

# The National Institute of Standards and Technology: An Appropriations Overview

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#### **SUMMARY**

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# The National Institute of Standards and Technology: An Appropriations Overview

The National Institute of Standards and Technology (NIST), a laboratory of the Department of Commerce, is mandated to provide technical services to facilitate the competitiveness of U.S. industry. NIST is directed to offer support to the private sector for the development of precompetitive generic technologies and the diffusion of government-developed innovation to users in all segments of the American economy. Laboratory research is to provide measurement, calibration, and quality assurance techniques that underpin U.S. commerce, technological progress, improved product reliability, manufacturing processes, and public safety.

Concerns about the adequacy of federal funding for physical science and engineering research led to efforts by successive Presidents and Congresses to double funding for the NIST laboratory and construction accounts, together with the National Science Foundation and the Department of Energy Office of Science. President Bush's proposal was to do so over 10 years; the America COMPETES Act (P.L. 110-69) set authorization levels consistent with a seven-year doubling and the America COMPETES Reauthorization Act of 2010 set authorization levels consistent with an 11-year doubling. However, appropriations did not keep pace with authorization levels or presidential requests. In addition, the authorization levels for the accounts targeted for doubling lapsed at the end of FY2013. Appropriations for the targeted NIST accounts increased by 42.3% (a 3.6% compound annual growth rate) from FY2006 to FY2016.

Funding for NIST extramural programs directed toward increased private sector commercialization has been a topic of congressional debate. Some Members of Congress have expressed skepticism over a "technology policy" based on providing federal funds to industry for development of precompetitive generic technologies. This approach, coupled with pressures to balance the federal budget, led to significant reductions in funding for NIST. The Advanced Technology Program (ATP) and the Manufacturing Extension Partnership (MEP), which accounted for over 50% of the FY1995 NIST budget, were subsequently proposed for elimination. In 2007, ATP was terminated and replaced by the Technology Innovation Program (TIP). TIP was subsequently defunded in the FY2012 appropriations legislation. President Trump proposed the elimination of federal funding for the MEP program in fiscal years 2018 to 2021.

In December 2014, Congress enacted the Revitalize American Manufacturing and Innovation Act of 2014 (Title VII of Division B of P.L. 113-235), establishing a Network for Manufacturing Innovation (also referred to as Manufacturing USA). The explanatory statement accompanying the Consolidated Appropriations Act, 2016 (P.L. 114-113) directed NIST to use an open competition to select the technological focus areas of industry-driven manufacturing institutes. Upon completion of its first competition, NIST announced its selection of the National Institute for Innovation in Manufacturing Biopharmaceuticals (NIIMBL). In total, 16 NNMI institutes have been sponsored by the Department of Defense (DOD, nine institutes), Department of Energy (six institutes), and Department of Commerce (one institute).

For FY2021, Congress provided \$1,034.5 million for NIST, including \$788.0 million for the Scientific and Technical Research and Services (STRS) account, \$166.5 million for the Industrial Technology Services (ITS) account, and \$80.0 million for the Construction of Research Facilities (CRF) account. Within the ITS account, Congress provided \$150.0 million for the Manufacturing Extension Partnership (MEP) program and \$16.5 million for Manufacturing USA, including ongoing support for NIST's first institute, NIIMBL, and support for coordination of the Manufacturing USA network.

The President is requesting \$1,497.2 million for NIST in FY2022, an increase of \$462.7 million (44.7%) from the FY2021 enacted level. Included in the FY2022 request is \$915.6 million for the STRS account, an increase of \$127.6 million (16.2%); \$441.6 million for the ITS account, up \$275.1 million (165.2%); and \$140.0 million for the NIST CRF account, up \$60 million (75.0%). Within the ITS account, the request would provide \$275.0 million for the Manufacturing Extension Partnership (MEP) program in FY2022, an increase \$125.0 million (83.3%) from the FY2021 enacted level. The FY2022 ITS request includes \$166.6 million for Manufacturing USA, an increase of \$150.1 million (909.7%). Of these funds, \$5.0 million is requested for coordination of the network of manufacturing institutes; \$1.7 million is requested for grants to develop industrial technology roadmaps; \$10.0 million is requested for support of the current NIST Manufacturing USA institute, NIIMBL; and \$150.0 million is requested for the award and funding of two additional Manufacturing USA institutes.

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#### Mission

The U.S. Department of Commerce (DOC) National Institute of Standards and Technology (NIST) is the "lead national laboratory for providing the measurements, calibrations, and quality assurance techniques which underpin United States commerce, technological progress, improved product reliability and manufacturing processes, and public safety."<sup>1</sup>

By statute, NIST is "to assist private sector initiatives to capitalize on advanced technology; to advance, through cooperative efforts among industries, universities, and government laboratories, promising research and development projects, which can be optimized by the private sector for commercial and industrial applications; and to promote shared risks, accelerated development, and pooling of skills which will be necessary to strengthen America's manufacturing industries."<sup>2</sup>

NIST conducts leading-edge research in its seven research laboratories located in facilities in Gaithersburg, MD, and Boulder, CO.<sup>3</sup> NIST employs approximately 3,000 scientists, engineers, technicians, and support personnel, and hosts about 3,500 guest researchers and associates from academia, industry, and other government agencies, who collaborate with NIST staff and access user facilities. Research is focused on measurement, standards, test methods, and basic "infrastructural technologies" that enable development of advanced technologies. Infrastructural technologies assist industry in characterizing new materials, monitoring production processes, and ensuring the quality of new product lines. Cooperative research with industry to overcome technical barriers to commercialization of emerging technologies is a major component of NIST's work.

In addition, NIST manages extramural programs such as the Hollings Manufacturing Extension Partnership (MEP) program and Manufacturing USA. Several other extramural programs previously conducted by NIST have been eliminated or integrated into other NIST activities. These programs are discussed in the next section.

### **History and Selected Statutory Authorities**

Unlike most federal laboratories, NIST has a mission specified by statute (15 U.S.C. 271-282a), has a separate authorization and appropriation, and is headed by a Senate-confirmed presidential appointee (the Under Secretary of Commerce for Technology and Standards). NIST was originally created by the NBS Organic Act of 1901 (P.L. 56-177) as the National Bureau of Standards (NBS), at a time when the first centralized industrial labs were being established.<sup>4</sup>

Under the act, NBS was charged with working on "the solution of problems which arise in connection with standards" and to engage in the "determination of physical constants and the properties of materials, when such data are of great importance to scientific or manufacturing interests and are not to be obtained of sufficient accuracy elsewhere." These objectives remain central to NIST's laboratory work today.

<sup>&</sup>lt;sup>1</sup> §5111, Omnibus Trade and Competitiveness Act of 1988 (P.L. 100-418).

<sup>2</sup> Thid

<sup>&</sup>lt;sup>3</sup> The seven laboratories are the Materials Measurement Laboratory, Physical Measurement Laboratory, Engineering Laboratory, Information Technology Laboratory, Communications Technology Laboratory, Center for Nanoscale Science and Technology, and Center for Neutron Research.

<sup>&</sup>lt;sup>4</sup> General Electric Research Laboratory, widely recognized as the first industrial research facility, was established in 1900 in Schenectady, NY.

#### Malcolm Baldrige National Quality Improvement Act of 1987

In 1987, the Malcolm Baldrige National Quality Improvement Act of 1987 (P.L. 100-107) established the Baldrige Performance Excellence Program under the management of NBS.<sup>5</sup> The act directs the President or the Secretary of Commerce to "periodically make the award to companies and other organizations which in the judgment of the President or the Secretary have substantially benefited the economic or social well-being of the United States through improvements in the quality of their goods or services resulting from the effective practice of quality management, and which as a consequence are deserving of special recognition."

#### **Omnibus Trade and Competitiveness Act of 1988**

The following year, amid widespread concerns about the state of U.S. industrial competitiveness, the Omnibus Trade and Competitiveness Act of 1988 (P.L. 100-418) significantly expanded the role of NIST as the "lead national laboratory for providing the measurements, calibrations, and quality assurance techniques which underpin United States commerce, technological progress, improved product reliability and manufacturing processes, and public safety" by "moderniz[ing] and restructur[ing] that agency to augment its unique ability to enhance the competitiveness of American industry." The act also changed the name from NBS to the National Institute of Standards and Technology to reflect its expanded mission. In addition to its long-standing work in standards and metrology, NIST was directed to offer support to the private sector for the development of precompetitive generic technologies and the diffusion of government-developed innovation to users in all segments of the U.S. economy. Among its provisions, the act established the Advanced Technology Program (ATP), and a program now known as the Hollings Manufacturing Extension Partnership program.

#### Hollings Manufacturing Extension Partnership Program

The MEP is a program of regional centers that assist smaller, U.S.-based manufacturing companies in identifying and adopting new technologies. Operating under the auspices of NIST, centers in all 50 states and Puerto Rico provide technical and managerial assistance to firms. Federal funding for the centers is matched by nonfederal sources.<sup>9</sup>

#### **Advanced Technology Program**

The Advanced Technology Program was designed "to serve as a focal point for cooperation between the public and private sectors in the development of industrial technology," according to the report accompanying the bill, and to help solve "problems of concern to large segments of an industry." Placed within the National Institute of Standards and Technology in recognition of the laboratory's ongoing relationship with industry, ATP provided seed funding to single companies or to industry-led consortia of universities, businesses, and/or government laboratories for development of generic (broad-based), precompetitive technologies that have many applications across industries. Awards, based on technical and business merit, were for high-risk work past the basic research stage but not yet ready for commercialization. Market potential was an important

<sup>&</sup>lt;sup>5</sup> The program is currently managed under NIST's Baldrige Performance Excellence Program.

<sup>&</sup>lt;sup>6</sup> Malcolm Baldrige National Quality Improvement Act of 1987 (P.L. 100-107).

<sup>&</sup>lt;sup>7</sup> §5111, P.L. 100-107.

<sup>&</sup>lt;sup>8</sup> Metrology is the science of measurement.

<sup>&</sup>lt;sup>9</sup> For additional information on the Hollings Manufacturing Extension Partnership program, see CRS Report R44308, *The Hollings Manufacturing Extension Partnership Program*, by John F. Sargent Jr.

consideration in project selection. Scientific and technical review generally was performed by federal and academic experts. Business plan assessments were made by individuals from the private sector.

# America COMPETES Act/America COMPETES Reauthorization Act of 2010

The America COMPETES Act (P.L. 110-69) and the America COMPETES Reauthorization Act of 2010 (P.L. 111-358) authorized NIST appropriations and several programs and activities.

#### **Technology Innovation Program**

In 2007, the America COMPETES Act replaced ATP with a new program, the Technology Innovation Program (TIP). While similar to ATP in the promotion of R&D expected to be of broad-based economic benefit to the nation, TIP appeared to have been structured to avoid what was seen as government funding of large firms that opponents argued did not necessarily need federal support for research. The committee report to accompany H.R. 1868, part of which was incorporated into P.L. 110-69, stated that TIP replaced ATP in consideration of a changing global innovation environment focusing on small and medium-sized companies. The design of the program also "acknowledges the important role universities play in the innovation cycle by allowing universities to fully participate in the program." Appropriations for TIP were provided from FY2008 to FY2011; no appropriations have been provided for TIP since FY2011.

#### **NIST Doubling Effort**

The America COMPETES Act authorized appropriations for NIST accounts for FY2008-FY2010, and the America COMPETES Reauthorization Act of 2010 authorized appropriations for NIST accounts for FY2011-FY2013. The authorization levels for NIST were part of a larger effort to double funding for selected accounts—all of the National Science Foundation, the Department of Energy Office of Science, and the NIST laboratory and construction accounts—that support physical sciences and engineering research. Congress's appropriations fell short of the authorizations in these acts. No presidential budget request has referenced the America COMPETES Act doubling goal since FY2017.

#### Middle Class Tax Relief and Job Creation Act of 2012

As part of the Public Safety Trust Fund provided for in the Middle Class Tax Relief and Job Creation Act of 2012 (P.L. 112-96), a share of Federal Communications Commission (FCC) spectrum auction proceeds are to be made available to NIST as part of a Wireless Innovation (WIN) Fund to help develop cutting-edge wireless technologies for public safety users. WIN funds are to be used for developing leading-edge wireless technologies for public safety users, including helping industry and public safety organizations conduct research and develop new standards, technologies, and applications to advance public safety communications in support of the initiative's efforts to build an interoperable nationwide broadband network for first responders. According to NIST,

<sup>&</sup>lt;sup>10</sup> For more information on the Technology Innovation Program, see CRS Report RS22815, *The Technology Innovation Program*, by Wendy H. Schacht (available to congressional clients upon request to CRS).

<sup>&</sup>lt;sup>11</sup> For more information on the doubling effort, see CRS Report R41951, *An Analysis of Efforts to Double Federal Funding for Physical Sciences and Engineering Research*, by John F. Sargent Jr.

The fund's availability extends through 2022 and began to execute in FY 2015; \$92.7 million was transferred to NIST in FY 2015, \$7.3 million was released from sequester in FY 2016, an additional \$186.4 million was transferred in FY 2016, and \$13.6 million was released from sequester in FY 2017. Currently, WIN has \$108.7 million in total resources with \$71.9 million available for obligation in FY 2021 and \$36.8 million to be available in FY 2022. Additional transfers to NIST from NTIA are possible as proceeds from the spectrum auctions become available. <sup>12</sup>

# The Revitalize American Manufacturing and Innovation Act of 2014 and Subsequent Amendments: Manufacturing USA

In his FY2013 budget, President Obama proposed the creation of a National Network for Manufacturing Innovation (NNMI) to help accelerate innovation by investing in industrially relevant manufacturing technologies with broad applications, and to support manufacturing technology commercialization by bridging the gap between the laboratory and the market. Congress did not act on this request or a subsequent one made in President Obama's FY2014 request. President Obama renewed the request in his FY2015 budget.

In December 2014, Congress enacted the Revitalize American Manufacturing and Innovation Act of 2014 (RAMI Act) as Title VII of Division B of the Consolidated and Further Continuing Appropriations Act, 2015 (P.L. 113-235), establishing a Network for Manufacturing Innovation (NMI), largely similar to President Obama's concept for the NNMI. President Obama signed the bill into law on December 16, 2014. The RAMI Act directed the Secretary of Commerce to establish a Network for Manufacturing Innovation program within NIST. In September 2016, the Department of Commerce rebranded the NMI as "Manufacturing USA."

The purpose of Manufacturing USA is to improve the competitiveness of U.S. manufacturing and to increase the production of goods manufactured predominantly within the United States; to stimulate U.S. leadership in advanced manufacturing research, innovation, and technology; to facilitate the transition of innovative technologies into scalable, cost-effective, and high-performing manufacturing capabilities; to facilitate access by manufacturing enterprises to capital-intensive infrastructure, including high-performance electronics and computing, and the supply chains that enable these technologies; to accelerate the development of an advanced manufacturing workforce; to facilitate peer exchange and the documentation of best practices in addressing advanced manufacturing challenges; to leverage nonfederal sources of support to promote a stable and sustainable business model without the need for long-term federal funding; and to create and preserve jobs.<sup>13</sup>

The RAMI Act included provisions authorizing NIST, the Department of Energy, and other agencies to support the establishment of manufacturing institutes, and providing for the establishment and operation of a network of these institutes.

The Consolidated Appropriations Act, 2016 (P.L. 114-113) provided specific funding, for the first time, for the establishment and coordination of the institutes. The act provided NIST with \$25.0

<sup>&</sup>lt;sup>12</sup> Department of Commerce, NIST, National Institute of Standards and Technology/National Technical Information Service, Fiscal Year 2022 Budget Submission to Congress, p. NIST-175.

<sup>&</sup>lt;sup>13</sup> For more information on the NMI, see CRS Report R43857, *The Network for Manufacturing Innovation*, by John F. Sargent Jr. For more information on the NNMI proposal, see CRS Report R42625, *The Obama Administration's Proposal to Establish a National Network for Manufacturing Innovation*, by John F. Sargent Jr.

million for FY2016 for the NNMI, to include funding for establishment of institutes and up to \$5.0 million for coordination activities.<sup>14</sup>

On December 16, 2016, NIST awarded NIIMBL, led by the University of Delaware, "to advance U.S. leadership in biopharmaceutical manufacturing." Congress has subsequently provided funding to support NIIMBL and to coordinate the Manufacturing USA network.

Section 1741 of the National Defense Authorization Act for Fiscal Year 2020 (P.L. 116-92) amended the RAMI Act. Among its provisions, the act codified the 2016 rebranding of the program by DOC as the Manufacturing USA program, expanded the scope of potential technology focus areas for institutes, established required and permissible activities for each institute, and authorized the designation of "substantially similar" institutes as Manufacturing USA institutes for purposes of participation in the network. P.L. 116-92 also authorized the Secretaries of Commerce and Energy and other agency heads (except DOD) to make financial awards of five to seven years in duration to establish Manufacturing USA institutes, and to renew the awards subject to merit review. Further, P.L. 116-92 eliminated a RAMI Act provision requiring consideration be given to whether a proposed institute could function without long-term federal funding, and authorized appropriations for Manufacturing USA institutes and network support for NIST through 2030 and for DOE Manufacturing USA institutes through FY2024.

#### **Previous NIST Programs**

In July 2013, NIST launched the Advanced Manufacturing Technology Consortia (AMTech) program and the Manufacturing Technology Acceleration Centers (M-TAC) program.

#### Advanced Manufacturing Technology Consortia Program

Originally included in President Obama's FY2013 budget request, AMTech makes planning awards to "establish industry-led consortia to identify and prioritize research projects supporting long-term industrial research needs." AMTech seeks to incentivize manufacturers to share financial and scientific resources with universities, state and local governments, and nonprofit organizations. AMTech does not have a statutory authorization; the Consolidated and Further Continuing Appropriations Act, 2013 (P.L. 113-6) provided first-year funding of \$14.5 million.

In December 2015, the Consolidated Appropriations Act, 2016 (P.L. 114-113) directed NIST to merge the Advanced Manufacturing Technology (AMTech) Consortia program with the NNMI.<sup>17</sup>

#### Manufacturing Technology Acceleration Centers Program

The M-TAC program was a pilot effort under MEP that sought to address "the technical and business challenges encountered by small and mid-sized U.S. manufacturers as they attempt to adopt, integrate, and execute advanced product and process technologies into their operations." <sup>18</sup>

<sup>&</sup>lt;sup>14</sup> The act also directs NIST to merge its Advanced Manufacturing Technology Consortia (AMTech) program into the NNMI.

<sup>&</sup>lt;sup>15</sup> Advanced Manufacturing National Program Office, NIST, DOC, website, "NIST Manufacturing USA Institutes," https://www.nist.gov/amo/nist-manufacturing-usa-institutes.

<sup>&</sup>lt;sup>16</sup> NIST, "President's FY 2013 Budget Request for NIST Targets Advanced Manufacturing, Critical Science and Technology Programs," press release, February 13, 2012, http://www.nist.gov/public\_affairs/releases/budget\_2013.cfm.

<sup>&</sup>lt;sup>17</sup> Explanatory Statement, Consolidated Appropriations Act, 2016, P.L. 114-113, Division B, p. 7.

<sup>&</sup>lt;sup>18</sup> Advanced Manufacturing National Program Office, NIST, DOC, website, "Explaining AMTech, M-TAC and

The funded project work on all the MTAC projects has been completed and a final presentation was made by each awardee to MEP Center directors and staff in May 2016.

## **NIST Appropriations**

#### **Overview of NIST Appropriations Accounts**

Discretionary funding for NIST is generally provided through three appropriations accounts:

- The Scientific and Technical Research and Services (STRS) account supports NIST in-house laboratory research. The account also provided funding for the Baldrige Performance Excellence Program through FY2011 and in some subsequent years.
- The Industrial Technology Services (ITS) account supports NIST's extramural programs. In FY2018, the ITS account provides funding for the MEP and NNMI programs. In earlier years, ITS provided funding for the Advanced Technology Program, the Technology Innovation Program, and the AMTech program.
- The Construction of Research Facilities (CRF, also referred to in this report as construction) account supports construction, maintenance, and repair of NIST facilities at its facilities in Gaithersburg, MD, and Boulder, CO. From FY2008 to FY2010, CRF provided funding for a competitive grant program that funded the construction of research facilities at U.S. universities and research institutions.

#### **NIST Request for FY2022 Appropriations**

The following sections provide information on NIST's FY2022 request, in aggregate and for each of its three accounts, as well as the FY2021 enacted appropriations for each. (See **Table 1**.) On December 27, 2020, President Trump signed into law 166-260, Consolidated Appropriations Act, 2021, providing, among other things, NIST appropriations for FY2021.

#### **Total NIST Funding Requested for FY2022**

The President is requesting \$1,497.2 million for NIST in FY2022, an increase of \$462.7 million (44.7%) from the FY2021 enacted appropriation of \$1,034.5 million.

#### Scientific and Technical Research and Services

The President's FY2022 request for NIST includes \$915.6 million for R&D, standards coordination, and related services in the STRS account, an increase of \$127.6 million (16.2%) from the FY2021 enacted level of \$788.0 million. Of these funds, \$806.0 million is requested for Laboratory Programs, \$91.5 million for Standards Coordination and Special Programs, and \$18.1 million is for Corporate Services.

NNMI: New NIST Programs in Support of Advanced Manufacturing," http://www.manufacturing.gov/docs/Explaining\_AMTech\_M-TAC\_NNMI.pdf.

<sup>&</sup>lt;sup>19</sup> CRS analysis of data from Department of Commerce, National Institute of Standards and Technology, National Institute of Standards and Technology/National Technical Information Service, Fiscal Year 2022 Budget Submission to Congress, p. NIST-3, https://www.commerce.gov/sites/default/files/2021-06/fy2022\_nist\_congressional\_budget\_justification.pdf.

#### **Industrial Technology Services**

The President's FY2022 request for NIST would provide \$441.6 million for the ITS account, up \$275.1 million (165.2%). Within the ITS account, the request would provide \$275.0 million for the Manufacturing Extension Partnership program, an increase \$125.0 million (83.3%) from the FY2021 enacted level of \$150.0 million. The FY2022 ITS request also includes \$166.6 million for Manufacturing USA, an increase of \$150.1 million (909.7%) from the FY2021 enacted level of \$16.5 million. Of these funds, \$5.0 million is requested for coordination of Manufacturing USA network activities; \$1.7 million is requested for grants to develop industrial technology roadmaps; \$10.0 million is requested for support of the current NIST Manufacturing USA institute; and \$150.0 million is requested for the award and funding of two new institutes.<sup>20</sup>

#### **Construction of Research Facilities**

The President is requesting \$140.0 million for the NIST CRF account for FY2022, up \$60 million (75.0%) from the FY2021 enacted level of \$80.0 million.<sup>21</sup> The entirety of these funds is requested for Safety, Capacity, Maintenance and Major Repairs; no funding is requested for Construction and Major Renovations.

**Table 1. NIST Appropriations** 

(budget authority, in millions of dollars)

Budget Account	FY2021 Enacted	FY2022 Request	FY2022 House	FY2022 Senate	FY2022 Enacted		
Scientific and Technical Research and Services	788.0	915.6					
Industrial Technology Services	166.5	441.6					
Manufacturing Extension Partnership	150	275.0					
Network for Manufacturing Innovation	16.5	166.6					
Construction of Research Facilities	80.0	140.0					
NIST, Total	1,034.5	1,497.2					

**Source:** Department of Commerce, NIST, National Institute of Standards and Technology/National Technical Information Service, Fiscal Year 2022 Budget Submission to Congress.

Note: Columns may not add to totals due to rounding.

#### **Funding Trends for NIST Accounts and Selected Programs**

This section provides an overview of appropriations data for NIST in total and for each of its appropriations accounts, as well as for the MEP and the Advanced Technology Program (eliminated in 2007) and the Technology Innovation Program (last funded in 2011). **Appendix A** provides requested and enacted funding levels for NIST and its accounts for FY2003-FY2022. **Appendix B** provides requested and enacted funding levels for selected NIST programs.

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<sup>&</sup>lt;sup>20</sup> Ibid., pp. NIST-112-NIST-120.

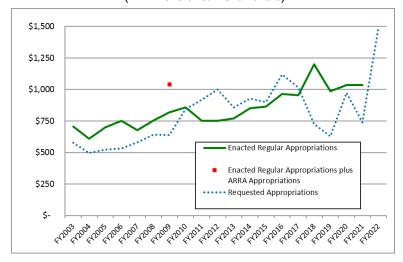
<sup>&</sup>lt;sup>21</sup> Ibid., p. NIST-3.

#### **Total NIST Funding**

**Figure 1** illustrates total requested and enacted NIST funding levels. Total appropriations for NIST grew from \$707.5 million in FY2003 to \$1,034.5 million in FY2021, a compound annual growth rate (CAGR) of 2.1%. In FY2021, appropriations remained constant with respect to the FY2020 enacted level. President Biden is requesting \$1,497.2 million for FY2022, an increase of \$462.7 million (44.7%) from the FY2021 enacted level.

Figure 1. Total NIST Appropriations

Enacted Appropriations, FY2003-FY2021; Requested Appropriations, FY2003-FY2022 (in millions of current dollars)



**Sources:** Department of Commerce, NIST budget documents, appropriations acts, and explanatory statements for FY2003-FY2022.

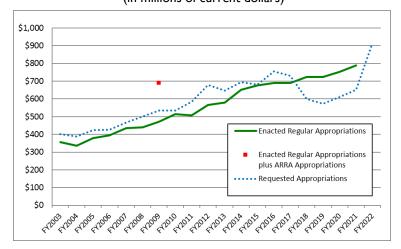
**Note:** ARRA = American Recovery and Reinvestment Act.

#### Scientific and Technical Research and Services Account

**Figure 2** illustrates requested and enacted funding levels for the NIST STRS account. This account saw a steady rise in both request and appropriations levels through FY2016. STRS funding requests declined from FY2016 to FY2019 when it began to rise again. For FY2021, President Trump requested \$652.0 million for STRS; Congress appropriated \$788.0 million. President Biden's FY2022 request for STRS is \$915.6 million, \$127.6 million (16.2%) above the FY2021 enacted level.

Figure 2. Scientific and Technical Research and Services Account

Enacted Appropriations, FY2003-FY2021; Requested Appropriations, FY2003-FY2022 (in millions of current dollars)



**Sources:** Department of Commerce, NIST budget documents, appropriations acts, and explanatory statements for FY2003-FY2022.

Note: ARRA = American Recovery and Reinvestment Act.

#### **Construction of Research Facilities Account**

**Figure 3** illustrates requested and enacted funding levels for the NIST CRF account. Construction account funding has fluctuated. CRF funding jumped from \$72.5 million in FY2005 to \$173.7 million in FY2006, fell to \$58.7 million in FY2007, rose to \$160.5 million in FY2008, and then rose to \$532.0 million in FY2009 (of which \$172.0 million was provided for in regular appropriations and \$360 million provided under ARRA). Funding fell from FY2010 to FY2012, dropping to \$55.4 million, then it remained relatively flat through FY2015 (ranging from \$50 million to \$56 million per year). In FY2016, CRF appropriations jumped to \$119.0 million; \$60.0 million of the increase was designated for beginning "the design and renovation of [NIST's] outdated and unsafe radiation physics infrastructure." In FY2017, CRF appropriations were \$109.0 million, of which \$60.0 million was designated for design and renovation of NIST's radiation physics infrastructure. In FY2018, CRF appropriations jumped to \$319.0 million, \$210.0 million (192.7%) above the FY2017 level. In FY2019, CRS appropriations fell to \$106.0 million, rose to \$118 million in FY2020, then fell to \$80 million in FY2021. President Biden is requesting \$140.0 million for the NIST CRF account for FY2022, up \$60.0 million (75.0%) from the FY2021 enacted level.

In FY2008, FY2009, and FY2010, the CRF account provided funding for a competitive construction grant program that funded the construction of research facilities at U.S. universities and research institutions. Appropriations for CRF have also included funding for congressionally designated projects in some years. **Figure 4** illustrates the funding levels for the NIST CRF account **excluding** congressionally directed projects and the competitive grant program.

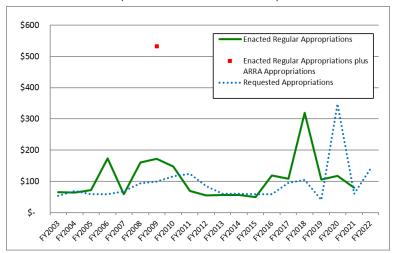
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<sup>&</sup>lt;sup>22</sup> Of the \$360 million that ARRA provided this account in FY2009, \$180 million was designated for the competitive construction grant program.

<sup>&</sup>lt;sup>23</sup> P.L. 115-31.

Figure 3. Construction of Research Facilities Account

Enacted Appropriations, FY2003-FY2021; Requested Appropriations, FY2003-FY2022 (in millions of current dollars)

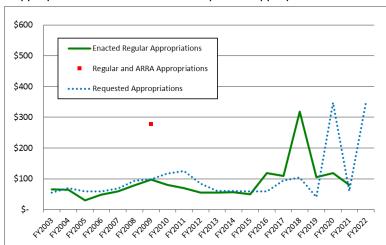


**Sources:** Department of Commerce, NIST budget documents, appropriations acts, and explanatory statements for FY2003-FY2022.

**Note:** ARRA = American Recovery and Reinvestment Act. The level shown for the FY2020 request includes those amounts (\$288.0 million) requested by the President as part of a proposed GSA Federal Capital Revolving Fund to be used for NIST renovation. While the Administration requested the revolving funds be established via mandatory funding and repaid through NIST annual discretionary appropriations, the Congressional Budget Office (CBO) estimated this proposal in a manner consistent with current practice that capital expenditures are recorded on a cash basis in the federal budget.

Figure 4. Construction of Research Facilities Account, Excluding Funding for Congressionally Directed Projects and the Competitive Construction Grant Program

Enacted Appropriations, FY2003-FY2021 Requested Appropriations, FY2003-FY2022



**Sources:** Department of Commerce, NIST budget documents, appropriations acts, and explanatory statements for FY2003-FY2022.

**Note:** ARRA = American Recovery and Reinvestment Act. The level shown for the FY2020 request includes those amounts (\$288.0 million) requested by the President as part of a proposed GSA Federal Capital Revolving Fund to be used for NIST renovation. While the Administration requested the revolving funds be established via

mandatory funding and repaid through NIST annual discretionary appropriations, the Congressional Budget Office (CBO) estimated this proposal in a manner consistent with current practice that capital expenditures are recorded on a cash basis in the federal budget.

#### **Industrial Technology Services Account**

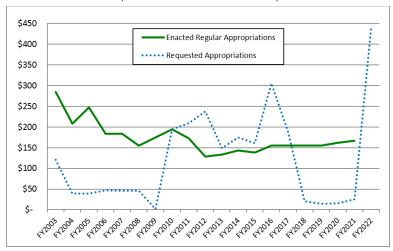
**Figure 5** illustrates requested and enacted funding levels for the NIST ITS account. ITS requests and appropriations during this period have included the MEP, NNMI, AMTech, ATP, TIP, and Baldrige programs in some or all years. Total appropriations for the ITS account fell from \$284.8 million in FY2003 to \$128.4 million in FY2012, grew to \$155.0 million in FY2016 and have since remained flat. Congress appropriated \$166.5 million in FY2021. The FY2022 request seeks \$166.6 million for Manufacturing USA, \$150.1 million (909.7%) higher than the FY2021 enacted level of \$16.5 million. Of these funds, \$5.0 million is requested for coordination of the network of manufacturing institutes; \$1.7 million for grants to develop industrial technology roadmaps; \$10.0 million for support of the current NIST Manufacturing USA institute, NIIMBL; and \$150.0 million for the award and funding of two additional Manufacturing USA institutes.

Substantial fluctuations in the levels of funding requested and provided for the MEP, ATP, and TIP programs are reflected in aggregate in **Figure 5**, and illustrated and discussed in more detail on the following pages.

Figure 5. Industrial Technology Services Account

Enacted Appropriations, FY2003-FY2021; Requested Appropriations, FY2003-FY2022

(in millions of current dollars)



**Sources:** Department of Commerce, NIST budget documents, appropriations acts, and explanatory statements for FY2003-FY2022.

#### **Manufacturing Extension Partnership Program**

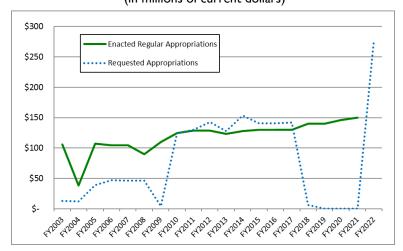
**Figure 6** illustrates requested and enacted funding levels for the NIST MEP program. FY2003 enacted appropriations of \$105.9 million were cut to \$38.6 million in FY2004, but returned to near the FY2003 level in FY2005 (\$107.5 million) and stayed near that level through FY2007. The MEP funding dipped again in FY2008, to \$89.6 million, then rose over the next several years to \$140.0 million in FY2018. Requests from FY2003 to FY2009 were substantially lower than appropriations, falling to \$2.0 million in FY2009. In FY2010, the Obama Administration requested \$124.7 million for MEP. From FY2012 to FY2017, requests were somewhat higher than enacted appropriations. For FY2018, President Trump requested \$6.0 million for the MEP

program to provide "for the orderly wind down of federal funding for the program"; however, Congress appropriated \$140.0 million. <sup>24</sup> For FY2019, FY2020, and FY2021, President Trump requested no funding for MEP; Congress appropriated \$140.0 million, \$146.0 million, and \$150.0 million, respectively. For FY2022, President Biden is requesting \$275.0 million, an increase of \$125.0 million (83.3%) above the FY2021 enacted level.

Figure 6. Manufacturing Extension Partnership Program

Enacted Appropriations, FY2003-FY2021; Requested Appropriations, FY2003-FY2022

(in millions of current dollars)



**Sources:** Department of Commerce, NIST budget documents, appropriations acts, and explanatory statements for FY2003-FY2022.

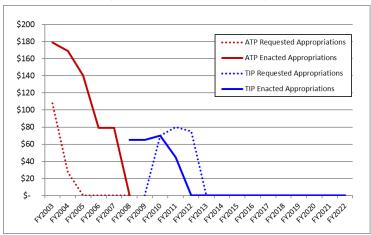
#### Advanced Technology Program/Technology Innovation Program

The Advanced Technology Program saw its requests fall from \$107.9 million in FY2003 to zero in FY2005, and its appropriations fall from \$178.9 million in FY2003 to zero in FY2008; no funding was requested in FY2005 and subsequent years. The Technology Innovation Program, which succeeded ATP, was first funded at \$65.2 million in FY2008 and rose to \$69.9 million in FY2010 before falling to \$45.0 million in FY2011. The TIP program received no funding in FY2012 or in subsequent years. The \$69.9 million requested for TIP in FY2010 was fully funded; in FY2011 the TIP request was \$79.9 million, and in FY2012 it was \$75.0 million. No funding has been requested for TIP since FY2012.

<sup>&</sup>lt;sup>24</sup> NIST, National Institute of Standards and Technology/National Technical Information Service Fiscal Year 2018 Budget Submission to Congress, May 2017, p. NIST-4.

Figure 7. Advanced Technology Program and Technology Innovation Program

Enacted Appropriations, FY2003-FY2021; Requested Appropriations, FY2003-FY2022 (in millions of current dollars)



**Sources:** Department of Commerce, NIST budget documents, appropriations acts, and explanatory statements for FY2003-FY2022.

## **Concluding Observations**

When NBS was renamed NIST under the provisions of the Omnibus Trade and Competitiveness Act of 1988, the laboratory was given additional missions and supporting programs. Two of the new programs—the Advanced Technology Program and the Manufacturing Extension Partnership program—were intended to improve U.S. innovation and industrial competitiveness. These programs generated criticism from some policymakers and analysts who objected to them on a variety of grounds, including whether such activities are appropriate for the federal government to undertake; whether they might result in suboptimal choices of technologies, choices better left to market forces; whether certain technologies, companies, or industries might be chosen for support based on criteria other than technical or business merit; and whether tax dollars should be awarded to already-profitable firms.

In contrast, NIST's historical mission of conducting laboratory research in support of standards and metrics continued to enjoy broad support and faced little controversy. Evidence of this support can be seen in the selection of the STRS account—through which NIST laboratory work is funded—as one of the targeted accounts in the doubling efforts of former Presidents George W. Bush and Barack Obama and successive Congresses. However, even with broad support and the absence of controversy, funding for the NIST STRS account did not grow at the pace its advocates supported in presidential budget requests and successive authorizations of appropriations due to tight overall fiscal constraints on the federal budget.

These issues are discussed in more detail below.

#### **NIST Doubling Effort**

In the early 2000s, many industry, academia, and policy leaders expressed growing concern that federal investments in physical sciences and engineering research were not growing fast enough to keep the United States on the leading edge of technological innovation and commercial competitiveness. In his 2006 State of the Union remarks, President Bush announced the American Competitiveness Initiative (ACI), which, among other things, sought to double funding for targeted appropriations accounts that fund physical sciences and engineering research over a 10-year period. Among the targeted accounts were the NIST STRS and construction accounts. Subsequently, Congress passed the America COMPETES Act (P.L. 110-69), which set appropriations authorizations for the targeted accounts for FY2008-FY2010 that represented a compound annual growth rate (CAGR) of 10.1% that would have, if continued, resulted in a doubling over approximately seven years.

In his FY2010 *Plan for Science and Innovation*, President Obama stated that he (like President Bush) would seek to double funding for basic research over 10 years (FY2006 to FY2016) at the ACI agencies. Actual appropriations, however, did not keep pace with the America COMPETES Act authorization levels. In his FY2011 budget request, President Obama extended the period over which he intended to double these agencies' budgets to 11 years. In 2010, Congress enacted the America COMPETES Reauthorization Act of 2010 (P.L. 111-358), setting appropriations authorizations for the targeted accounts for FY2011-FY2013 at a level that effectively set an 11-year doubling pace (a 6.3% CAGR). However, as with the original act, appropriations did not keep pace with the authorization act levels. While reiterating President Obama's intention to double funding for the targeted accounts from their FY2006 levels, President Obama's FY2013 budget request did not specify the length of time over which the doubling was to take place. President Obama's FY2014 budget expressed a commitment to increasing funding for the targeted accounts, but did not commit to doubling. President Obama's FY2017 budget did not

address the doubling effort. From FY2006, the base year for the doubling effort, through FY2016, funding for the NIST STRS and construction accounts grew by 42.3% in nominal terms, a compound annual growth rate of 3.6%, a rate that would result in doubling in about 20 years. President Obama's FY2017 request sought an increase in aggregate funding for these accounts of 2.0%. President Trump's budget requests for FY2018, FY2019, FY2020, and FY2021 did not mention this doubling goal. It remains to be seen how support for internal R&D at NIST will evolve.

#### **NIST Technology Policy**

Some of NIST's external programs have faced substantial opposition over time. Beginning with the 104<sup>th</sup> Congress, many Members expressed skepticism over a "technology policy" based on providing federal funds to industry for development of precompetitive generic technologies. This philosophical shift from previous Congresses, coupled with pressures to balance the federal budget, led to significant reductions in funding for NIST's external programs. The Advanced Technology Program and the Manufacturing Extension Partnership, which accounted for over 50% of the FY1995 NIST budget, were proposed for elimination. Although in the past strong support by the Senate led to their continued financing, funding for ATP remained controversial. Beginning in FY2000, the House-passed appropriations bills did not contain funding for ATP, and many of the budget proposals submitted by former President George W. Bush called for abolishing the program. In the 110<sup>th</sup> Congress, the America COMPETES Act eliminated ATP and replaced it with the TIP initiative. While TIP received appropriations from FY2008 to FY2011, it has received no appropriations since.

In his FY2003 budget proposal, President Bush also recommended suspension of federal support for those MEP centers in operation for more than six years; the following year, funding for the MEP program was significantly reduced. However, the FY2005 Omnibus Appropriations Act brought support for MEP back up to the level necessary to fully fund the existing centers. Since then, funding has grown from \$107.5 million in FY2005 to \$130.0 million in FY2016. President Obama requested \$142.0 million for MEP for FY2017, an increase of \$12.0 million (9.2%); Congress provided \$130 million, an amount equal to its FY2016 level. For FY2017, Congress provided \$140.0 million for MEP. President Trump's FY2018 budget request sought to end the MEP program, providing \$6.0 million in FY2018 to provide "for the orderly wind down of federal funding for the program."<sup>25</sup> President Trump's FY2019, FY2020, and FY2021 requests sought no funding for MEP; nevertheless, Congress provided consistent funding during this period. For FY2022, President Biden is seeking \$275 million for MEP, an increase of \$125.0 million above the FY2021 level. For more information on MEP, see CRS Report R44308, *The Hollings Manufacturing Extension Partnership Program*, by John F. Sargent Jr.

### Manufacturing USA

Manufacturing USA, as originally envisioned by President Obama in his FY2013 budget as the National Network for Manufacturing Innovation (later Manufacturing USA), proposed \$1 billion in mandatory funding to support the establishment of up to 15 institutes. In the absence of a statutory foundation and mandatory appropriation, the Obama Administration began—and the

<sup>&</sup>lt;sup>25</sup> NIST, National Institute of Standards and Technology/National Technical Information Service Fiscal Year 2018 Budget Submission to Congress, May 2017, p. NIST-4.

Trump Administration continued—the establishment of institutes using existing Department of Defense and Department of Energy authorities and discretionary appropriations.<sup>26</sup>

In 2012, the Advanced Manufacturing National Program Office (AMNPO) sought nationwide input from companies, academia, state and regional governments, economic development authorities, industry associations and consortia, private citizens, and other interested parties to help guide the design of the NNMI. The input gathered from workshops and a request for information was used by the AMNPO in the preparation of a National Science and Technology Committee report, *National Network for Manufacturing Innovation: A Preliminary Design* (hereinafter the *Preliminary Design* report), published in January 2013. This document articulated the Obama Administration's perspective of the principles and characteristics that should guide development of the NNMI program.<sup>22</sup>

The *Preliminary Design* report proposed that the institutes be long-term partnerships between industry and academia (including universities and community colleges) enabled by federal, state, and local governments. The network and individual institutes were to have a strong focus on building clusters of advanced manufacturing capabilities that join expertise from industry, academia, and government. The NNMI's emphasis was to be on linking and integrating existing public and private resources into a robust national innovation ecosystem. The institutes were to serve as regional nodes of advanced manufacturing capabilities, where the processes to build next-generation products are be developed, demonstrated, and refined to the point where there is a clearer, lower-risk path to commercial-scale manufacturing. Institutes were to leverage existing regional or national innovation systems or catalyze the formation and sustainability of new innovation clusters. Institutes were to offer an "industrial commons" (the R&D, engineering, and manufacturing capabilities needed to turn inventions into competitive, manufacturable commercial products) to accelerate the formation and growth of small- and medium-sized enterprises, and were to integrate education and workforce training functions.

In large measure, these principles have guided the development of the NNMI/Manufacturing USA program. The *Preliminary Design* report, however, stated "the focus of each institute was to be proposed by the applicants and selected through a competitive application process." Since the Department of Defense and Department of Energy relied on their own authorities and general appropriations to compete and award the institutes, the foci of the institutes were determined by the departments' missions and existing authorities, rather than being responsive to foci chosen by the applicants. Placing agency missions and authorities first in the selection process may deemphasize the commercial focus and market needs that the institutes were intended to serve.

S. 1260, the United States Innovation and Competition Act of 2021, authorizes \$1.2 billion for FY2022-FY2026 for the Secretary of Commerce, acting through the Director of NIST and in consultation with the Secretary of Energy, Secretary of Defense, and other agency heads deemed relevant by the Secretary of Commerce, for the operation of Manufacturing USA and to expand the program to support innovation and growth in domestic manufacturing. As Congress considers S. 1260 and other legislation intended to increase the number of Manufacturing USA institutes sponsored by NIST and other federal agencies, it may choose to consider whether to provide direction to the agencies regarding the process to be used to select the focus of future institutes.

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<sup>&</sup>lt;sup>26</sup> Only a single institute, NIST's National Institute for Innovation in Manufacturing Biopharmaceuticals, was established under the authorities provided by the RAMI Act.

<sup>&</sup>lt;sup>27</sup> AMNPO, NSTC, Executive Office of the *President, National Network for Manufacturing Innovation: A Preliminary Design*, January 2013, p. 3.

For more information on Manufacturing USA, see CRS Report R46703, *Manufacturing USA: Advanced Manufacturing Institutes and Network*, by John F. Sargent Jr.

## Appendix A. Requested and Enacted Discretionary Appropriations for NIST Accounts

Table A-I. Requested and Enacted Appropriations for NIST Accounts

Enacted Appropriations, FY2003-FY2021; Requested Appropriations, FY2003-FY2022 (in millions of current dollars)

	NIST, <sup>-</sup>	Total	Scientific and Technical Research and Services (STRS) <sup>a</sup>		Indus Techn Serv (IT	ology ices	Construction of Research Facilities (CRF)		
Fiscal Year	Request	Enacted	Request	Enacted	Request	Enacted	Request	Enacted	
2022	1,497.2		915.6		441.6		140.0		
2021	737.5	1,034.5	652.0	788.0	25.3	166.5	60.2	80.0	
2020	974.8	1,034.0	611.7	754.0	15.2	162.0	347.9	118.0	
2019	629.1	985.5	573.4	724.5	15.1	155.0	40.5	106.0	
2018	725.0	1,198.5	600.0	724.5	21.0	155.0	104.0	319.0	
2017	1,014.5	954.0	730.5	690.0	189.0	155.0	95.0	109.0	
2016	1,119.7	964.0	754.7	690.0	306.0	155.0	59.0	119.0	
2015	900.0	863.9	680.0	675.5	161.0	138.1	59.0	50.3	
2014	928.3	850.0	693.7	651.0	174.5	143.0	60.0	56.0	
2013 <sup>b</sup>	857.0	769.4	648.0	579.8	149.0	133.6	60.0	56.0	
2012	1,001.1	750.8	678.9	567.0	237.6	128.4	84.6	55.4	
2011c	918.9	750.I	584.5	507.0	209.6	173.2	124.8	69.9	
2010	846.1	856.6	534.6	515.0	194.6	194.6	116.9	147.0	
2009₫	636.0	819.0	535.0	472.0	4.0	175.0	99.0	172.0	
2009 ARRAe	_	580.0	_	220.0	_	_	_	360.0	
2008 <sup>f</sup>	640.7	755.8	500.5	440.5	46.3	154.8	93.9	160.5	
2007	581.3	676.9	467.0	434.4	46.3	183.8	68.0	58.7	
2006g	532.0	752.0	426.3	394.8	46.8	183.6	58.9	173.7	
2005h	521.5	699.2	422.9	378.8	39.2	247.9	59.4	72.5	
2004 <sup>i</sup>	496.8	608.5	387.6	336.5	39.6	207.8	69.6	64.3	
<b>2003</b> i	577.5	707.5	402.2	357.1	120.8	284.8	54.5	65.7	

Sources: CRS analysis of Department of Commerce and NIST budget documents, FY2003-FY2022.

**Notes:** Dashes in cells in this table indicate no request or appropriation was made for that year. Accounts may not add to totals due to rounding.

a. Funding for the Baldrige Performance Excellence Program was provided in the STRS account appropriation through FY2011; in FY2012, funding was requested in the ITS account appropriation.

Enacted levels reflect the 1.877% rescission, 0.2% rescission, and the 5% sequester applied to 2013 annualized CR level.

c. Enacted levels include 0.2% across-the-board rescission.

- d. Enacted levels for STRS appropriation include \$3.475 million in congressionally directed projects. The FY2009 amount for CRF appropriation includes \$44 million in congressionally directed projects and \$30 million for a competitive construction grant program.
- e. The American Recovery and Reinvestment Act of 2009 (ARRA) amount for CRF includes \$180 million for a competitive construction grant program for research science buildings. Not reflected above, ARRA also included a \$20 million transfer from the Department of Health and Human Services for standards-related research on electronic medical records and an expected \$10 million from a Department of Energy interagency agreement to help develop a comprehensive framework for a nationwide smart electrical grid.
- f. The enacted FY2008 level for STRS appropriations includes \$893,000 in congressionally directed projects. The enacted FY2008 level for CRF appropriations includes \$51.3 million in congressionally directed projects and \$30 million for a new competitive construction grant program that was not requested by President Bush.
- g. Enacted levels reflect across-the-board rescissions enacted in P.L. 109-108, FY2006 Science, State, Justice, and Commerce Appropriations Act and in P.L. 109-148, FY2006 Defense Appropriations Act (\$9.7 million). Does not reflect MEP unobligated balances rescission of \$7 million. The amounts for STRS and for the Construction of Research Facilities appropriation include \$11.9 million and \$125.4 million for congressionally directed projects, respectively.
- h. Enacted levels reflect across-the-board rescissions enacted in P.L. 108-447, FY2005 Consolidated Appropriations Act (\$9.5 million). Does not reflect ATP unobligated balances rescission of \$3.9 million. The amounts for STRS and for the Construction of Research Facilities appropriation include \$8.8 million and \$42.9 million for congressionally directed projects, respectively.
- Enacted levels reflect across-the-board rescissions enacted in the FY2004 Consolidated Appropriations Act, P.L. 108-199 (\$6.6 million) and NIST's share of the Department of Commerce's unobligated balances rescission (\$13.0 million).
- j. Enacted levels reflect an across-the-board rescission enacted in P.L. 108-7 (\$4.6 million).

# Appendix B. Requested and Enacted Appropriations for Selected NIST Programs

Table B-I. Requested and Enacted Appropriations for Selected NIST Programs

Enacted Appropriations, FY2003-FY2021; Requested Appropriations, FY2003-FY2022 (in millions of current dollars)

Hollin Manufact Extens Fiscal Partner		cturing nsion	Advanced Manufacturing Technology Consortia		Manufacturing Innovation Institutes Coordination		NNMI/ Manufacturing USA		Advanced Technology Program		Technology Innovation Program	
Year	Request	Enacted	Request	Enacted	Request	Enacted	Request	Enacted	Request	Enacted	Request	Enacted
2022	275.0		_		5.0		161.6ª		_		_	
2021	0.0	150.0	_		5.3	5.0 <sup>b</sup>	20.0	11.5	_		_	
2020	0.0	146.0	_	_	5.2	с	10.2	16.0c	_	_	_	_
2019	0.0	140.0	_	_	5.1	5.0 <sup>d</sup>	10.0	10.0d	_	_	_	_
2018	6.0	140.0	_	_	5.0	5.0a	10.0	10.0a	_	_	_	_
2017	142.0	130.0	_	_	_	5.0f	47.0	20.0f	_	_	_	_
2016	141.0	130.0	15.0	_	_		150.0	25.0	_	_	_	_
2015	141.0	130.0	15.0	8.1	5.0	g	h	_	_	_	_	_
2014	153.1	128.0	21.4	15.0	_	_	h	_	_	_	_	_
2013 <sup>i</sup>	128.0	123.0	21.0	10.6	_	_	h	_	_	_	_	_
2012	142.6	128.4	12.3	_	_	_	_	_	_	_	75.0	_
<b>2011</b> i	129.7	128.4	_	_	_	_	_	_	_	_	79.9	44.8
2010	124.7	124.7	_	_	_	_	_	_	_	_	69.9	69.9
2009	2.0	110.0	_	_	_	_	_	_	_	_	_	65.0
2008	46.3	89.6	_	_	_	_	_	_	_	_	_	65.2
2007	46.3	104.7	_	_	_	_	_	_	_	79.1	_	_
2006k	46.8	104.6	_	_	_	_	_	_	_	79.0	_	_
20051	39.2	107.5	_	_	_	_	_	_	_	140.4	_	_
2004 <sup>m</sup>	12.6	38.6	_	_	_	_	_	_	27.0	169.1	_	_
2003 <sup>n</sup>	12.9	105.9	_	_	_	_	_	_	107.9	178.8	_	

**Sources:** CRS analysis of Department of Commerce and NIST budget documents, FY2003-FY2018; P.L. 115-141 and accompanying explanatory statement.

Notes: Empty cells in this table indicate no request or appropriation was made for that year.

- a. Includes \$1.7 million for grants to develop industrial technology roadmaps.
- b. Includes \$1.5 million for a competitive grant program to develop technology roadmaps for advanced manufacturing clusters.
- c. P.L. 116-93 and the accompanying Explanatory Statement state that \$16.0 million is provided for the NNMI, but neither explicitly references funding for coordination activities of the network.
- d. H.Rept. 116-9 states that \$15.0 million is appropriated for the NNMI, of which \$5.0 million may be used for coordination activities of the network.

- e. House Print 29-456 states that \$15.0 million is appropriated for the NNMI, of which \$5.0 million may be used for coordination activities of the network.
- f. House Print 25-289 states that \$25.0 million is appropriated for the NNMI, of which \$5.0 million may be used for coordination activities of the network.
- g. P.L. 113-235 states, "To the extent provided for in advance by appropriations Acts, the Secretary may use not to exceed \$5,000,000 for each of the fiscal years 2015 through 2024 to carry out this section from amounts appropriated to the Institute for Industrial Technical Services."
- h. President Obama requested \$1 billion in mandatory funding for the NNMI for FY2013; \$1 billion in mandatory funding for FY2014; and \$2.4 billion in mandatory funding for FY2015.
- Enacted levels reflect the 1.877% rescission, 0.2% rescission, and the 5% sequester applied to 2013 annualized CR level.
- j. Enacted levels include 0.2% across-the-board rescission.
- k. Enacted levels reflect across-the-board rescissions enacted in P.L. 109-108, FY2006 Science, State, Justice, and Commerce Appropriations Act and in P.L. 109-148, FY2006 Defense Appropriations Act.
- Enacted levels reflect across-the-board rescissions enacted in P.L. 108-447, FY2005 Consolidated Appropriations Act (\$9.5 million). Does not reflect ATP unobligated balances rescission of \$3.9 million.
- m. Enacted levels reflect across-the-board rescissions enacted in the FY2004 Consolidated Appropriations Act, P.L. 108-199, and NIST's share of the Department of Commerce's unobligated balances rescission.
- n. Enacted levels reflect an across-the-board rescission enacted in P.L. 108-7.

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