

Land and Water Conservation Fund: Overview, Funding History, and Issues

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Summary

The Land and Water Conservation Fund (LWCF) Act of 1965 was enacted to help preserve, develop, and ensure access to outdoor recreation facilities to strengthen the health of U.S. citizens. The law created the Land and Water Conservation Fund in the U.S. Treasury as a funding source to implement its outdoor recreation goals.

The LWCF has been used for three general purposes. First, it has been the principal source of monies for land acquisition for outdoor recreation by four federal agencies—the National Park Service, Bureau of Land Management, Fish and Wildlife Service, and Forest Service. Second, the LWCF also funds a matching grant program to assist states in recreational planning, acquiring recreational lands and waters, and developing outdoor recreational facilities. Under this traditional state grant program, a portion of the appropriation is divided equally among the states, with the remainder apportioned based on need, as determined by the Secretary of the Interior. The states award their grant money through a competitive selection process based on statewide recreation plans and establish their own priorities and criteria. For FY2014 and FY2015, Congress appropriated funds for a competitive state grant program in addition to the traditional state grant program. Third, beginning in FY1998, LWCF has been used to fund other federal programs with related purposes, such as the Forest Legacy program of the Forest Service and grants under the Cooperative Endangered Species Conservation Fund of the Fish and Wildlife Service.

The LWCF is authorized through September 30, 2015, to accrue \$900 million annually from multiple sources. However, nearly all of the revenue is derived from oil and gas leasing in the Outer Continental Shelf (OCS). Congress determines the level of discretionary appropriations each year, and yearly appropriations have fluctuated widely since the origin of the program. Of the total revenues that have accrued throughout the history of the program (\$37.1 billion), less than half have been appropriated (\$17.1 billion). FY2001 marked the highest funding ever, with appropriations exceeding the authorized level by reaching nearly \$1 billion. For FY2015, the most recent fiscal year, the appropriation was \$306.1 million.

The \$17.1 billion appropriated throughout the history of the program has been allocated unevenly among federal land acquisition (61%), the state grant program (25%), and other purposes (14%). Similarly, federal land acquisition funds have been allocated unevenly among the four federal agencies. Under more recent legislation (P.L. 109-432), a portion of revenues from certain OCS leasing is provided without further appropriation to the state grant program. These mandatory funds appropriations have been relatively small thus far; they are expected to increase beginning in FY2018 due to additional revenues from leasing in the Gulf of Mexico.

A variety of issues pertaining to the LWCF are the subject of legislation, hearings, and other debate. Some of them are being considered as part of deliberations over whether to reauthorize the LWCF beyond September 30, 2015. Issues include the optimal level of funding for LWCF overall and its individual components, whether to permanently reauthorize the LWCF, and whether to retain discretionary appropriations or provide additional mandatory appropriations. Other issues involve whether LWCF funds should be used for additional purposes, such as maintenance, or set aside for particular priorities, such as securing additional access to federal lands for recreation. The priority of the state grant program vis-à-vis federal acquisition is being debated, as is how funds for this program should be apportioned among the states.

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Introduction

The Land and Water Conservation Fund (LWCF) Act of 1965¹ was enacted to help preserve, develop, and ensure access to outdoor recreation resources. A main goal of the law was to facilitate participation in recreation and strengthen the "health and vitality" of U.S. citizens. The law sought to accomplish this goal by "providing funds" for federal land acquisition and for federal assistance to states in recreation planning, acquisition of lands and waters, and development of recreation facilities.

The law created the Land and Water Conservation Fund in the Treasury as a funding source to implement the outdoor recreation goals it set out. While the law initially did not specify the authorized level of funding, it has been amended several times to specify and provide increasing levels of authorizations. The fund was first authorized at \$900 million for FY1978; the authorization has remained at this level. The fund is currently authorized through September 30, 2015, to accrue revenues of \$900 million annually from three specific sources. The LWCF accumulates revenues from the federal motorboat fuel tax and surplus property sales. To reach the annual authorized level, the fund accumulates the majority of its revenues from oil and gas leases on the Outer Continental Shelf (OCS).

Monies in the fund are available for outdoor recreation purposes only if appropriated by Congress, except that a portion of the appropriations for the state grant program are mandatory.² The level of annual appropriations has varied widely since the origin of the fund in 1965. Of current debate is whether to reauthorize the LWCF beyond September 30, 2015, and alter the operation of the fund. Alterations under discussion include whether to permanently reauthorize the LWCF, make the appropriations mandatory (rather than discretionary), direct monies to be used for particular purposes provided for in the LWCF Act, or authorize the fund to be used for additional purposes.

Perennial congressional issues include (1) deciding the amount to appropriate for federal land acquisition, determining the level of acquisition funds for each of the four agencies, and identifying which lands should be acquired; (2) deciding the level of funding for the state grant program; and (3) determining what, if any, other purposes should be funded through LWCF and at what level. The primary context for debating these issues traditionally has been the annual Interior appropriations legislation.

How the Fund Works

The LWCF is not a true trust fund as is generally understood in the private sector. The fund is credited with revenues totaling \$900 million annually, but these credited monies cannot be spent unless appropriated by Congress (except a portion of the state grant funds). From FY1965 through FY2015, about \$37.1 billion has been credited to the LWCF. Less than half that amount—\$17.1 billion—has been appropriated, leaving an unappropriated balance of \$20.0 billion in the fund.³ Further, interest is not accrued on the accumulated unappropriated balance

¹ Act of September 3, 1964; P.L. 88-578, 78 Stat. 897. 54 U.S.C. §§200301 et seq. The text of the law had been codified at 16 U.S.C. §§460*l*-4 et seq. It was recodified under P.L. 113-287 to 54 U.S.C. §§200301et seq.

² Monies provided to the state grant program under §105, Division C, P.L. 109-432 are permanently appropriated, as discussed below.

³ These figures are derived primarily from the Office of Budget, Department of the Interior, http://www.doi.gov/ budget/budget-data.cfm. See the entry for "Land and Water Conservation Fund: MS Excel Spreadsheet." Data updated (continued...)

that has been credited to the LWCF. While some supporters assert that the LWCF was originally intended to be a revolving fund, whereby the money would be maintained in an account separate from the General Treasury that could accrue interest, this has not been the case. The fund's basic purpose has not been altered even though the authorizing legislation has been amended, most notably to raise the authorization ceiling and to mandate that offshore oil and gas leasing revenues should make up any shortfall from other specified financing sources.

Purposes of LWCF Appropriations

Appropriations from LWCF have been made for three general purposes: (1) federal acquisition of land and waters and interests therein; (2) grants to states for recreational planning; acquiring recreational lands, waters, or related interests; and developing outdoor recreational facilities; and (3) related purposes.⁴ Each year, Congress determines the total appropriations from the fund, and the amount for each of these three general purposes.

The LWCF Act states that not less than 40% of the appropriations from the fund are to be available for federal purposes. This language resulted from a 1976 amendment, at a time when funds were being appropriated for federal land acquisition and for the stateside program. Funding for other federal purposes did not occur until FY1998. This provision replaced language in the LWCF Act that had provided that, "in the absence of a provision to the contrary in the Act making an appropriation from the fund," the appropriation from the fund was to be 60% for state purposes and 40% for federal purposes. That language had specified that during the first five years in which appropriations were made from the fund, the President could vary these percentages by not more than 15 points to meet the needs of states and the federal government.

Federal Land Acquisition

The LWCF remains the principal source of funds for federal acquisition of lands. Most federal lands are acquired (and managed) by four agencies—the Forest Service (FS) in the Department of Agriculture, and the National Park Service (NPS), Fish and Wildlife Service (FWS), and Bureau of Land Management (BLM) in the Department of the Interior. These four agencies manage about 95% of all federally owned lands.⁵ Of these agencies, only the FWS has another significant source of acquisition funding. Specifically, under the Migratory Bird Conservation Fund the FWS has a permanently appropriated source of funding for land acquisition.⁶ The BLM also has authority to keep the proceeds of certain land sales (primarily in Nevada) and use them for subsequent acquisitions and other purposes.

The LWCF Act provides that "unless otherwise allotted in the appropriation Act making them available," appropriations from the fund for federal purposes are to be allotted by the President

^{(...}continued)

on March 5, 2014.

⁴ Hereinafter, these purposes are referred to respectively as (1) federal land acquisition, (2) the stateside program, and (3) other purposes.

⁵ For information on the extent of federal land ownership by these agencies and the Department of Defense, see CRS Report R42346, *Federal Land Ownership: Overview and Data*, by (name redacted), (name redacted), and (name r edacted)

⁶ For more information on the Migratory Bird Conservation Fund, see the FWS land acquisition section of CRS Report RL34273, *Federal Land Ownership: Acquisition and Disposal Authorities*, by (name redacted) et al.

for certain purposes.⁷ These purposes include "capital costs" for recreation and fish and wildlife at water resources development projects; land acquisition in recreation areas administered by the Secretary of the Interior for recreational purposes; and land acquisition in national park, national forest, and national wildlife refuge system units. In practice, the appropriations acts typically identify the purposes for which the federal funds are to be used.

In many respects, the process for appropriating funds for federal land acquisition is similar from year to year. The annual budget submission from each of the four federal agencies typically has included proposals for lands the agencies seek to acquire with requested LWCF funds. The number of specific acquisitions sought by the agencies varies from year to year. The most recent budget requests—for FY2016—sought discretionary appropriations for 54 acquisitions by DOI agencies and 30 acquisitions by the Forest Service. The FY2016 budget requests also included a proposal for additional acquisitions with mandatory appropriations from the LWCF; this would require a change in law. Together, the three DOI agencies sought mandatory appropriations for 63 specific acquisitions and the Forest Service sought mandatory appropriations for 24 acquisitions. In total, for FY2016 the four agencies sought appropriations (discretionary and mandatory) for 171 acquisitions. By contrast, some recent requests have sought to fund a smaller number of acquisitions. For example, for FY2013, 34 acquisitions were sought for the three DOI agencies and 17 for the FS. The large backlog of potential acquisitions provides each agency with options in its annual request. The requests also sometimes seek funding for certain types of acquisitions, such as those that would facilitate access to federal lands for recreation and sportsmen, as proposed in the FY2016 budget requests of the four agencies.⁸ Congress reviews agency requests, and then determines the funding level for each agency's acquisitions.

The LWCF Act restricts appropriations to those acquisitions that have been previously authorized by law. However, it allows LWCF appropriations to be used for pre-acquisition work where "authorization is imminent and where substantial monetary savings could be realized."⁹

In recent years, Congress typically has provided the agencies with a portion of the acquisition funding for one or more related purposes. For instance, funds have been provided for acquisition management to cover the costs of land purchases, such as appraisals and title research. Acquisition funds also have been provided to cover the costs of land exchanges, as well as the acquisition of lands within the boundaries of federal land units ("inholdings") that may become available throughout the year. Further, in some cases funds have been appropriated for "emergencies" or "hardships," for acquisition of lands from an owner who must sell quickly and where the agency determines there is a need to purchase the lands quickly.¹⁰

Appropriations laws typically provide that LWCF funds for land acquisition remain available until expended, meaning the funds can be carried over from fiscal year to fiscal year. Often an appropriation is not used in the fiscal year provided, because the process for completing a land acquisition has many components and often takes more than one year.

⁷ 54 U.S.C. §200306(a).

⁸ The Administration includes recreational access as among the benefits of its entire request for federal land acquisition for FY2016 (\$574.9 million in discretionary and mandatory funding). However, \$20.0 million was specifically requested to foster recreational access. See Department of the Interior, *FY2016 Interior Budget in Brief*, pp. DH-15 – DH16, http://www.doi.gov/budget/appropriations/2016/highlights/upload/DH013.pdf.

^{9 54} U.S.C. §200306(b).

¹⁰ In addition, a portion of the NPS appropriation has been specified for the American Battlefield Protection Program for grants for nonfederal acquisition of lands (and interests) in eligible battlefields. For additional information on these acquisition grants, see the NPS website at http://www.nps.gov/abpp/grants/grants.htm.

Stateside Program

Traditional State Grants

Another portion of the LWCF, administered by the NPS, provides matching grants to states (including the District of Columbia and U.S. territories) for recreation planning, acquisition of lands and waters, and facility development. Grants are provided for outdoor recreation purposes only, rather than for indoor facilities such as community centers. Through September 30, 2013, state and local governments received 42,216 grants for outdoor recreation projects. This figure includes 7,680 grants for acquisition; 27,382 grants for developing recreation facilities; 3,190 grants for redeveloping older recreational facilities; 3,259 grants for a combination of these activities; and 705 state planning grants for studies of recreation potential, need, opportunity, and policy.¹¹ Acquisitions funded through LWCF state grants must remain in recreation use in perpetuity, unless the Secretary of the Interior approves of the conversion of the land to another use and acceptable replacement lands are substituted. Conversions occur due to changing state or local needs, such as to use park lands to build schools, widen roads, and develop civic facilities. The NPS approves about 50-75 conversions yearly nationwide, typically involving a portion of the area funded with an LWCF state grant.¹²

Appropriations to the state grant program typically do not include earmarks or other directions to the NPS to guide how these funds should be distributed or spent. The Secretary of the Interior apportions the appropriation for state grants in accordance with a formula set out in the LWCF Act.¹³ The formula calls for a portion of the appropriation to be divided equally among the states.¹⁴ The remaining appropriation is to be apportioned based on need, as determined by the Secretary.¹⁵ Under law, the determination of need is to include the population of the state relative to the population of the United States, the use of outdoor recreation resources within a state by people outside the state, and the federal resources and programs within states. In current practice, population is the biggest factor in determining state need. No state can receive more than 10% of the total appropriation.

States have up to three years to use the money—the federal fiscal year in which the apportionment is made and the next two fiscal years. It is rare for a state not to use the money during this time, according to the NPS. Under law, the Secretary is to reapportion any amount that is not paid or obligated during the three-year period.

To be eligible for a grant, a state must prepare and update a statewide outdoor recreation plan. This plan must address the needs and opportunities for recreation and include a program for reaching recreational goals. It generally does not include specific projects. Under law, the plan is required to be approved by the Secretary; this responsibility has been delegated to the NPS. The states award their grant money through a competitive, open project selection process based on their recreation plans and their own priorities and selection criteria. They can use the money for state projects or for pass-through to localities. States send their ranked state or local projects to

¹¹ These figures were provided by the NPS in a communication to CRS on July 14, 2014.

¹² This information was provided by the NPS in a communication to CRS on July 14, 2014.

^{13 54} U.S.C. §200305(b).

¹⁴ Specifically, the law provides that 40% of the first \$225.0 million, 30% of the next \$275.0 million, and 20% of all additional appropriations are to be apportioned equally among the states.

¹⁵ The apportionment among states (including the District of Columbia and U.S. territories), for FY2002 through FY2014, is on the NPS website at http://www.nps.gov/ncrc/programs/lwcf/funding.html.

the NPS for formal approval and obligation of grant money. Under law, payments to states are limited to 50% or less of a project's total costs. The remaining cost is borne by the state or local project sponsor.¹⁶

Competitive State Grants

For FY2016, the Obama Administration proposed that \$5.0 million of the requested appropriations for state grants (\$53.2 million) be provided through a competitive grant program. Similarly, for several recent fiscal years the Administration proposed that a portion of the appropriations for state grants be provided competitively.¹⁷ Congress first appropriated \$3.0 million for a nationally competitive grant program in FY2014 and later appropriated the same sum for FY2015, in each case of a total of \$48.1 million for stateside grants. As developed by the NPS, this new LWCF "Outdoor Recreation Legacy Partnership Program" was to provide grants for land acquisition and development for outdoor recreation projects in densely settled areas with populations of 50,000 or more. Priority was to be given to communities that are economically disadvantaged or underserved in terms of outdoor recreation opportunities, and to projects that engage and empower youth including through opportunities for employment and training, among other priorities. Grants were expected to range from \$250,000 to \$500,000 with between 6 and 12 grants awarded. Projects were required to comply with the LWCF Act and other program requirements that apply to the traditional state grants. Such requirements include a nonfederal funding match and land use for outdoor recreation in perpetuity except with the approval of the Secretary of the Interior, as noted above.¹⁸

Mandatory Appropriations

Mandatory monies are provided for state grants under provisions of the Gulf of Mexico Energy Security Act of 2006 (GOMESA).¹⁹ Specifically, 12.5% of the revenues from certain OCS leasing in the Gulf of Mexico are directed to the stateside program in accordance with the terms of the LWCF Act. Under GOMESA, states could receive up to \$125.0 million in mandatory funding. The money is available without further appropriation, and it is to be in addition to any discretionary amounts appropriated by Congress for LWCF. Further, it is available to the states until expended, unlike the three-year duration of the funds appropriated annually for the stateside program.

An estimated \$8.4 million in proceeds from pertinent OCS leasing was collected in FY2008 and disbursed to the stateside program in FY2009. Since then, the disbursements to the stateside program under this authority have decreased. An estimated \$0.8 million in revenue from such OCS leasing was dispersed to the stateside program in FY2015, and \$0.1 million was projected to be dispersed in FY2016.²⁰ DOI expects that mandatory appropriations will increase

¹⁶ For more information on the stateside program, see the *Land and Water Conservation Fund State Assistance Program: Federal Financial Assistance Manual* on the NPS website at http://www.nps.gov/ncrc/programs/lwcf/manual/lwcf.pdf.

¹⁷ See, for example, U.S. Dept. of the Interior, National Park Service, *Budget Justifications and Performance Information, Fiscal Year 2013*, pp. LASA-34-35.

¹⁸ Details of the grant program are at http://www.grants.gov/custom/viewOppDetails.jsp?oppId=257670.

¹⁹ §105, Division C, P.L. 109-432.

²⁰ U.S. Dept. of the Interior, National Park Service, *Budget Justifications and Performance Information, Fiscal Year* 2016, p. M-LASA-G-1, http://www.doi.gov/budget/appropriations/2016/upload/FY2016_NPS_Greenbook.pdf.

"significantly" beginning in FY2018, due to additional revenues from leasing in the Gulf of Mexico.²¹

Other Purposes

As noted above, the LWCF Act lists the federal purposes to which the President is to allot LWCF funds "unless otherwise allotted in the appropriation Act making them available."²² A portion of the LWCF appropriation has been provided for other federal purposes (i.e., other than land acquisition) in FY1998 and each year since FY2000. Because there is no set of "other purposes" specified to be funded from LWCF, Presidents have sought funds for a variety of purposes and Congress has chosen which, if any, other purposes to fund from LWCF. For instance, for FY2008, President George W. Bush sought LWCF funds for 11 programs within the FWS, FS, and other agencies, and Congress provided funding for two of these programs. Since FY1998, the LWCF has been used for a broad array of other purposes, such as maintenance of agency facilities (including deferred maintenance), the Historic Preservation Fund, the Payments in Lieu of Taxes program, the FS Forest Legacy program, FWS State and Tribal Wildlife grants, and FWS Cooperative Endangered Species grants.

Funding History

Overview of FY1965-FY2015

Total annual appropriations from the LWCF have fluctuated widely since the origin of the program 50 years ago (see **Figure 1** and **Table 1**, below).²³ Until FY1998, LWCF funding rarely exceeded \$400 million; from FY1977 to FY1980, funding ranged from \$509 million (FY1980) to \$805 million (FY1978), and averaged \$647 million annually. LWCF appropriations spiked dramatically in FY1998—to \$969 million—from the FY1997 level of \$159 million. FY1998 was the first year that LWCF appropriations exceeded the authorized level of \$900 million.²⁴ They included \$270 million in the usual funding titles for land acquisition by the four federal land management agencies; an additional \$627 million in a separate title, funding both the acquisition of the Headwaters Forest in California and New World Mine outside Yellowstone National Park; and \$72 million for other purposes.

²¹ Ibid. Revenues generated in one year are available in the next year.

²² 54 U.S.C. §200306(a).

²³ Figures in **Table 1** and elsewhere in this report do not always add to the totals indicated due to rounding.

²⁴ The LWCF had accumulated receipts sufficient to cover an appropriation exceeding the annual authorization. Specifically, in 1997 the LWCF had a balance of \$11.9 billion in unappropriated receipts, which represented the difference between the receipts into the fund and the appropriations from the fund since its creation.



Figure 1. LWCF Appropriations, FY1965-FY2015

(not adjusted for inflation)

Source: The primary source for these data is the DOI Budget Office, http://www.doi.gov/budget/budgetdata.cfm. See the entry for "Land and Water Conservation Fund Receipts: MS Excel Spreadsheet." Data updated on March 5, 2014.

Note: The graph does not reflect \$76 million provided for the transition quarter from July 1, 1976, to September 30, 1976.

Fiscal Year	Land Acquisition	State Grants	Other Purposes	Total
1965	\$6	\$10	\$0	\$16
1966	\$38	\$84	\$0	\$122
1967	\$36	\$59	\$0	\$95
1968	\$40	\$64	\$0	\$104
1969	\$64	\$48	\$0	\$112
1970	\$66	\$65	\$0	\$131
1971	\$168	\$189	\$0	\$357
1972	\$102	\$259	\$0	\$362
1973	\$113	\$187	\$0	\$300
1974	\$5	\$7I	\$0	\$76
1975	\$122	\$186	\$0	\$307
1976	\$136	\$181	\$0	\$317ª
1977	\$356	\$182	\$0	\$538
1978	\$491	\$314	\$0	\$805
1979	\$361	\$376	\$0	\$737

Table 1. LWCF Appropriations, FY1965-FY2015 (in millions of dollars, not adjusted for inflation)

Fiscal Year	Land Acquisition	State Grants	Other Purposes	Total
1980	\$202	\$307	\$0	\$509
1981	\$108	\$180	\$0	\$289
1982	\$176	\$4	\$0	\$180
1983	\$220	\$115	\$0	\$335
1984	\$227	\$75	\$0	\$302
1985	\$213	\$74	\$0	\$287
1986	\$121	\$47	\$0	\$168
1987	\$176	\$35	\$0	\$211
1988	\$150	\$20	\$0	\$170
1989	\$186	\$20	\$0	\$206
1990	\$212	\$20	\$0	\$23 I
1991	\$308	\$33	\$0	\$342
1992	\$294	\$23	\$0	\$317
1993	\$256	\$28	\$0	\$284
1994	\$228	\$28	\$0	\$256
1995	\$189	\$28	\$0	\$217
1996	\$137	\$1	\$0	\$138
1997	\$158	\$1	\$0	\$159
1998	\$896	\$1	\$72	\$969
1999	\$328	\$0	\$0	\$328
2000	\$406	\$4I	\$20	\$467
2001	\$449	\$90	\$456	\$995 ⁵
2002	\$429	\$144	\$105	\$677
2003	\$313	\$97	\$118	\$529
2004	\$170	\$94	\$225	\$488
2005	\$164	\$91	\$203	\$459
2006	\$120	\$30	\$213	\$363
2007	\$120	\$30	\$216	\$366
2008	\$129	\$25	\$101	\$255
2009	\$152	\$19	\$104	\$275
2010	\$278	\$40	\$132	\$450
2011	\$177	\$40	\$84	\$301
2012	\$199	\$45	\$78	\$322
2013	\$187	\$43	\$74	\$303
2014	\$180	\$48	\$78	\$306
2015	\$178	\$48	\$80	\$306

Sources: The primary source for these data is the DOI Budget Office, http://www.doi.gov/budget/budgetdata.cfm. See the entry for "Land and Water Conservation Fund Receipts: MS Excel Spreadsheet." Data updated on March 5, 2014. Data for FY2014 and FY2015 are from *The Interior Budget in Brief, Fiscal Year 2016*, p. B-1, http://www.doi.gov/budget/appropriations/2016/highlights/upload/B0001v2.pdf.

Notes: Figures do not reflect \$76 million provided for the transition quarter from July 1, 1976, to September 30, 1976. Also, dollars represent the appropriations in the fiscal years indicated and thus are not adjusted for inflation.

- a. The FY1976 total appropriation was approximately \$393 million including the \$76 million for the transition quarter.
- b. This figure includes approximately \$153 million of Forest Service appropriations in law that were not "warranted" from LWCF. The Government Accountability Office defines warrant as "An official document that the Secretary of the Treasury issues upon enactment of an appropriation that establishes the amount of moneys authorized to be withdrawn from the central accounts that the Department of the Treasury maintains." See U.S. Government Accountability Office, A Glossary of Terms Used in the Federal Budget Process, p. 101, September 2005, http://www.gao.gov/new.items/d05734sp.pdf. Excluding the \$153 million that was not warranted, the FY2001 LWCF total was approximately \$842 million.

Another spike occurred in FY2001, when appropriations again exceeded the authorized level and totaled nearly \$1 billion. This record level of funding was provided partly in response to President Clinton's Lands Legacy Initiative, which sought \$1.4 billion for 21 resource protection programs including the LWCF. It also was provided in response to some congressional interest in securing increased and more certain funding for the LWCF. The 106th Congress considered legislation to fully fund the LWCF and to make it operate like a private sector trust fund. Such proposals sought to divert offshore oil and gas revenues to a Conservation and Reinvestment Act (CARA) Fund and to permanently appropriate receipts credited to the LWCF, among other related purposes.

When it became clear that CARA legislation would not be enacted, Congress included aspects of the legislation in the FY2001 Interior and Related Agencies Appropriations law (P.L. 106-291). These provisions established the Conservation Spending Category (CSC), with the LWCF as a major component in the CSC. The CSC provisions set a target for total funding for all the component programs in FY2001 at \$1.6 billion, including \$1.2 billion through Interior appropriations and \$400 million through Department of Commerce appropriations. Under law, the target was to increase each year until it reached \$2.4 billion in FY2006. However, Congress generally did not use the CSC structure in appropriating funds to the LWCF and related programs. The CSC was authorized in Interior Appropriations law through FY2006, while the Commerce Appropriations law authorized it for only FY2001.

From FY2006 to FY2015, total LWCF appropriations ranged from a low of \$255.1 million in FY2008 to a high of \$450.4 million in FY2010. The FY2010 high was about half the authorized level, while appropriations for FY2015, the most recent fiscal year, were about one-third of the authorized level. The appropriation for land acquisition of all agencies ranged from \$120.1 million in FY2006 to \$277.9 million in FY2010, and it ended the decade at \$177.6 million in FY2015. Stateside program appropriations fluctuated between \$19.0 million in FY2009 and \$48.1 million for each of FY2014 and FY2015. Appropriations for both land acquisition and the stateside program were lower for each fiscal year from FY2006 to FY2009 than for each subsequent fiscal year. The appropriations for other purposes were at a low of \$74.2 million in FY2013 and a high of \$216.1 million in FY2007. They exceeded \$100.0 million in each year during the first half of the decade (FY2006-FY2010), but were lower than \$100.0 million in each subsequent year. **Table 2** lists total appropriations, and the funding levels for acquisition of each agency, the stateside program, and other purposes, from FY2006 through FY2015.

Burnasa	EV2004	FY2007	FY2008	FY2009	FY2010	FY2011	FY2012	FY2013	FY2014	EV201E
Purpose	FY2006	F T 2007	F 1 2008	F 1 2009	FT2010	FT2011	F12012	FT2013	F12014	FY2015
Land Acquisition										
Bureau of Land Management	\$8.6	\$8.6	\$8.9	\$14.8	\$29.7	\$22.0	\$22.3	\$21.2	\$19.5	\$19.7
Fish and Wildlife Service	\$28.0	\$28.0	\$34.6	\$42.5	\$86.3	\$54.9	\$54.6	\$50.8	\$54.4	\$47.5
National Park Service	\$34.4ª	\$34.4	\$44.4	\$45.2	\$86.3	\$54.9	\$57.0	\$52.8	\$50.0	\$50.8
Forest Service	\$41.8	\$41.9	\$41.2	\$49.8	\$63.5	\$32.9	\$52.5	\$49.8	\$43.5	\$47.5
DOI OVS ^b	\$7.3	\$7.4	—	_	\$12.1	\$12.1	\$12.7	\$12.0	\$12.2	\$12.0
Total Land Acquisition	\$120.1	\$120.4	\$129.1	\$152.2	\$277.9	\$176.8	\$199.1	\$186.6	\$179.6	\$177.6
State Grants	\$29.6	\$29.6	\$24.6	\$19.0c	\$40.0	\$39.9	\$44.9	\$42.6	\$48.I	\$48.I
Other Purposes	\$213.1	\$216.1	\$101.3	\$104.1d	\$132.5	\$83.8	\$78.3	\$74.2	\$78.4	\$80.4
Total	\$362.8ª	\$366.I	\$255.I	\$275.3	\$450.4	\$300.5	\$322.3	\$303.3	\$306.0	\$306.I

Table 2. Total LWCF Appropriations, FY2006-FY2015

(in millions of dollars, not adjusted for inflation)

Sources: The primary source for these data is the DOI Budget Office, http://www.doi.gov/budget/budgetdata.cfm. See the entry for "Land and Water Conservation Fund Receipts: MS Excel Spreadsheet." Data updated on March 5, 2014. Data for FY2014 and FY2015 are from *The Interior Budget in Brief, Fiscal Year 2016*, p. B-1, http://www.doi.gov/budget/appropriations/2016/highlights/upload/B0001v2.pdf.

Notes: Dollars represent the appropriations in the fiscal years indicated and thus are not adjusted for inflation.

- a. The NPS land acquisition and total appropriation figures are reduced by \$9.8 million due to the use of prior-year funds for NPS federal land acquisition.
- b. OVS is the Office of Valuation Services. Figures reflect appropriations from LWCF to DOI Departmental Management for land acquisition appraisal services.
- c. This figure has been reduced by \$1.0 million due to the use of prior-year funds.
- d. This figure has been reduced by \$8.0 million due to the use of prior-year funds.

Allocation Among Land Acquisition, State Grants, and Other Purposes

The \$17.1 billion appropriated from the fund through FY2015 has been unevenly allocated among federal land acquisition, the stateside program, and other purposes, as shown in **Figure 1**. The largest portion of the total—\$10.5 billion (61%)—has been appropriated for federal land acquisition. The four federal land management agencies have received differing portions of this \$10.5 billion. Specifically, the NPS has received \$4.5 billion (43%); the FS, \$2.8 billion (27%); the FWS, \$2.2 billion (21%); and the BLM, \$0.9 billion (9%).²⁵

The stateside program has received the second-largest portion of LWCF appropriations—\$4.3 billion (25% of the total, which includes funds for grant administration). In the early years, more funds generally went to the stateside program than to the four federal agencies combined. For instance, stateside appropriations exceeded federal land acquisition appropriations during 12 of the 16 years from FY1965 to FY1980. The stateside program has declined as a portion of total LWCF appropriations since the early 1980s, and received no appropriations (except for program

²⁵ A relatively small amount (less than 1%) of the total appropriations for federal land acquisition was provided to other agencies or offices (e.g., to the Office of the DOI Secretary for land appraisal services).

administration) from FY1996 through FY1999. Stateside funding has averaged 11% of total LWCF appropriations over the last decade (FY2006-FY2015).

Other purposes have received the remaining portion of total LWCF appropriations—\$2.4 billion (14%). No funds were provided for other purposes until FY1998. By contrast, 29% of LWCF appropriations from FY1998 through FY2015 have been for other purposes. The FWS and FS have received the largest shares: about \$1.3 billion and \$0.8 billion, respectively, of the \$2.4 billion appropriated for other purposes since FY1998.

Both the dollar amount and percentage of LWCF appropriations provided to other purposes have varied widely throughout this period, as shown in **Table 3**. The dollar value of the appropriations for other purposes was much higher in FY2001 than any other year, when these appropriations were used to fund programs in the Clinton Administration's Lands Legacy Initiative. The highest percentage of funds provided for other purposes occurred in FY2006 and FY2007, in response to President Bush's request for funding for an array of other programs. In some years, Congress has appropriated significantly less for other purposes than the Administration has requested. For instance, for FY2008 the Bush Administration sought \$313.1 million for other purposes of a total request of \$378.7 million. Congress appropriated \$101.3 million for other purposes of a total of \$255.1 million.

Fiscal Year	Total LWCF Appropriation	Appropriation for Other Purposes	Other Purposes as % of Total Appropriation
FY1998	\$969.1	\$72.0	7%
FY1999	\$328.2	\$0	0%
FY2000	\$466.9	\$20.0	4%
FY2001	\$995.4ª	\$456.0	46%
FY2002	\$677.2	\$104.6	15%
FY2003	\$528.9	\$118.4	22%
FY2004	\$488.1	\$224.7	46%
FY2005	\$459.0	\$203.5	44%
FY2006	\$362.8	\$213.1	59%
FY2007	\$366.1	\$216.1	59%
FY2008	\$255.1	\$101.3	40%
FY2009	\$275.3	\$104.1b	38%
FY2010	\$450.4	\$132.5	29%
FY2011	\$300.5	\$83.8	28%
FY2012	\$322.3	\$78.3	24%
FY2013	\$303.3	\$74.2	24%
FY2014	\$306.0	\$78.4	26%
FY2015	\$306.1	\$80.4	26%

Table 3. LWCF Appropriations for Other Purposes, FY1998-F

(in millions of dollars, not adjusted for inflation)

Sources: The primary source for these data is the DOI Budget Office, http://www.doi.gov/budget/budgetdata.cfm. See the entry for "Land and Water Conservation Fund Receipts: MS Excel Spreadsheet." Data updated on March 5, 2014. Data for FY2014 and FY2015 are from *The Interior Budget in Brief, Fiscal Year 2016*, p. B-1, http://www.doi.gov/budget/appropriations/2016/highlights/upload/B0001v2.pdf.

Notes: Dollars represent the appropriations in the fiscal years indicated and thus are not adjusted for inflation.

- a. This figure includes approximately \$153 million of FS appropriations in law that were not "warranted" from LWCF.
- b. This figure has been reduced by \$8.0 million due to the use of prior-year funds.

Legislation

A variety of measures pertaining to the LWCF are pending in the 114th Congress.²⁶ Bills seeking to permanently authorize the LWCF at \$900 million include S. 890 and House and Senate companion bills (H.R. 1814 and S. 338). The program is currently authorized through September 30, 2015. S. 890 contains additional provisions. It would provide permanent appropriations at the authorized level and a procedure for allocating such permanent funding among accounts, programs, and projects. As noted above, currently varying amounts of discretionary appropriations are provided each year in appropriations laws. Further, S. 890 provides that the \$900 million in mandatory appropriations under the bill would be in addition to the mandatory appropriations provided under GOMESA.

The three bills, among others, specify that a portion of LWCF funding would be used for acquisitions that increase access to federal lands for recreational purposes, such as hunting and fishing. The bills differ as to whether they provide for a minimum percentage, or percentage and dollar amount, of funding; pertain to authorized, appropriated, or requested funding; and contain other provisions affecting LWCF. For instance, S. 890 would amend the LWCF Act to provide not less than 1.5% of the authorized funding for projects that would secure recreational public access. Several bills (H.R. 1814, S. 338, S. 405 (Sec. 201), and S. 556 (Sec. 201)) would provide not less than 1.5% of appropriations, or \$10.0 million—whichever is greater—for projects that would secure recreational public access to federal land. Still another bill, S. 390, provides that not less than 1.5% of the amounts requested by the Administration for LWCF, or \$10.0 million—whichever is greater—would be used for recreational access projects identified on priority lists developed by the Secretary of the Interior and the Secretary of Agriculture.

Some pending bills would expand or otherwise alter the federal purposes for which LWCF appropriations could be used. H.R. 201 (Sec. 213), for example, would authorize appropriations of \$50.0 million (for specified fiscal years) for the Secretary of Housing and Urban Development to provide financial assistance to entities to carry out park and infrastructure projects.²⁷

²⁶ In addition to the measures discussed in this section, amendments pertaining to LWCF have been considered in the 114th Congress. For instance, the Senate considered LWCF-related floor amendments to S. 1, the Keystone XL Pipeline Approval Act. One such amendment sought to permanently reauthorize the LWCF and dedicate not less than 1.5% of LWCF appropriations for recreational access. Another amendment to S. 1 sought to express the sense of the Senate that reauthorization of the LWCF should be a priority and include "improvements to the structure of the program to more effectively manage existing federal land." Neither amendment was agreed to by the Senate. Further, House and Senate committees have held oversight hearings on LWCF. See, for example, "Federal Land Acquisition and its Impacts on Communities and the Environment," House Committee on Natural Resources, Subcommittee on Federal Lands, April 15, 2015, http://naturalresources.house.gov/calendar/eventsingle.aspx?EventID=398249 and "Reauthorization and Potential Reforms to the Land and Water Conservation Fund (LWCF)," Senate Committee on Energy and Natural Resources, April 22, 2015, http://www.energy.senate.gov/public/index.cfm/hearings-and-business-meetings?ID= 9a8c795c-f8fe-4168-af7c-1a89ff5a3fee.

²⁷ As of the date of this report, action following introduction has occurred on the following bills discussed in this section: S. 338 and S. 405 are on the Senate calendar, and the Senate Committee on Energy and Natural Resources held a hearing on S. 556.

Current Issues

Through legislation, hearings, and other debates, Congress is considering an array of issues related to the LWCF. Some of these issues arise in the broader context of whether to reauthorize the LWCF. Several issues are summarized below.

Level of Funding

There are differing opinions as to the optimal level of LWCF appropriations. The LWCF has broad support from resource protection advocates, many of whom seek stable and predictable funding through consistent levels of appropriations. Most of these advocates seek higher appropriations in general. For instance, for several years the Obama Administration proposed appropriations of \$900 million for LWCF. Some advocates have specific priorities, such as higher acquisition funding for one of the four federal agencies, the state grant program, or a particular site or area. Advocates of higher federal land acquisition funding promote a strong federal role in acquiring and managing sensitive areas and natural resources.

In addition, there is broad opposition to the LWCF based on varied concerns, with opponents generally seeking reduced levels of funds for LWCF. Some opponents seek to reduce the size of the federal estate and minimize further federal acquisition of land either generally or at specific sites, especially in the West, where federal ownership is already concentrated. Other concerns involve preferences for private land ownership or state and local ownership and management of resources, impacts of federal land ownership. For instance, for FY2016, the House Budget Committee supported reducing the federal estate, giving state and local governments more control of resources within their boundaries, and maintaining existing resources rather than establishing mandatory funding for the LWCF.²⁸ Others have sought LWCF reductions as part of a broader focus on reducing the federal deficit or on the grounds that there was inadequate cooperation among LWCF programs and between LWCF and other programs.²⁹

Mandatory vs. Discretionary Appropriations

Some advocates of higher LWCF funding seek partial or full permanent appropriations. For instance, the Obama Administration proposed \$900 million for LWCF for FY2016 through a combination of discretionary (\$400.0 million) and mandatory (\$500.0 million) appropriations. Further, the Administration proposed amending current law to appropriate mandatory funding of \$900 million annually beginning in FY2017.³⁰ As attributes of the mandatory approach, advocates cite the certainty and predictability of program funding generally and in particular in fostering the ability of agencies to undertake multiyear, large scale, and collaborative acquisitions.³¹ They also

²⁸ House Committee on the Budget, *Concurrent Resolution on the Budget—Fiscal Year 2016*, H.Rept. 114-47 on H.Con.Res. 27, p. 119.

²⁹ See, for example, the report of the House Appropriations Committee on H.R. 2584, providing FY2012 appropriations for Interior, Environment, and Related Agencies: H.Rept. 112-151, pp. 14-15.

³⁰ U.S. Dept. of the Interior, National Park Service, *Budget Justifications and Performance Information, Fiscal Year* 2016, p. LASA-1, http://www.doi.gov/budget/appropriations/2016/upload/FY2016_NPS_Greenbook.pdf.

³¹ Testimony of Deputy Secretary of the Department of the Interior Michael Connor, in U.S. Congress, Senate Committee on Energy and Natural Resources, *Hearing on the Reauthorization and Potential Reforms to the Land and Water Conservation Fund (LWCF)*, hearing, 114th Cong., 1st sess., April 22, 2015, http://www.energy.senate.gov/public/index.cfm/hearings-and-business-meetings?ID=9a8c795c-f8fe-4168-af7c-1a89ff5a3fee.

note the existence of a dedicated funding stream for LWCF at the authorized level of \$900 million and the original intent of Congress that revenues to the fund be used for the LWCF Act's purposes. Questions include how to offset any new permanent appropriations and how to allocate permanent appropriations among different LWCF programs and purposes.

Proponents of discretionary appropriations support retaining Congress's authority to determine annually the level of funding needed for LWCF overall and for the various program components. They note that through the discretionary process, the need for LWCF appropriations can be assessed in comparison with other natural resource programs and broader governmental needs. This approach also provides recurring opportunities for program oversight.

Maintenance vs. Acquisition

There are differing opinions as to how LWCF funds should be used. Some Members and others have asserted that maintaining (and rehabilitating) the land and facilities that federal agencies already own should take priority over further acquisitions. They sometimes cite the deferred maintenance needs of the agencies as requiring funding through additional sources, including LWCF.³² For instance, in an opening statement, the chair of the Senate Committee on Energy and Natural Resources expressed interest in shifting "the federal focus away from land acquisition, particularly in Western states, toward maintaining and enhancing the accessibility and quality of the resources that we have."³³ Further, a 113th Congress House bill (H.R. 5220) sought to bar funding for federal acquisitions while authorizing funding for maintenance.

Supporters of retaining a strong LWCF role in acquisition cite a continued need to preserve iconic resources and provide additional opportunities for public recreation and other land uses. They assert economic benefits of federal land ownership and improvements of federal land management through consolidation of ownership.³⁴ In addition, since federal agencies cannot use LWCF funds for maintenance, some supporters of this priority favor more funding to other accounts that can be used for maintenance while retaining the LWCF for acquisitions.³⁵ Such accounts are within the land management agency budgets as well as within the Department of Transportation (for roads).

Access for Recreation

While the public has access to most federal lands, some areas have limited access or are unavailable to the public. This is sometimes the case because private or state lands, or

³² For information on the deferred maintenance estimates of the land management agencies over ten years, see CRS Report R43997, *Deferred Maintenance of Federal Land Management Agencies: FY2005-FY2014 Estimates*, by (name redacted)

³³ Statement of Senator Lisa Murkowski, in U.S. Congress, Senate Committee on Energy and Natural Resources, *Hearing on the Reauthorization and Potential Reforms to the Land and Water Conservation Fund (LWCF)*, hearing, 114th Cong., 1st sess., April 22, 2015, http://www.energy.senate.gov/public/index.cfm/hearings-and-business-meetings? ID=9a8c795c-f8fe-4168-af7c-1a89ff5a3fee.

³⁴ See, for example, Host of *Fresh Tracks* and *On Your Own Adventures* Randy Newberg, in U.S. Congress, House Committee on Natural Resources, Subcommittee on Federal Lands, *Federal Land Acquisition and its Impacts on Communities and the Environment*, hearing, 114th Cong., 1st sess., April 15, 2015, pp. 2-4, http://naturalresources.house.gov/uploadedfiles/newbergtestimony.pdf.

³⁵ Statement of Senator Maria Cantwell, in U.S. Congress, Senate Committee on Energy and Natural Resources, *Hearing on the Reauthorization and Potential Reforms to the Land and Water Conservation Fund (LWCF)*, hearing, 114th Cong., 1st sess., April 22, 2015, p. 3, http://www.energy.senate.gov/public/index.cfm/hearings-and-business-meetings?ID=9a8c795c-f8fe-4168-af7c-1a89ff5a3fee.

geographical features such as mountains or rivers, limit or block public access. Proponents of enhanced recreational access have sought to amend the LWCF Act to set aside a portion of funding for federal acquisitions for this purpose. The focus has been on acquisition of lands and interests (e.g., easements and rights of way) that support hunting and fishing, among other recreational pursuits. Proponents cite insufficient opportunity for recreating in some areas and broader health, economic, and other values of recreating on public lands.

Opposition to such a set-aside could relate to concerns about the extent of federal land ownership, preferences for prioritizing LWCF for other purposes, or satisfaction that the agencies are sufficiently prioritizing recreational access. According to BLM, for instance, "nearly 100 percent of LWCF funding over the past several years has been used for projects that enhance public access for recreation."³⁶ For the Forest Service, 39 of the 40 acquisitions completed in FY2014 with LWCF funding provided improved access or legal access where none existed, according to the agency.³⁷ However, the extent to which agencies prioritize acquisition funding for recreational access might vary among all agencies and from year to year.

Stateside Program

One area of congressional focus has been the level of funds for the stateside program. In some years, Congress and/or the Administration have not supported funds, or have supported relatively low levels of funds, for new stateside grants. Reasons include that state and local governments have alternative sources of funding for parkland acquisition and development, the current program could not adequately measure performance or demonstrate results, the federal government supports states through other natural resource programs, and large federal deficits require a focus on core federal responsibilities.

Stateside supporters contend that the program contributes significantly to statewide recreation planning, state leadership in protection and development of recreation resources, and long-term outdoor recreation in areas that are readily accessible to communities. They see the program as a way to help fiscally constrained local governments and leverage state and local funds for recreation. Further, advocates assert that investments in recreation save money in other areas; for instance, they say that these investments promote healthier lifestyles and thus save health care expenditures.³⁸

Whether to amend the LWCF Act to specify a percentage of the appropriations for the stateside program is part of the debate. The level of funding for the stateside program is likely to be of continued interest in part due to the expectation of increased funds for the program under GOMESA, beginning in FY2018.

³⁶ Statement of Bureau of Land Management's Deputy Director for Operations Steve Ellis, in U.S. Congress, Senate Committee on Energy and Natural Resources, *Legislative Hearing on* S. 556, *the Bipartisan Sportsmen's Act of 2015*, hearing, 114th Cong., 1st sess., March 12, 2015, p. 6, http://www.energy.senate.gov/public/index.cfm/hearings-and-business-meetings?ID=f46d20ea-6d78-4c4a-a582-2881bbb5abca.

³⁷ Testimony of the Forest Service's Deputy Chief for National Forest System Leslie Weldon, in U.S. Congress, Senate Committee on Energy and Natural Resources, *Legislative Hearing on* S. 556, *the Bipartisan Sportsmen's Act of 2015*, hearing, 114th Cong., 1st sess., March 12, 2015, pp. 5-6, http://www.energy.senate.gov/public/index.cfm/hearings-and-business-meetings?ID=f46d20ea-6d78-4c4a-a582-2881bbb5abca.

³⁸ As an example of testimony supporting increased funding for the stateside program, see Prepared statement of Administrator, Wyoming State Parks Administrator and Vice President of the National Association of State Park Directors Domenic Bravo, in U.S. Congress, House Committee on Natural Resources, Subcommittee on Federal Lands, *Federal Land Acquisition and its Impacts on Communities and the Environment*, hearing, 114th Cong., 1st sess., April 15, 2015, pp. 1-2, http://naturalresources.house.gov/uploadedfiles/bravotestimony.pdf.

Whether to change the way that funds are apportioned to the states has been under consideration. Under the traditional state grant program, a portion of the appropriation is to be distributed equally among the states, with the percentage varying depending on the total amount of appropriations. Further, the Secretary of the Interior has discretion to apportion the balance based on need, and population has been the biggest factor in determining need. For FY2014 and FY2015, Congress approved a portion of the state grant funds for a competitive grant program. The extent to which the state grant program should be competitive, and the criteria that should be used in any competitive program, are of ongoing interest.

Other Programs

Another focus has been which, if any, purposes other than land acquisition and stateside grants should be funded through the LWCF. As discussed above, whether to use the fund for maintenance is under examination. Some seek to channel LWCF funding to a broader array of purposes to protect federal lands. For instance, the Bush Administration sought LWCF funds for cooperative conservation programs through which federal land managers partner with other landowners to protect natural resources and improve recreation on lands under diverse ownership. The Obama Administration also has supported the use of LWCF funds for other purposes, although generally fewer than the Bush Administration. A factor in the debate has been the unappropriated balance in the fund, and whether to allow these funds to be used for broader purposes beyond those currently authorized. Traditional fund beneficiaries have expressed concern about expanding the uses of appropriations if that expansion is accompanied by reductions in the amount available for federal land acquisition or state grants.

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