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Cluster Munitions: Background and Issues for Congress

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Summary

Cluster munitions are air-dropped or ground-launched weapons that release a number of smaller submunitions intended to kill enemy personnel or destroy vehicles. Cluster munitions were developed in World War II and are part of many nations' weapons stockpiles. Cluster munitions have been used frequently in combat, including the early phases of the current conflicts in Iraq and Afghanistan. Cluster munitions have been criticized internationally for causing a significant number of civilian deaths, and efforts have been undertaken to ban and regulate their use. The Department of Defense (DOD) continues to view cluster munitions as a military necessity but in 2008 instituted a policy to reduce the failure rate of cluster munitions (a major contributor to civilian casualties) to 1% or less after 2018.

In November 2017, DOD issued a new DOD policy that essentially reversed the 2008 policy. Under the new policy, combatant commanders can use cluster munitions that do not meet the 1% or less unexploded submunitions standard in extreme situations to meet immediate warfighting demands. In addition, the new policy does not establish a deadline to replace cluster munitions exceeding the 1% rate and states that DOD "will retain cluster munitions currently in active inventories until the capabilities they provide are replaced with enhanced and more reliable munitions."

In February 2022, Russia allegedly used cluster munitions during its invasion of Ukraine. Although the allegations have apparently not been officially verified, a reported pending International Criminal Court (ICC) investigation of war crimes in the Ukraine might provide insights.

Potential issues for Congress include potential policy impacts of allegations of Russian cluster munitions use in Ukraine, cluster munitions in an era of precision weapons, other weapons in lieu of cluster munitions, and the potential impact of DOD's 2017 revised cluster munitions policy.

Contents

What Are Cluster Munitions?	1
History	1
Alleged Russian Use of Cluster Munitions During the 2022 Invasion of Ukraine	2
Cluster Munitions Criticisms.....	3
Failure Rate	3
Lack of Self-Destruct Capability.....	3
International Attempts to Regulate Use.....	4
U.N. Convention on Prohibitions or Restrictions on the Use of Certain Conventional Weapons (CCW)	4
Convention on Cluster Munitions (CCM).....	4
U.S. Policy on Cluster Munitions.....	5
2008 Department of Defense (DOD) Policy on Cluster Munitions	5
Revised 2017 DOD Policy on Cluster Munitions	6
DOD Efforts to Reduce Unexploded Ordnance Rates for Its Cluster Munitions	7
Potential Issues for Congress.....	8
Allegations of Russian Use of Cluster Munitions in Ukraine	8
Cluster Munitions in an Era of Precision Weapons.....	8
Other Weapons in Lieu of Cluster Munitions.....	9
What Is the Impact of DOD’s 2017 Revised Cluster Munitions Policy?.....	9
Selected Legislation	9

Contacts

Author Information.....	10
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What Are Cluster Munitions?¹

Cluster munitions are weapons that open in mid-air and disperse smaller submunitions—anywhere from a few dozen to hundreds—into an area. They can be delivered by aircraft or from ground systems such as artillery, rockets, and missiles. Cluster munitions are valued militarily because one munition can kill or destroy many targets within its impact area, and fewer weapons systems are needed to deliver fewer munitions to attack multiple targets. Cluster munitions also permit a smaller force to engage a larger adversary and are considered by some an “economy of force” weapon. Many cluster munitions rely on simple mechanical fuzes that arm the submunition based on its rate of spin and explode on impact or after a time delay. A newer generation of sensor-fuzed submunitions is being introduced by a number of nations to improve the munitions’ and submunitions’ accuracy and to reduce the large number of residual unexploded submunitions. These sensor-fuzed submunitions are designed to sense and destroy vehicles without creating an extensive hazard area of unexploded submunitions.

History²

Cluster bombs were first used in World War II, and inclusive of their debut, cluster munitions have been used in at least 21 states by at least 13 different countries. Cluster munitions were used extensively in Southeast Asia by the United States in the 1960s and 1970s, and the International Committee of the Red Cross (ICRC) estimates that in Laos alone, 9 million to 27 million unexploded submunitions remained after the conflict, resulting in over 10,000 civilian casualties to date. Cluster munitions were used by the Soviets in Afghanistan, by the British in the Falklands, by the Coalition in the Gulf War, and by the warring factions in Yugoslavia. In Kosovo and Yugoslavia in 1999, NATO forces dropped 1,765 cluster bombs containing approximately 295,000 submunitions. From 2001 through 2002, the United States dropped 1,228 cluster bombs containing 248,056 submunitions in Afghanistan, and U.S. and British forces used almost 13,000 cluster munitions containing an estimated 1.8 million to 2 million submunitions during the first three weeks of combat in Iraq in 2003. Senior U.S. government officials have stated that the United States has not used cluster munitions since 2003, during the intervention in Iraq.³ It is widely believed that confusion over U.S. cluster submunitions (BLU-97/B) that were the same color and size as air-dropped humanitarian food packets played a major role in the U.S. decision to suspend cluster munitions use in Afghanistan but not before using them in Iraq.

In 2006, Israeli use of cluster munitions against Hezbollah forces in Lebanon resulted in widespread international criticism. Israel was said to have fired significant quantities of cluster munitions—primarily during the last 3 days of the 34-day war after a U.N. cease-fire deal had been agreed to⁴—resulting in almost 1 million unexploded cluster bomblets to which the U.N. attributed 14 deaths during the conflict.⁵ Reports maintain that Hezbollah fired about 113 “cluster

¹ Information in this section unless otherwise noted is from Mark Hiznay, “Operational and Technical Aspects of Cluster Munitions,” United Nations Institute for Disarmament Research Disarmament Forum,” 2006, pp. 15-25.

² Ibid.

³ Stephen D. Mull, Acting Assistant Secretary of State for Political-Military Affairs, U.S. Cluster Munitions Policy, On-the-Record Briefing, Washington, DC, May 21, 2008.

⁴ Scott Peterson, “Cluster Bombs: A War’s Perilous Aftermath,” *Christian Science Monitor*, February 7, 2007, and “Israel Criticized for Cluster Bombs,” *Los Angeles Times*, February 1, 2008.

⁵ “Major Violations on Both Sides in Israel-Lebanon Conflict, Say UN Experts,” United Nations Office at Geneva News & Media, October 4, 2006.

rockets” at northern Israel and, in turn, Israel’s use of cluster munitions supposedly affected 26% of southern Lebanon’s arable land and contaminated about 13 square miles with unexploded submunitions.⁶ One report states that there was a failure rate of upward of 70% of Israel’s cluster weapons.⁷

Alleged Russian Use of Cluster Munitions During the 2022 Invasion of Ukraine

In late February 2022, nongovernmental organizations reported that Russia had employed cluster munitions in Ukraine. Human Rights Watch reported that on February 24, 2022, “a Russian ballistic missile carrying a cluster munition struck just outside a hospital in Vuhledar, a town in the Ukrainian government-controlled Donetsk region.”⁸ The report further alleged that “the attack killed four civilians and injured another 10, six of them healthcare workers, and damaged the hospital, an ambulance, and civilian vehicles.”⁹ Based on an examination of the alleged weapon’s remnants, Human Rights Watch assessed that the weapon was “a 9M79-series Tochka ballistic missile with a 9N123 cluster munition warhead.”¹⁰

Amnesty International reported that on February 25, 2022, “a 220mm Uragan rocket dropped cluster munitions on the Sonechko nursery and kindergarten in the town of Okhtyrka in Sumy Oblast, where local people were seeking safety from the fighting.” The attack allegedly killed three people, including a child.¹¹

Commenting on videos depicting alleged Russian cluster munition use, DOD officials stated during a March 1, 2022 press conference that “we’ve seen the same video that you have but we have not assessed that it is definitive with respect to the use of cluster munitions. So we are not in a position to confirm the use of cluster munitions at this time.”¹² In a similar manner, a DOD official stated during March 3, 2022, press conference that DOD was still unable to confirm Russia’s use of cluster munitions.¹³

On March 4, 2022, Human Rights Watch reported that Russian forces fired cluster munitions into at least three residential areas in Kharkiv on February 28, 2022, killing at least three civilians.¹⁴ Furthermore, a variety of sources allege that Russian use of cluster munitions may constitute a

⁶ Scott Peterson.

⁷ Ibid. Failure rate as described here is either a failure to detonate on impact or a failure to detonate after a predetermined time delay.

⁸ Human Rights Watch, <https://www.hrw.org/news/2022/02/25/ukraine-russian-cluster-munition-hits-hospital>, accessed February 28, 2022.

⁹ Ibid.

¹⁰ Ibid.

¹¹ Amnesty International, <https://www.amnesty.org/en/latest/news/2022/02/ukraine-cluster-munitions-kill-child-and-two-other-civilians-taking-shelter-at-a-preschool/>, accessed February 2022.

¹² U.S. DOD Transcript, “Senior Defense Official Holds a Background Briefing,” March 1, 2022, <https://www.defense.gov/News/Transcripts/Transcript/Article/2950497/senior-defense-official-holds-a-background-briefing/>, accessed March 1, 2022.

¹³ U.S. DOD Transcript, <https://www.defense.gov/News/Transcripts/Transcript/Article/2954139/senior-defense-official-holds-a-background-briefing/>, accessed March 3, 2022.

¹⁴ Human Rights Watch, <https://www.hrw.org/news/2022/03/04/ukraine-cluster-munitions-launched-kharkiv-neighborhoods>, accessed March 4, 2022.

“war crime.”¹⁵ Reportedly, the International Criminal Court will launch an investigation into allegations of Russian war crimes in Ukraine that could also examine allegations of cluster munitions use.¹⁶

Cluster Munitions Criticisms

The fundamental criticisms of cluster munitions are that they disperse large numbers of submunitions imprecisely over an extended area, that they frequently fail to detonate and are difficult to detect, and that submunitions can remain explosive hazards for decades. Civilian casualties are primarily caused by munitions being fired into areas where soldiers and civilians are intermixed, inaccurate cluster munitions landing in populated areas, or civilians traversing areas where cluster munitions have been employed but failed to explode. Two technical characteristics of submunitions—failure rate and lack of a self-destruct capability—have received a great deal of attention.

Failure Rate¹⁷

There appear to be significant discrepancies among failure rate estimates. Some manufacturers claim a submunition failure rate of 2% to 5%, whereas mine clearance specialists have frequently reported failure rates of 10% to 30%. A number of factors influence submunition reliability. These include delivery technique, age of the submunition, air temperature, landing in soft or muddy ground, getting caught in trees and vegetation, and submunitions being damaged after dispersal, or landing in such a manner that their impact fuzes fail to initiate.

Lack of Self-Destruct Capability

Submunitions lacking a self-destruct capability—referred to as “dumb” munitions—are of particular concern because they can remain a hazard for decades, thereby increasing the potential for civilian casualties. Some nations are developing “smart” or sensor-fuzed weapons with greater reliability and a variety of self-destruct mechanisms intended to address the residual hazard of submunitions.¹⁸ Experts maintain that self-destruct features reduce—but do not eliminate—the unexploded ordnance problem caused by cluster munitions and that the advantage gained by using “smart” cluster munitions is negated when high-failure rate and/or “dumb” cluster munitions are used in the same area.¹⁹ For some nations, replacing “dumb” and high-failure rate cluster munitions may not be an option—China, Russia, and the Republic of Korea maintain that they cannot afford to replace all current submunitions with “smart” submunitions.²⁰

¹⁵ Ibid. : *The Washington Post*, <https://www.washingtonpost.com/world/2022/03/01/cluster-munitions-vacuum-weapons-ukraine-russia/>, accessed March 4, 2021; <https://www.amnesty.org/en/latest/news/2022/02/ukraine-cluster-munitions-kill-child-and-two-other-civilians-taking-shelter-at-a-preschool/>, accessed March 4, 2021.

¹⁶ National Public Radio, <https://www.npr.org/2022/02/28/1083598057/icc-ukraine-investigation>, accessed March 4, 2022.

¹⁷ Unless otherwise noted, information in this section is from Hiznay. p. 22.

¹⁸ Gayle S. Putrich, “Cluster-Bomb Ban Exempts Some Smart Munitions,” *Defense News*, June 2, 2008, p. 4.

¹⁹ Hiznay, p. 23.

²⁰ Ibid.

International Attempts to Regulate Use

U.N. Convention on Prohibitions or Restrictions on the Use of Certain Conventional Weapons (CCW)

In an effort to restrict or ban specific types of weapons used in armed conflicts, 51 states negotiated the CCW in 1980.²¹ When the treaty entered into force in December 1983, it applied only to incendiary weapons, mines and booby-traps, and weapons intended to cause casualties through very small fragments. Since then, some states-parties have added provisions through additional protocols to address other types of weapons. Acting in accordance with the recommendation of a group of experts established during the 2006 CCW review conference, states-parties to the convention decided in 2007 to “negotiate a proposal to address urgently the humanitarian impact of cluster munitions.”²² Negotiations took place in 2008 and 2009, but the parties did not reach agreement on a new proposal. The experts group continued negotiations in 2011 based on a Draft Protocol on Cluster Munitions.²³ However, the CCW states-parties were unable to reach agreement on a protocol during their November 2011 review conference.

Convention on Cluster Munitions (CCM)²⁴

Described as “frustrated with the CCW process,” a number of CCW members—led by Norway—initiated negotiations in 2007 outside of the CCW to ban cluster munitions.²⁵ On May 30, 2008, they reached an agreement to ban cluster munitions.²⁶ The United States, Russia, China, Israel, Egypt, India, and Pakistan did not participate in the talks or sign the agreement. During the Signing Conference in Oslo on December 3-4, 2008, 94 states signed the convention and 4 of the signatories ratified the convention at the same time.²⁷ China, Russia, and the United States did not sign the convention, but France, Germany, and the United Kingdom were among the 18 NATO members to do so.²⁸ The convention was to enter into force six months after the deposit of the 30th ratification. The United Nations received the 30th ratification on February 16, 2010, and the convention entered into force on August 1, 2010.

The Convention on Cluster Munitions (CCM), *inter alia*, bans the use of cluster munitions, as well as their development, production, acquisition, transfer, and stockpiling.²⁹ The convention

²¹ Information in this section is from an Arms Control Association Fact Sheet. “Convention on Certain Conventional Weapons Convention (CCW) at a Glance,” Washington, DC, October 2007.

²² Report from the November 2007 meeting of states-parties to the CCW, December 3, 2007, <https://documents-dds-ny.un.org/doc/UNDOC/GEN/G07/647/29/PDF/G0764729.pdf?OpenElement>.

²³ A copy of the Draft Protocol is available at <https://documents-dds-ny.un.org/doc/UNDOC/GEN/G11/605/99/PDF/G1160599.pdf?OpenElement>.

²⁴ For detailed information on the Convention on Cluster Munitions, see <https://www.clusterconvention.org/>.

²⁵ Arms Control Association Fact Sheet. “Convention on Certain Conventional Weapons Convention (CCW) at a Glance,” Washington, DC, October 2007.

²⁶ Kevin Sullivan and Josh White, “111 Nations, Minus the U.S., Agree to Cluster-Bomb Ban,” *Washington Post*, May 29, 2008.

²⁷ Convention on Cluster Munitions Homepage <http://www.clusterconvention.org/>, January 28, 2009.

²⁸ Marina Malenic, “Dozens of Nations Sign Cluster Bomb Treaty, U.S. Begins Upgrading Related Technology,” *Defense Daily*, December 5, 2008.

²⁹ Diplomatic Conference for the Adoption of a Convention on Cluster Munitions, Convention on Cluster Munitions, Dublin, Ireland, May 30, 2008, <https://www.clusterconvention.org/>.

does not prohibit cluster munitions that can detect and engage a single target or explosive submunitions equipped with an electronic self-destruction or self-deactivating feature³⁰—an exemption that seemingly permits sensor-fuzed or “smart” cluster submunitions. U.S. officials were concerned that early versions of the CCM would prevent military forces from non-states-parties from providing humanitarian and peacekeeping support and significantly affect NATO military operations, but the version signed May 30, 2008, does permit states-parties to engage in military cooperation and operations with non-states-parties (Article 21, Paragraph 3).

U.S. Policy on Cluster Munitions

The U.S. has historically defended the use of cluster munitions. Then-Acting Assistant Secretary for Political-Military Affairs Stephen Mull stated in May 2008 that the United States relies on cluster munitions “as an important part of our own defense strategy,” and that Washington’s preferred alternative to a ban is “to pursue technological fixes that will make sure that these weapons are no longer viable once the conflict is over.”³¹ U.S. officials note that

Cluster munitions are available for use by every combat aircraft in the U.S. inventory, they are integral to every Army or Marine maneuver element and in some cases constitute up to 50 percent of tactical indirect fire support. U.S. forces simply cannot fight by design or by doctrine without holding out at least the possibility of using cluster munitions.³²

The United States also maintains that using cluster munitions reduces the number of aircraft and artillery systems needed to support military operations, and that if cluster munitions were eliminated, significantly more money would need to be spent on new weapons systems, ammunition, and logistical resources. Officials further suggest that if cluster munitions were eliminated, most militaries would increase their use of massed artillery and rocket barrages, which would likely increase destruction of key infrastructure. Then-Department of State Legal Adviser Harold Koh stated November 9, 2009, that the United States has determined that its “national security interests cannot be fully ensured consistent with the terms” of the CCM.³³

2008 Department of Defense (DOD) Policy on Cluster Munitions³⁴

The Barack Obama Administration announced on November 25, 2011, that the United States would continue to implement the DOD policy on cluster munitions issued June 19, 2008, which recognized the need to minimize harm to civilians and infrastructure but also reaffirmed that “cluster munitions are legitimate weapons with clear military utility.” The central directive in the Pentagon’s policy was the unwaiverable requirement that cluster munitions used after 2018 must leave less than 1% of unexploded submunitions on the battlefield. Prior to that deadline, U.S. use of cluster munitions that did not meet this criterion required combatant commander approval.

³⁰ Ibid.

³¹ Mull, 2008.

³² Richard Kidd, Director of the Office of Weapons Removal and Abatement, U.S. Department of State, “Is There a Strategy for Responsible U.S. Engagement on Cluster Munitions?,” April 28, 2008.

³³ “Opening Statement for the United States Delegation by Harold Hongju Koh, Legal Adviser, United States Department of State, at the Third Conference of the High Contracting Parties to Protocol V on Explosive Remnants of War,” November 9, 2009.

³⁴ Information in this section is from DOD Policy on Cluster Munitions and Unintended Harm to Civilians, June 19, 2008.

Revised 2017 DOD Policy on Cluster Munitions

On November 30, 2017, then-Deputy Secretary of Defense Patrick Shanahan issued a revised policy on cluster munitions.³⁵ The memorandum describing the policy noted that

[c]luster munitions provide the Joint Force with an effective and necessary capability to engage area targets, including massed formations of enemy forces, individual targets dispersed over a defined area, targets whose precise location are not known, and time-sensitive or moving targets. Cluster munitions are legitimate weapons with clear military utility, as they provide distinct advantages against a range of threats in the operating environment. Additionally, the use of cluster munitions may result in less collateral damage than the collateral damage that results from use of unitary munitions alone.

Since the inception of the 2008 policy, in the midst of extended combat operations in Iraq and Afghanistan, we have witnessed important changes in the global security environment and experienced several years of budgets that under-invested in replacement systems and the modernization of the Joint Force more broadly. Our adversaries and our potential adversaries have developed advanced capabilities and operational approaches specifically designed to limit our ability to project power.³⁶

Both Shanahan and Admiral Harry Harris Jr. have also argued that sustaining the current U.S. cluster munitions arsenal is necessary to prepare for a potential conflict with North Korea.³⁷

The revised policy reverses the 2008 policy that established an unwaiverable requirement that cluster munitions used after 2018 must leave less than 1% of unexploded submunitions on the battlefield. Combatant commanders can use cluster munitions that do not meet the 1% or less unexploded submunitions standard in extreme situations to meet immediate warfighting demands. Furthermore, the new policy does not establish a deadline to replace cluster munitions exceeding the 1% rate, and these munitions will be removed only after new munitions that meet the 1% or less unexploded submunitions standard are fielded in sufficient quantities to meet combatant commander requirements. However, the new DOD policy stipulates that the department “will only procure cluster munitions containing submunitions or submunition warheads” meeting the 2008 UXO requirement or possessing “advanced features to minimize the risks posed by unexploded submunitions.”

Specifically, DOD’s revised policy stipulates the following:³⁸

- Continuing or beginning with their respective FY2019 budgets, the military departments were to program for capabilities to replace cluster munitions currently in active inventories that do not meet the above-described standards for procuring new cluster munitions. The department’s annual Program and Budget Review would be used to assess the sufficiency of the replacement efforts.
- The department’s operational planners should plan for the availability of cluster munitions. The approval authority to employ cluster munitions that do not meet the standards prescribed by this policy for procuring new cluster munitions, however, rests with the combatant commanders. In accordance with their existing

³⁵ Memorandum from the Deputy Secretary of Defense, *Subject: DOD Policy on Cluster Munitions*, November 30, 2017.

³⁶ *Ibid.*

³⁷ “Statement of Admiral Harry B. Harris Jr., U.S. Navy Commander, U.S. Pacific Command, Senate Armed Services Committee,” March 15, 2018; “Remarks by Deputy Secretary of Defense Patrick M. Shanahan at the 2018 Military Reporters & Editors Conference, Navy League Headquarters of the U.S.,” October 26, 2018.

³⁸ Memorandum from the Deputy Secretary of Defense, November 30, 2017.

authorities, commanders may use cluster munitions that meet the standards prescribed by this policy for procuring new cluster munitions.

- The military departments and combatant commands, in keeping with U.S. legal obligations under CCW Protocol V on Explosive Remnants of War and consistent with past practices, would continue to record and retain information on the use of cluster munitions and provide relevant information to facilitate the removal or destruction of unexploded submunitions.
- The military departments and combatant commands will maintain sufficient inventories and a robust stockpile surveillance program to ensure operational quality and reliability of cluster munitions. In extremis, to meet immediate warfighting demand, combatant commanders may accept transfers of cluster munitions that do not meet the above-described cluster-munition procurement standards.
- Cluster munitions that do not meet the standards prescribed by this policy for procuring new cluster munitions will be removed from active inventories and demilitarized after their capabilities have been replaced by sufficient quantities of munitions that meet the standards in this policy.
- The department will not transfer cluster munitions except as provided for under U.S. law. The operational use of cluster munitions that include Anti-Personnel Landmines (APL) submunitions shall comply with presidential policy.

Furthermore, the Deputy Secretary of Defense

Expect(s) the Department to achieve the goals in this policy as rapidly as industry can support. Combatant Commanders will continue to ensure that the employment of cluster munitions is consistent with the law of war and applicable international agreements in order to minimize their harmful effects on civilian populations and infrastructure.³⁹

In developing a new generation of cluster munitions less dangerous to civilians, DOD will likely need to determine whether such a high level of performance is achievable under both controlled laboratory conditions and real-world conditions. Factors such as delivery technique, landing in soft or muddy ground, getting caught in trees and vegetation, and submunitions being damaged after dispersal or landing could result in an appreciable number of dud submunitions, even if they have a self-deactivation feature.

DOD Efforts to Reduce Unexploded Ordnance Rates for Its Cluster Munitions

DOD and the services have been and are involved in efforts to reduce cluster munitions failure rates. The Army's Alternative Warhead Program (AWP) is intended to assess and recommend new technologies to reduce or eliminate cluster munitions failure rates.⁴⁰ The AWP program is viewed as particularly relevant, as the Pentagon estimates that "upward of 80 percent of U.S. cluster munitions reside in the Army artillery stockpile."⁴¹ In December 2008, the Army decided

³⁹ Ibid.

⁴⁰ Daniel Wasserbly, "Army Warhead Program to Reduce Dud Rate for Cluster Munitions," *InsideDefense.com*, July 21, 2008.

⁴¹ Ibid.

to cease procurement of a Guided Multiple Launch Rocket System (GMLRS) warhead—the Dual-Purpose Improved Conventional Munition (DPICM) warhead—because its submunitions had a dud rate up to 5%.⁴² The Air Force has also acquired cluster munitions that comply with the less than 1% failure rate—the CBU-97 Sensor Fuzed Weapon (SFW) and the CBU-105 WCMD/SFW.⁴³

While DOD’s 2017 cluster munitions policy calls for DOD to continue its efforts to meet the 1% or less unexploded submunitions standard “as rapidly as industry can support,”⁴⁴ it is not yet known how this policy will affect the aforementioned programs or how it could result in the establishment of new programs.

Potential Issues for Congress

Allegations of Russian Use of Cluster Munitions in Ukraine

While unconfirmed by U.S. or allied government sources, the alleged use of cluster munitions against Ukraine has arguably elevated public awareness of this issue. Given potential renewed domestic and international interest in cluster munitions, potential policy issues could include the following:

- Will allegations of Russian use of cluster munitions influence current U.S. policy regarding CCM status?
- Will these allegations influence other non-CCM signatories to sign the convention?
- Will allegations of Russian use of cluster munitions lead to a change to Administration’s cluster munitions policy and a revision of DOD’s 2017 Policy on Cluster Munitions?

Cluster Munitions in an Era of Precision Weapons

It may be argued that even with advances in “sensor-fuzed” type submunitions that seek out and destroy certain targets, cluster munitions are still essentially an indiscriminate area weapon in an era where precision weapons are increasingly becoming the military norm. In Operation Desert Storm in 1991, only about 10% of ordnance used were precision-guided, but by the time of the Iraq invasion in 2003, “the ratio of ‘smart’ to dumb weapons was nearly reversed.”⁴⁵ Since then, this trend toward greater precision has continued, if not accelerated with the development of precision rocket, artillery, mortar munitions, and smaller precision aerial bombs designed to reduce collateral damage.⁴⁶ Given current and predicted future precision weaponry trends, cluster munitions might be losing their military relevance—much as chemical weapons did between World War I and World War II.

⁴² Kate Brannen, “Army Will Stop Procurement of GMLRS-DPICM After Final Deliveries,” *InsideDefense.com*, December 1, 2008.

⁴³ Marina Malenic, “Dozens of Nations Sign Cluster Bomb Treaty, U.S. Begins Upgrading Related Technology,” *Defense Daily*, December 5, 2008.

⁴⁴ Memorandum from the Deputy Secretary of Defense, Subject: DoD Policy on Cluster Munitions, November 30, 2017.

⁴⁵ J.R. Wilson, “Pinpoint Accuracy: Bombs, Rockets, and Mortars Get New Brains,” *Armed Forces Journal*, January 2005, pp. 32-34.

⁴⁶ Jeffrey Kofman, “Introducing the Small Diameter Bomb,” ABC News, February 16, 2008.

Other Weapons in Lieu of Cluster Munitions

According to the State Department, the U.S. military suspended its use of cluster munitions in Iraq and Afghanistan in 2003. For subsequent military operations, where cluster munitions would otherwise have been the weapon of choice, Congress might review what types of weapons were substituted in place of cluster munitions and how effective they were in achieving the desired tactical results. Also worth considering are effects-based weapons systems and operations, which seek to achieve the same or similar effect against a potential target without applying a “kinetic solution” such as a cluster munition. Such insights could prove valuable in analyzing U.S. policy options on the future of cluster munitions.

What Is the Impact of DOD’s 2017 Revised Cluster Munitions Policy?

DOD’s November 2017 revised policy on cluster munitions potentially raises a number of issues for possible congressional consideration. With limits on cluster munition use after 2018 rescinded, how does this affect combatant commanders’ operational plans in their respective theaters? Does this mean a lesser degree of military risk because combatant commanders can employ cluster munitions to meet warfighting demands, possibly translating into fewer forces needed to achieve the same result when the 2008 policy was in effect? Despite DOD emphasis on achieving a 1% or less unexploded submunitions standard “as rapidly as industry can support,” will DOD funding restrictions slow or stall programs previously intended to replace those systems that exceeded 1% because there no longer is an urgent operational need to replace those systems? In a similar manner, will defense industry view this as a renewed opportunity to develop systems with a 1% or less unexploded submunitions standard or take a more sanguine view that since DOD is no longer time constrained to develop and field 1% or less weapons that funding these programs will be less of a priority and, therefore, an unprofitable venture? Another possible issue for consideration is how this U.S. policy reversal on the military use of cluster munitions will be perceived by the international community and how this might affect future U.S. and international military treaty initiatives.

Selected Legislation

Consolidated Appropriations Acts

The Consolidated Appropriations Act, 2010 (P.L. 111-117), which President Bush signed into law December 16, 2009, prohibits the provision of military assistance for cluster munitions, the issuing of defense export licenses for cluster munitions, or the sale or transfer of cluster munitions or cluster munitions technology unless “the submunitions of the cluster munitions, after arming, do not result in more than 1 percent unexploded ordnance across the range of intended operational environments.” Moreover, any agreement “applicable to the assistance, transfer, or sale of such cluster munitions or cluster munitions technology” must specify that the munitions “will only be used against clearly defined military targets and will not be used where civilians are known to be present or in areas normally inhabited by civilians.” Subsequent appropriations laws have included similar provisions; the most recent is the Consolidated Appropriations Act, 2019 (P.L. 116-6), which President Trump signed into law on February 15, 2019.

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