because communications networks may have been disabled from the earthquake. Emergency responders, relief organizations, and government agencies could make plans based on PAGER system reports even before getting “ground-truth” information from eye-witnesses and the media.<sup>46</sup>

**Figure 5** shows an example of PAGER output for the January 12, 2010, magnitude 7.0 earthquake in Haiti.

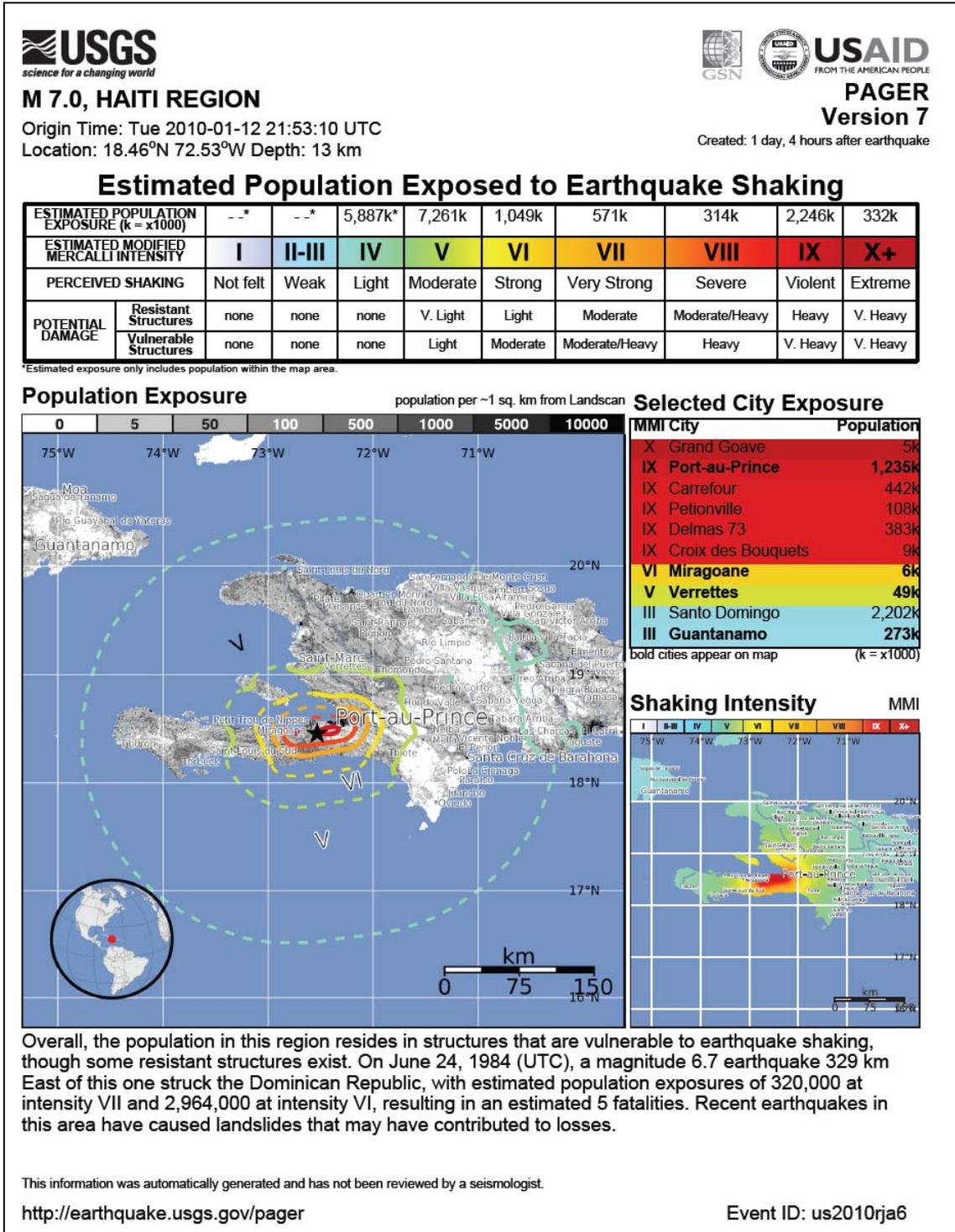
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<sup>44</sup> ShakeMaps for some areas outside the United States are also available. See <http://earthquake.usgs.gov/eqcenter/shakemap/>.

<sup>45</sup> See the USGS Earthquakes Hazards Program for more information, at <http://earthquake.usgs.gov/earthquakes/pager/>.

<sup>46</sup> See also USGS Fact Sheet 2007-3101 at <http://pubs.usgs.gov/fs/2007/3101/>.

Figure 5. Example of PAGER Output for the January 12, 2010, Magnitude 7.0 Haiti Earthquake



**Source:** USGS, <http://earthquake.usgs.gov/earthquakes/pager/events/us/2010rja6/onepager.pdf>.

**Note:** This is version 7 of the PAGER output, accessed on January 14, 2010.

## Pre-disaster Planning: HAZUS-MH

FEMA developed a methodology and software program called the Hazards U.S. Multi-Hazard (HAZUS-MH).<sup>47</sup> The program allows a user to estimate losses from damaging earthquakes, hurricane winds, and floods before a disaster occurs. The pre-disaster estimates could provide a basis for developing mitigation plans and policies, preparing for emergencies, and planning response and recovery. HAZUS-MH combines existing scientific knowledge about earthquakes (for example, ShakeMaps, described above), engineering information that includes data on how structures respond to shaking, and geographic information system (GIS) software to produce maps and display hazards data including economic loss estimates. The loss estimates produced by HAZUS-MH include:

- physical damage to residential and commercial buildings, schools, critical facilities, and infrastructure;
- economic loss, including lost jobs, business interruptions, repair and reconstruction costs; and
- social impacts, including estimates of shelter requirements, displaced households, and number of people exposed to the disaster.

In addition to furnishing information as part of earthquake mitigation efforts, HAZUS-MH can also be used to support real-time emergency response activities by state and federal agencies after a disaster. Twenty-seven HAZUS-MH user groups—cooperative ventures among private, public, and academic organizations that use the HAZUS-MH software—have formed across the United States to help foster better-informed risk management for earthquakes and other natural hazards.<sup>48</sup> HAZUS-MH software was first released to the public in 1997 and the first user group, the Bay Area HAZUS-MH User Group, was formed the same year.

## Research — Understanding Earthquakes

### U.S. Geological Survey

Under NEHRP, the USGS has responsibility for conducting targeted research into improving the basic scientific understanding of earthquake processes. The current earthquake research program at the USGS covers six broad categories:<sup>49</sup>

- *Borehole geophysics and rock mechanics*: studies to understand heat flow, stress, fluid pressure, and the mechanical behavior of fault-zone materials at seismogenic<sup>50</sup> depths to yield improved models of the earthquake cycle;
- *Crustal deformation*: studies of the distortion or deformation of the earth's surface near active faults as a result of the motion of tectonic plates;

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<sup>47</sup> See [http://www.fema.gov/plan/prevent/hazus/hz\\_overview.shtm](http://www.fema.gov/plan/prevent/hazus/hz_overview.shtm).

<sup>48</sup> See <http://www.hazus.org/>.

<sup>49</sup> See <http://earthquake.usgs.gov/research/>.

<sup>50</sup> Seismogenic means capable of generating earthquakes.

- *Earthquake geology and paleoseismology*: studies of the history, effects, and mechanics of earthquakes;
- *Earthquake hazards*: studies of where, why, when, and how earthquakes occur;
- *Regional and whole-earth structure*: studies using seismic waves from earthquakes and man-made sources to determine the structure of the planet ranging from the local scale, to the whole crust, mantle, and even the earth's core; and
- *Strong-motion seismology, site response, and ground motion*: studies of large-amplitude ground motions and the response of engineered structures to those motions using accelerometers.

## **National Science Foundation**

NSF supports fundamental research into understanding the earth's dynamic crust. Through its Earth Sciences Division (part of the Geosciences Directorate), NSF distributes research grants and coordinates programs investigating the crustal processes that lead to earthquakes around the globe.<sup>51</sup> In 2003, NSF initiated a Major Research Equipment and Facilities Construction (MREFC) project called EarthScope that deploys instruments across the United States to study the structure and evolution of the North American Continent, and to investigate the physical processes that cause earthquakes and volcanic eruptions.<sup>52</sup> EarthScope is a multi-year project begun in 2003 that is funded by NSF and conducted in partnership with the USGS and NASA.

EarthScope instruments are intended to form a framework for broad, integrated studies of the four-dimensional (three spatial dimensions, plus time) structure of North America. The project is divided into three main programs:

- *The San Andreas Fault Observatory at Depth (SAFOD)*, a deep borehole observatory drilled through the San Andreas fault zone close to the hypocenter of the 1966 Parkfield, CA, magnitude 6 earthquake;
- *The Plate Boundary Observatory (PBO)*, a system of GPS arrays and strainmeters<sup>53</sup> that measure the active boundary zone between the Pacific and North American tectonic plates in the western United States; and
- *USArray*, 400 transportable seismometers that will be deployed systematically across the United States on a uniform grid to provide a complete image of North America from continuous seismic measurements.

Through its Engineering Directorate, NSF funds the George E. Brown Jr. Network for Earthquake Engineering Simulation (NEES), a project intended to operate until 2014, aimed at understanding the effects of earthquakes on structures and materials.<sup>54</sup> To achieve the program's goal, the NEES

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<sup>51</sup> See <http://www.nsf.gov/div/index.jsp?div=EAR>.

<sup>52</sup> See <http://www.earthscope.org/>.

<sup>53</sup> A strainmeter is a tool used by seismologists to measure the motion of one point relative to another.

<sup>54</sup> A non-profit NEES consortium (NEESinc.) has operated the facilities for the 10-year operating lifespan at the following institutions: Cornell University; Lehigh University; Oregon State University; Rensselaer Polytechnical Institute; University of Buffalo-State University of New York; University of California-Berkeley; University of California-Davis; University of California-Los Angeles; University of California-San Diego; University of California-(continued...)

facilities conduct experiments and computer simulations of how buildings, bridges, utilities, coastal regions, and materials behave during an earthquake.

## Conclusion

At present earthquakes can be neither accurately predicted nor prevented, and in its 1990 reauthorization NEHRP shifted its program emphasis from prediction to hazard reduction. The program's focus has been on understanding the earthquake hazard and its risk to populations and infrastructure in the United States, developing effective measures to reduce earthquake hazards, and promoting the adoption of earthquake hazards reduction measures in vulnerable areas. In the 111<sup>th</sup> Congress, legislation introduced to reauthorize NEHRP, H.R. 3820, reemphasizes that approach but casts it in terms of hazard *mitigation* by stating that a major goal for the program should be "to reduce the loss of life and damage to communities and infrastructure through increasing the adoption of hazard mitigation measures." The bill further emphasizes the social aspects of mitigating earthquake hazards, calling for research to better understand institutional, social, behavioral, and economic factors that influence how risk mitigation is implemented, in addition to the traditional research into understanding how, why, and where earthquakes occur.

The emphasis on mitigation presented by H.R. 3820 reflects at least two fundamental challenges to increasing the nation's resiliency to earthquakes, and to most other major natural hazards such as hurricanes and major floods. The first is to assess whether social, behavioral, and economic factors can be understood in sufficient degree to devise strategies that influence behavior to mitigate risk posed by the hazard. Put simply, what motivates people and communities to adopt risk mitigation measures that address the potential hazard? A second challenge, which is more squarely an issue for Congress, which oversees and funds the federal earthquake program, is how to measure the effectiveness of NEHRP more quantitatively. It is inherently difficult to capture precisely, in terms of dollars saved or fatalities prevented, the effectiveness of mitigation measures taken before an earthquake occurs. A major earthquake in a populated urban area within the United States would cause damage, and a question becomes how much damage would be prevented by mitigation strategies underpinned by the NEHRP program.

A precise relationship between earthquake mitigation measures, NEHRP and other federal earthquake-related activities, and reduced losses from an actual earthquake may never be possible. However, as more accurate seismic hazard maps evolve, as understanding of the relationship between ground motion and building safety improves, and as new tools for issuing warnings and alerts such as ShakeMap and PAGER are devised, trends denoting the effectiveness of mitigation strategies and NEHRP activities may emerge more clearly. Without an ability to precisely predict earthquakes, Congress is likely to face an ongoing challenge in determining the most effective federal approach to increasing the nation's resilience to low-probability but high-impact natural hazards, such as major earthquakes.

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(...continued)

Santa Barbara; University of Colorado-Boulder; University of Illinois at Urbana-Champaign; University of Minnesota; University of Nevada-Reno; and University of Texas at Austin. See <http://www.nees.org/>.

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